Implications of CMS analysis of photon-photon interactions for photon $PDFs^*$

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Abstract: As part of a recent analysis of exclusive two-photon production of W^+W^- pairs at the LHC, the CMS experiment used di-lepton data to obtain an "effective" photon-photon luminosity. We show how the CMS analysis on their 8 TeV data, along with some assumptions about the likelihood for events in which the proton breaks up to pass the selection criteria, can be used to significantly constrain the photon parton distribution functions, such as those from the CTEQ, MRST, and NNPDF collaborations. We compare the data with predictions using these photon distributions, as well as the new LUXqed photon distribution. We study the impact of including these data on the NNPDF2.3QED, NNPDF3.0QED and CT14QEDinc fits. We find that these data place a useful and complementary cross-check on the photon distribution, which is consistent with the LUXqed prediction while suggesting that the NNPDF photon error band should be significantly reduced. Additionally, we propose a simple model for describing the two-photon production of W^+W^- at the LHC. Using this model, we constrain the number of inelastic photons that remain after the experimental cuts are applied.

Keywords: applications of electroweak models to specific processes, summation of perturbation theory, inclusive production with identified leptons, photons, or other nonhadronic particles

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1 Introduction

With the start of the 13 TeV run of the Large Hadron Collider (LHC), more precise theory calculations are needed to correctly interpret the present and upcoming experimental data. Calculations at the next-to-nextto-leading order (NNLO) in quantum chromodynamics (QCD) are becoming standard, so that the theoretical uncertainty can be reduced to the same order as the experimental uncertainty. At this level of precision, the leading-order electroweak correction is also important, because the square of the coupling of the strong interaction (α_s) is of the same order of magnitude as the electromagnetic coupling (α) . Therefore, it becomes necessary to include electroweak corrections in the calculations.

One particular electroweak correction of interest is that due to photons coming from the proton in the initial state. This requires the inclusion of the photon as a parton inside the proton, with an associated parton distribution function (PDF). This is necessary both for consistency when electroweak corrections are included and because the photon-initiated processes can become significant at high energies. The treatment of the photon PDF in a global analysis was first performed by the MRST collaboration [1]. Since then, both NNPDF and CTEQ collaborations have introduced photon PDFs [2, 3], along

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with PDF evolution at leading order (LO) in guantum electrodynamics (QED) and next-to-leading order (NLO) or NNLO in QCD. The MRST2004QED set contains photon PDFs with a parametrization based on radiation from "primordial" up and down quarks, with the photon radiation cut off at either the current quark masses (MRST0), or the constituent quark masses (MRST1) [1]. The NNPDF2.3QED set uses a more general photon parametrization, which was then constrained by Drell-Yan data at the LHC [2]. This was recently updated in the NNPDF3.0QED set [4]. The CT14QED set also uses the radiative ansatz, but for the "inelastic" component of the photon PDF only and with the inelastic photon momentum fraction at the initial scale left as a free parameter. Data on isolated photon production in electron-proton deep inelastic scattering (DIS), measured by the ZEUS Collaboration [5], were used to constrain the inelastic initial photon momentum fraction to be less than 0.14% at the 90% confidence level (CL) and less than 0.11% at the 68% CL [3]. In the same article, the CTEQ-TEA group also presented CT14QEDinc sets, which describe the inclusive photon PDF in the proton, given at the initial scale Q_0 , as the sum of the (inelastic) CT14QED plus the "elastic" photon contribution [6]. The elastic contribution to the photon PDF, in which the initial proton remains intact, was obtained from the equivalent photon approximation (EPA) [7]. Since CT14QEDinc PDFS were obtained from fitting to ZEUS data, the photon PDFs are better known for the parton momentum fraction x ranging from 10^{-4} to around 0.4. Recently, a new determination of the photon PDF, LUXqed, was obtained from the lepton-photon structure functions [8]. This approach greatly reduces the uncertainties in the determination of the photon PDFs. Additionally, the NNPDF group recently adopted the LUX ged approach and introduced a new photon PDF that applies the LUX approach to a global PDF fit [9]. Since it yields a result very similar to LUXged, we will not discuss it further in this work.

With the large amounts of data to be collected at the LHC, photon-initiated processes will become increasingly important. For instance, a precise determination of the quartic couplings of photons and W-bosons can be obtained through the analysis of W pair production through photon-fusion. This has been shown to be the most precise channel to measure these couplings [10, 11], with the possibility of measurements that are several orders of magnitude more precise than the limits found at the Tevatron [12] and LEP [13–19]. For all of these uses, a good understanding of the initial photon PDF is vital.

In this paper we consider the CMS studies of exclusive two-photon production of W boson pairs [20], and show how the di-lepton cross-check analysis can be used to constrain the photon PDF. We compare predictions from the various photon PDFs against each other and against the CMS data analysis, after invoking a simple model to separate the various photon-photon initiated scattering contributions. We find that the predictions from various PDF sets are in good agreement with the CMS data under the assumption that the double dissociative contribution is negligible. After comparing the photon PDFs of CT14QEDinc, LUXqed, MRST2004QED, NNPDF2.3QED, NNPDF3.0QED and NNPDF3.1LUXqed through the photon-photon luminosity at the LHC with a 13 TeV center-of-mass collider energy, we demonstrate how the result of the CMS data analysis strongly constrains the earlier NNPDF2.3QED and NNPDF3.0QED photon PDFs. Consequently, many studies in the literature that used the NNPDF2.3QED photon PDF, which predicted large photon-initiated contributions at the LHC (and with large uncertainties due to the photon PDFs), should see reduced photoninitiated contributions. As an example, we show that the predicted high-mass Drell-Yan pair production cross sections at the LHC are reduced by more than one order of magnitude in the TeV region when the NNPDF photon PDFs are reweighted to include the impact of the CMS data.

2 Results

Recently, the CMS experiment at the LHC has performed measurements of the W-boson pair production process $(pp \rightarrow p^{(*)}W^+W^-p^{(*)})$ at $\sqrt{s} = 7$ TeV [21] and at $\sqrt{s} = 8$ TeV [20], and used these to put constraints on anomalous quartic gauge couplings. In these measurements they selected photon-photon fusion events. including both elastic events, where both protons remained intact, and inelastic (quasi-exclusive or "proton dissociative") events, in which one or both protons dissociate. This selection was attained by requiring no additional associated charged tracks beyond the muon (μ) and electron (e) with opposite sign charges $(\mu^{\pm}e^{\mp})$, which identified the W boson pairs, in the central rapidity region (with $|y_{WW}| < 2.5$). In order to predict the expected rate of $pp \rightarrow p^{(*)}W^+W^-p^{(*)}$, they used the much-higher-statistics sample of $\ell^+\ell^-$ events (away from the Z-peak and in the same invariant mass range, with $\ell = \mu$ or e) to extract an effective photon-photon luminosity. This was obtained by taking the ratio of the observed $\ell^+\ell^-$ events with no additional associated charge tracks to that predicted from purely elastic scattering (after subtracting possible quark-initiated contamination, estimated from Z-peak events). The effective photonphoton luminosity determined from this data-driven approach was then used to predict the total cross section to be $\sigma(pp \to p^{(*)}W^+W^-p^{(*)} \to p^{(*)}\mu^{\pm}e^{\mp}p^{(*)}) = 4.0\pm0.7$ fb at $\sqrt{s} = 7$ TeV and 6.2 ± 0.5 fb at $\sqrt{s} = 8$ TeV, after

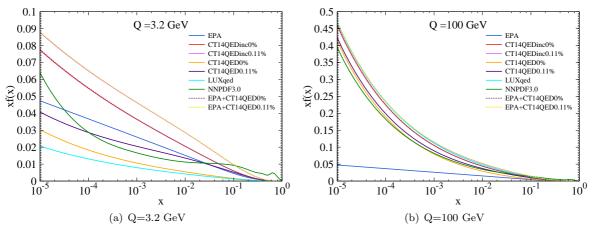


Fig. 1. (color online) Various elastic (EPA), inelastic (CT14QED) and inclusive (CT14QEDinc, LUXqed, NNPDF3.0) photon PDF distributions at (a) Q=3.2 GeV and (b) Q=100 GeV.

including the W boson decay branching fraction.

Since these predicted cross sections use their respective extracted photon-photon luminosities, they include both elastic and inelastic contributions. Therefore, they can be used to constrain the photon PDFs if we make some assumptions about the fraction of dissociative events that pass the no-additional-charged-tracks cut. For this comparison, we calculate the total cross section for W-pair production¹⁾ via the photon-photon fusion process $\gamma \gamma \rightarrow W^+ W^-$, with the proper W boson decay branching ratios included, at the leading-order in electroweak interaction. The factorization scale is chosen to be the invariant mass (\sqrt{s}) of the W-boson pair, unless specified. Using CT14QEDinc PDFs for the inclusive photon and the EPA for the elastic photon, we separated the prediction into elastic, single-dissociative, and double-dissociative events. To take into account the cut on additional charged tracks, we use a crude approximation based on the finding in Ref. [22] that the probability of not producing extra tracks in the central detector due to hadronic rescattering is predicted to be relatively close to 1 for the elastic and single-dissociative cases. Hence, we assume that the elastic and single-dissociative events all pass the cut, while the double-dissociative events are reduced by a factor f, which we vary between 0 and 1. Namely, we compare to the effective photon-photon luminosity extracted from the CMS di-muon data by the following theory calculation:

$$\sigma_{\text{inclusive}} = \sigma_{\text{elastic}} + \sigma_{\text{single-dissociative}} + f \times \sigma_{\text{double-dissociative}}.$$
 (1)

Here, σ_{elastic} is calculated using EPA photon PDFs from both colliding protons; $\sigma_{\text{single-dissociative}}$ is obtained by using one EPA photon PDF and one inelastic photon PDF; while $\sigma_{\text{double-dissociative}}$ is calculated using inelastic photon PDFs from both colliding protons. The inelastic photon PDF is taken as the difference between an inclusive photon PDF (such as CT14QEDinc, NNPDF3.0QED and LUXqed photon PDFs) and the EPA photon PDF. We note that the CT14QEDinc PDF includes both elastic and inelastic contributions to the photon PDF, and can be well-approximated by the linear sum of the elastic component from the EPA and the inelastic component from CT14QED at any given scale Q, as illustrated in Figs. 1(a) and 1(b). This observation was used in the original analysis to constrain the CT14QED and CT14QEDinc photon PDFs [3] from the ZEUS data, and it also agrees with the conclusion made in Ref. [6]. Furthermore, Fig. 2 shows that the EPA photon contribution to the proton momentum (p^{γ}) becomes essentially constant at scales Q above the initial scale of $Q_0 = 1.3$ GeV. The EPA photon PDF is the black curve, while the two CT14QEDinc photon PDFs start at the scale $Q_0 = 1.3$ GeV with either 0% or 0.11% inelastic photon momentum fraction. For example, at Q = 10 GeV, the (elastic) EPA photon contributes about 0.15% of the proton momentum, and the (inelastic) CTEQ14QED photon contributes about 0.11% and 0.22% of the proton momentum, respectively, for the two PDF sets labelled by their initial inelastic photon momentum fractions as [CT14QED 0%] and [CT14QED 0.11%]. Hence, at Q = 10 GeV, the photon momentum fraction of the two corresponding CT14QED inc PDFs is about 0.26%and 0.37%, respectively. At 1 TeV, the photon momentum fraction of the NNPDF3.0QED and LUXqed is about 0.75% and 0.53%, respectively, while the two corresponding CT14QEDinc PDFs increase to about 0.48% and 0.59%, respectively.

¹⁾ We emphasize that, although we are using the W^+W^- cross section for the comparison, it is in fact the effective photon-photon luminosity extracted from the CMS di-muon data that constrains the photon PDFs.

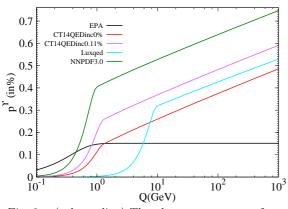


Fig. 2. (color online) The photon momentum fraction inside the proton as a function of Q for various photon PDFs. The change in slope of all the PDFs at the specific low Q value is due to the fact that the PDFs are not defined below some Q_0 . Below that scale, extrapolation is used.

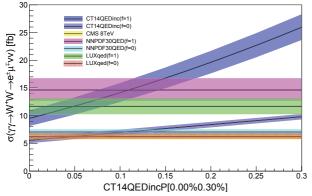


Fig. 3. (color online) CT14QEDinc predictions with initial inelastic photon momentum fraction varying from 0% to 0.3% compared with the CMS result at $\sqrt{s}=8$ TeV, including uncertainty. Theory bands correspond to scale uncertainties between $\mu=0.5\sqrt{\hat{s}}$ and $\mu=2\sqrt{\hat{s}}$.

Using this approximation we can calculate the predicted cross section as a function of f and compare with the CMS result. In Fig. 3 we show the predicted cross sections for f = 0 and f = 1 using the CT14QEDinc, NNPDF3.0QED and LUXqed PDFs as a function of the initial inelastic photon momentum fraction (p_0^{γ}) compared with the $\sqrt{s} = 8$ TeV prediction from the CMS analysis. It clearly shows that the CMS result is consistent with a fraction f much less than 1. Assuming $f \approx 0$, the 8 TeV CMS prediction favors small values of $p_0^{\gamma} \approx 0.04\%$ with $p_0^{\gamma} \leq 0.11\%$ for CT14QEDinc, at the 68% confidence level (CL). When modelling the cross-section as in Eq. (1) and assuming $f \approx 0$, the data agree well with predictions based on the LUXqed PDF calculation. For comparison, we note that this CT14QEDinc result is consistent with the constraint of $p_0^{\gamma} \leq 0.14\%$ at the 90% CL, derived from comparing to the isolated photon production rate in DIS process, measured by the ZEUS Collaboration [3].

We can also calculate the same cross section using the other photon PDFs (assumed to be inclusive) in the same manner, as a function of f. In Fig. 4 we compare the CMS result with predictions from the CT14QEDinc, LUXqed, MRST2004qed, NNPDF2.3QED, NNPDF3.0QED and NNPDF3.1LUXqed photon PDF sets. In all cases, the f = 0 assumption is in good agreement with the CMS data. In addition, we can see that, while all PDF sets are consistent with the data for f = 0, the uncertainty due to the photon PDF increases as we change from LUXqed to CT14QEDinc, MRST, and finally to NNPDF, which predicts the largest uncertainty. This originates from the different methods used to extract the photon PDFs by the different groups. LUXqed derived their photon PDF from the proton electromagnetic form factors, obtained partly from data and partly from theory calculations using PDF4LHC15 PDFs; CT14QED fit to the ZEUS isolated photon production data, in which the photon-initiated process contributes at the leading order; MRST2004qed modeled the photon PDF without fitting to data, but using two different scale choices to estimate the uncertainty; while NNPDF2.3QED and NNPDF3.0QED fit to the inclusive Drell-Yan pair data, whose production rate is dominated by the much larger quark-antiquark initiated processes. In other words, the NNPDF2.3QED, NNPDF3.0QED photon PDF fits were dominated by the error in the measurement of the Drell-Yan pair production rate, which explains the quite large uncertainty in its Monte Carlo replica sets.

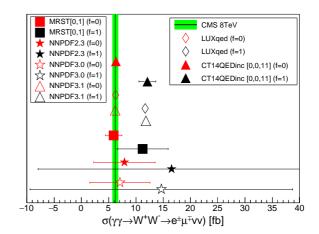


Fig. 4. (color online) Various PDF set predictions (with their PDF uncertainty ranges) compared to the CMS result at 8 TeV, at the 68% CL.

To facilitate the comparison of theory predictions of various production rates induced by the photon-photon fusion process at the LHC, we compute the photonphoton parton luminosity for each of the PDF sets, defined as:

$$\frac{\mathrm{d}\mathcal{L}_{\gamma\gamma}(\tau)}{\mathrm{d}M^2} = \frac{1}{s} \int_{\ln\sqrt{\tau}}^{-\ln\sqrt{\tau}} \mathrm{d}y f_{\gamma/p}(x_1,\mu_F) f_{\gamma/p}(x_2,\mu_F), \quad (2)$$

where $y = \frac{1}{2} \ln(\frac{x_1}{x_2})$, $\tau = x_1 x_2 = M^2/s$, M is the invariant mass of the photon pair, and x_1 and x_2 are the momentum fractions of the photons from each proton; the factorization scale μ_F is chosen to be M. This is shown in Fig. 5 for the LHC at 13 TeV collider energy for the highinvariant mass region. In the high-invariant mass region above approximately 1 TeV, the central NNPDF2.3QED and NNPDF3.0QED luminosities greatly exceed those of the other PDFs. This can be traced to the large uncertainty in the photon PDF determination at large x, as well as the extra freedom in the NNPDF2.3QED and NNPDF3.0QED photon PDF parametrization. Here, we can see that the LUXqed and NNPDF3.1LUXqed luminosity prediction is enveloped by the CT14QEDinc estimated uncertainty, which in turn is enveloped by the MRST uncertainty, while all of these predictions lie within the NNPDF2.3QED and NNPDF3.0QED error bands.

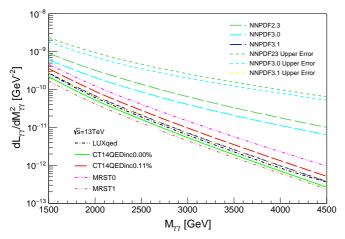


Fig. 5. (color online) Photon-photon luminosity predicted by various photon PDFs for an invariant mass of 1.5 TeV to 4.5 TeV, at the LHC with 13 TeV collider energy. The lower error curves of NNPDF2.3QED and NNPDF3.0QED predictions are below the *x*-axis of this plot.

Next, we examine the impact of the CMS data on the CT14QEDinc, NNPDF2.3QED and NNPDF3.0QED photon PDFs. We adopt the PDF Bayesian reweighting technique to study its effect. The idea of reweighting PDFS was originally proposed by Giele and Keller in Ref. [23], and later discussed by the NNPDF collaboration [24, 25]. In Ref. [26], a detailed discussion was given to compare these two reweighting methods, and the original procedure in Ref. [23] was favored. (In the case of including only one new data point, such as in the present study, both methods coincide.) The reweighting technique assigns weights to each of the replica sets, which strongly suppress those whose theory predictions are in poor agreement with the new (CMS) data. The weights are derived from the chi-squared (χ^2) values of the comparison between the new data and theory prediction from each of the PDF replicas. The central value of any observable is the weighted average of the values extracted from each of the PDF replicas, and its PDF error is given by the weighted root-mean-square (RMS) of those values [23]. While NNPDF2.3QED and NNPDF3.0QED are already in the form of Monte Carlo replicas, we need to first construct the Monte Carlo replicas from the two CT14QEDinc photon PDFs, [CT14QEDinc 0%] and [CT14QEDinc 0.11%], which represent the two error PDFs along the negative and positive direction of the photon error PDF eigenvector in the Hessian method [27]. For that, we use the public code MCGEN [28], which facilitates the method described in Ref. [29] to generate the CT14QEDinc replicas for this study.

The results of including the CMS data to reweight the different photon PDF replicas are shown in Figs. 6(a)and 6(b), where we calculate the relative uncertainties in the distribution of lepton pair invariant mass in the high mass region. As expected, the PDF uncertainties for this distribution are reduced for both the CT14QEDinc and NNPDF photon PDF sets after including the 8 TeV CMS data. In particular, the CMS data can have a very large effect in reducing the errors due to the NNPDF photon PDFs. For example, at 2 TeV and 3 TeV, the relative errors $(\Delta \sigma / \sigma)$ in the NNPDF3.0QED predictions reduce from 240% and 380%, respectively, to about 40%, while the average values of the cross sections (σ) reduce by about a factor of 2 after including the 8 TeV CMS data. In contrast, the reduction in $\Delta \sigma / \sigma$ in the CT14QEDinc prediction is mild, from about 25% to 15%, while the average predicted σ is almost unchanged. For completeness, we also show in Fig. 7 the comparison of various photon PDFs, similar to Fig. 4 of Ref. [9], but after imposing the constraint from the CMS data. We note that for the NNPDF sets we always use the standard deviation for the uncertainty instead of taking the max($\mu - \sigma, r_{16}$), where r_{16} is the replica at the 16th percentile, as done in Fig. 4 of Ref. [9]. For comparison, in Fig. 8, we show the PDFs before they are updated by the CMS data.

The CMS data can also be used to test the above proposed model. Based on the cuts used by CMS and the LUXqed PDF set, the 95% confidence limit for f is given as 0.08. This value can be used as a conservative estimate for the theoretical uncertainty of the number of double-dissociative events that pass the no additional track cut. In a more complete study, where the elastic and single-dissociative events are not assumed to be fully accepted by the no-extra-track cut, the value of f will be somewhat larger. We leave the more detailed analysis to a future work.

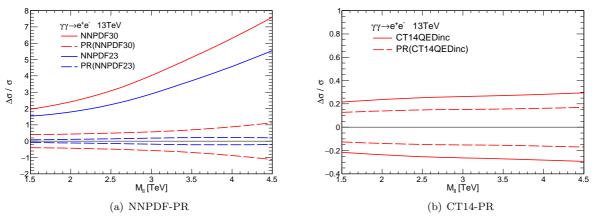


Fig. 6. (color online) The (a) NNPDF2.3QED and NNPDF3.0QED, and (b) CT14QEDinc photon PDF-induced uncertainties in the lepton pair invariant mass distribution, via $\gamma\gamma \rightarrow e^-e^+$ at the 13 TeV LHC, before and after PDF-reweighting (PR).

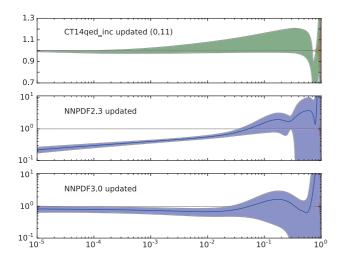


Fig. 7. (color online) The ratio of common PDF sets to LUXqed result, along with the LUXqed uncertainty band (light red), after imposing the constraint from the CMS data at the 68% cl. The CT14 band corresponds to the range from the PDF members shown in brackets after reweighting. The NNPDF bands are calculated using the reweighted replicas. The uncertainty is given by the standard deviation of the updated replicas. Note the different *y*-axes for the panels.

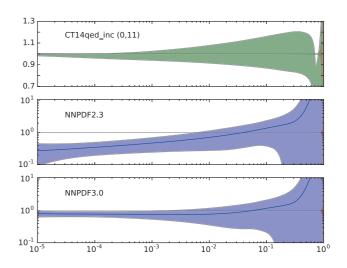


Fig. 8. (color online) The ratio of common PDF sets to the LUXqed result, along with the LUXqed uncertainty band (light red), before imposing the constraint from the CMS data at the 68% cl. The CT14 band corresponds to the range from the PDF members shown in brackets after reweighting. The NNPDF bands are calculated using the reweighted replicas. The uncertainty is given by the standard deviation of the updated replicas. Note the different *y*-axes for the panels.

3 Conclusion

We have shown that the "effective" photon-photon luminosity obtained by the CMS Collaboration from analyzing the exclusive two-photon production of $W^+W^$ pairs at the LHC can constrain some photon PDFs, particularly the NNPDF2.3QED and NNPDF3.0QED pho- ton PDFs. On the other hand, the uncertainty predicted by the LUXqed PDFs, with f = 1, is well within the experimental error of the CMS data. Many previous analyses that were based on NNPDF2.3QED or NNPDF3.0QED photon PDFs, and that had found a large contribution from photon-induced processes, need to be reexamined. For example, it is pointed out in Ref. [30] that the largest source of uncertainty for predicting the $W^{\pm}H$ production rate, which is important for measuring the coupling of Higgs boson to W bosons,

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is due to photon-induced contributions. This conclusion needs to be reexamined, based on our finding that the NNPDF photon PDFs overestimate the photon contribution to, as well as the uncertainty in, the calculation of processes such as $W^{\pm}H$, lepton-pair or vector-bosonpair production at the LHC. Likewise, it will also modify early conclusions about the potential of the LHC and future hadron colliders to search for new physics effects induced by photon-initiated process, e.g., Ref. [31].

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