

$D \rightarrow P(\pi, K)$ helicity form factors within light-cone sum rule approach*

Hai-Bing Fu(付海冰)¹ Wei Cheng(程伟)^{2,3,1)} Rui-Yu Zhou(周瑞雨)⁴ Long Zeng(曾龙)¹

¹Institute of Particle Physics & Department of Physics, Guizhou Minzu University, Guiyang 550025, China

²CAS Key Laboratory of Theoretical Physics, Institute of Theoretical Physics, Chinese Academy of Sciences, Beijing 100190, China

³Institute of Theoretical Physics, Chinese Academy of Sciences, Beijing 100190, China

⁴Department of Physics, Chongqing University, Chongqing 401331, China

Abstract: In this study, $D \rightarrow P(\pi, K)$ helicity form factors (HFFs) are investigated by applying the QCD light-cone sum rule (LCSR) approach. The calculation accuracy is up to the next-to-leading order (NLO) gluon radiation correction of twist-(2,3) distribution amplitude. The resultant HFFs at a large recoil point are $\mathcal{P}_{t,0}^{\pi}(0) = 0.688_{-0.024}^{+0.020}$ and $\mathcal{P}_{t,0}^K(0) = 0.780_{-0.029}^{+0.024}$, in which the contributions from the three particles of the leading order (LO) are so small that they can be safely neglected. The maximal contribution of the NLO gluon radiation correction for $\mathcal{P}_{t,0}^{\pi,K}(0)$ is less than 3%. After extrapolating the LCSR predictions for these HFFs to the whole q^2 -region, we obtain the decay widths for semileptonic decay processes $D \rightarrow P\ell\nu_{\ell}$, which are consistent with the BES-III collaboration predictions within error limits. After considering the D^+/D^0 -meson lifetime, we give the branching fractions of $D \rightarrow P\ell\nu_{\ell}$ with $\ell = e, \mu$; our predictions also agree with the BES-III collaboration results within error limits, especially for the $D \rightarrow \pi\ell\nu_{\ell}$ decay process. Finally, we present the forward-backward asymmetry $\mathcal{A}_{FB}^{\ell}(q^2)$ and lepton convexity parameter $C_F^{\ell}(q^2)$, and further calculate the mean value of these two observations, $\langle \mathcal{A}_{FB}^{\ell} \rangle$ and $\langle C_F^{\ell} \rangle$, which may provide a way to test those HFFs in future experiments.

Keywords: LCSR, HFFs, D -meson semilepton decay

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1 Introduction

Since the discovery of the D -meson by the Mark I detector at the Stanford Linear Accelerator Center (SLAC) in 1976, experimentalists have performed substantial research about its properties. For example, the decay constant was measured by the Mark III detector at the SLAC [1], CLEO [2-4], and BES [5]; the corresponding branching fraction measurements were obtained from Mark III [6], Belle [7], CLEO-c [8], BABAR [9], and BES-III [10-12]; and the corresponding semileptonic form factors were determined by BES-III [13] and the HPQCD collaboration for the lattice results [14]. Recently, the BES-III collaboration updated the latest measurements for the D meson semileptonic decay into pseudoscalar π and K -meson processes, i.e., the absolute branching fractions are $\mathcal{B}(D^+ \rightarrow \bar{K}^0 e^+ \nu_e) = 8.60(6)(15) \times 10^{-2}$, $\mathcal{B}(D^+ \rightarrow \pi^0 e^+ \nu_e) = 3.63(8)(5) \times 10^{-3}$ [15]. A brief review of the earlier work

and current experimental status of D -meson decays can be found in Ref. [16].

The D -meson is the lightest meson containing a single charm quark (antiquark) and has abundant decay channels. Among them, the heavy-to-light decay contains considerable information about the dynamics of weak and strong interactions [17], which may provide a platform to test the standard model more accurately and seek new physics (NP) beyond the SM. For example, the semileptonic decay $D \rightarrow P\ell\nu_{\ell}$ processes can be used to extract the Cabibbo-Kobayashi-Maskawa (CKM) matrix elements, which are an important part of the SM, as their associated decay branching fractions are proportional to the CKM matrix elements [18, 19]. Meanwhile, a single phase from the CKM quark mixing matrix dominates the CP violation phenomena [20], which is relevant to NP. Thus, the D -meson semileptonic decay is widely studied via various theoretic methods.

The usual strategy for investigating D -meson semile-

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1) E-mail: chengwei@itp.ac.cn

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ptonic decay is to express the decay process as non-perturbative hadronic matrix elements with different γ -structures, factorize them into Lorentz invariant transition form factors (TFFs) by employing covariant decomposition, and then study those TFFs using various theoretic methods, such as LCSR [21-30], the transverse-momentum-dependent (TMD) factorization approach [31, 32], the lattice QCD [33-38], and the perturbative QCD [39-46]. As an alternative strategy, while the other processes remain unchanged, only the non-perturbative hadronic matrix elements are projected as the Lorentz invariant HFFs through the covariant helicity factor. The main difference between the latter and the usual TFFs arises from the use of different projection methods, which will bring some unique advantages to HFFs and may give better physical predictions. For more details, please see Refs. [47, 48]. For example, this method was used for dealing with the $B \rightarrow \rho$ decays in our previous work [48], and the resultant differential decay width was found to be in good agreement with the BABAR experiment. Here, we will attempt to use HFFs within the framework of LCSR to study the $D \rightarrow P(\pi, K)$ decays. Note that, in order to get more accurate LCSR results, our calculations for the $D \rightarrow P(\pi, K)$ HFFs, $\mathcal{P}_{0,t}^P(q^2)$ contain both LO and NLO contributions. For the LO contributions, two and three particles parts are contained up to twist-4 light-cone distribution amplitudes (LCDAs), while for the NLO contributions, one-loop gluon radiation correction is contained for the twist-(2,3) LCDAs.

The remaining parts of the paper are organized as follows. In Sec. 2, we give a brief introduction for the definition of HFFs and provide the full LCSR expression for $\mathcal{P}_{0,t}^P(q^2)$. In Sec. 3, we first discuss the hadron input parameters for HFFs and extrapolate those HFFs to the whole q^2 region by employing SSE. Then, we compute the differential decay width and branching fraction for $D \rightarrow P(\pi, K)$ decays from the HFFs. We compare our results with the available experimental results as well as other theoretical results. Finally, the conclusion is given in Sec. 4.

2 Calculation technology

The short distance hadronic matrix elements related to the pseudoscalar D -meson semileptonic decays can be projected as the relevant HFFs via the off-shell W -boson polarization vectors with 4-momentum $q^\mu = (q^0, 0, 0, -|\vec{q}|)$ [47],

$$\mathcal{P}_\sigma(q^2) = \sqrt{\frac{q^2}{\lambda}} \varepsilon_\sigma^{*\mu}(q) \langle P(k) | \bar{q} \gamma_\mu c | D(p) \rangle, \quad (1)$$

where the standard kinematic function $\lambda = (t_- - q^2)(t_+ - q^2)$ with $t_\pm = (m_D \pm m_P)^2$. The polarization vectors $\varepsilon_\sigma^{*\mu}(q)$ represent transverse ($\sigma = \pm$), longitudinal ($\sigma = 0$), or time-like ($\sigma = t$) components. More specifically,

$$\varepsilon_\pm^\mu(q) = \mp \frac{1}{\sqrt{2}} (0, 1, \mp i, 0), \quad (2)$$

$$\varepsilon_0^\mu(q) = \frac{1}{\sqrt{q^2}} (|\vec{q}|, 0, 0, -q^0), \quad (3)$$

$$\varepsilon_t^\mu(q) = \frac{1}{\sqrt{q^2}} q^\mu. \quad (4)$$

In order to derive the full analytical LCSR expression for the HFFs, we take the following two-point correlation function as a starting point,

$$\Pi_\sigma(p, q) = i \sqrt{\frac{q^2}{\lambda}} \varepsilon_\sigma^{*\mu}(q) \int d^4x e^{iq \cdot x} \langle P(k) | T\{ j_V^\mu(x), j_D^\dagger(0) \} | 0 \rangle, \quad (5)$$

where the currents $j_V^\mu(x) = \bar{q}(x) \gamma_\mu c(x)$ and $j_D^\dagger(0) = \bar{c}(0) i \gamma_5 u(0)$ have the same quantum state as the pseudo-scalar D -meson with $J^P = 0^-$. T stands for the product of the current operator.

Following the basic procedure of LCSR, the correlation function can be treated by inserting complete intermediate states with the same quantum numbers as the current operator $\bar{c} i \gamma_5 u$ in the time-like q^2 -region. After isolating the pole term of the lowest pseudoscalar D -meson, one can obtain the following expression:

$$\Pi_\sigma^H(p, q) = \sqrt{\frac{q^2}{\lambda}} \varepsilon_\sigma^{*\mu}(q) \left[\frac{\langle P | \bar{q} \gamma_\mu c | D \rangle \langle D | \bar{c} i \gamma_5 u | 0 \rangle}{m_D^2 - (p+q)^2} + \sum_H \frac{\langle P | \bar{q} \gamma_\mu c | D^H \rangle \langle D^H | \bar{q} i \gamma_5 u | 0 \rangle}{m_{D^H}^2 - (p+q)^2} \right], \quad (6)$$

where $\langle D | \bar{c} i \gamma_5 u | 0 \rangle = m_D^2 f_D / m_c$. Employing dispersion integrations to replace the contributions of higher resonances and continuum states, the hadronic representation of the correlator Π_σ^H can be obtained

$$\Pi_\sigma^H(q^2, (p+q)^2) = \frac{m_D^2 f_D}{m_c [m_D^2 - (p+q)^2]} \mathcal{P}_\sigma(q^2) + \int_{s_0}^\infty \frac{\rho_\sigma^H(s)}{s - (p+q)^2} ds + \text{subtractions}, \quad (7)$$

where s_0 is the effective threshold parameter, and the spectral densities $\rho_\sigma^H(s)$ can be approximated by employing the quark-hadron duality ansatz

$$\rho_\sigma^H(s) = \rho_\sigma^{\text{QCD}}(s) \theta(s - s_0). \quad (8)$$

On the other hand, in the space-like q^2 -region, i.e., $(p+q)^2 - m_c^2 \ll 0$ and $q^2 \ll m_c^2 - O(1\text{GeV}^2)$, the correlation function can be pre-processed by contracting the c -quark operator to a free propagator,

$$\begin{aligned} \langle 0 | c_\alpha^i(x) \bar{c}_\beta^j(0) | 0 \rangle = & -i \int \frac{d^4k}{(2\pi)^4} e^{-ik \cdot x} \left\{ \delta^{ij} \frac{k + m_c}{m_c^2 - k^2} \right. \\ & + g_s \int_0^1 dv G^{\mu\nu\alpha}(vx) \left(\frac{\lambda}{2} \right)^{ij} \left[\frac{k + m_c}{2(m_c^2 - k^2)^2} \sigma_{\mu\nu} \right. \\ & \left. \left. + \frac{1}{m_c^2 - k^2} v x_\mu \gamma_\nu \right] \right\}_{\alpha\beta}, \end{aligned} \quad (9)$$

where the nonlocal matrix elements are convoluted with the meson LCDAs with growing twist. The matrix elements can be expanded up to twist-4 LCDAs as follows [49]:

$$\langle P(p)|\bar{q}_1(x)i\gamma_5 u(0)|0\rangle = \frac{f_P m_P^2}{m_u + m_{q_1}} \int_0^1 du e^{iup \cdot x} \phi_{2;P}(u), \quad (10)$$

$$\begin{aligned} \langle P(p)|\bar{q}_1(x)\gamma_\mu\gamma_5 u(0)|0\rangle &= -ip_\mu f_P \int_0^1 du e^{iup \cdot x} \left[\phi_{3;P}^p(u) \right. \\ &\quad \left. + x^2 \psi_{4;P}(u) \right] + f_P(x_\mu - \frac{x^2 p_\mu}{p \cdot x}) \int_0^1 du e^{iup \cdot x} \phi_{4;P}(u), \end{aligned} \quad (11)$$

$$\begin{aligned} \langle P(p)|\bar{q}_1(x)\sigma_{\mu\nu}\gamma_5 u(0)|0\rangle &= i(p_\mu x_\nu - p_\nu x_\mu) \frac{f_P m_P^2}{6(m_u + m_{q_1})} \\ &\times \int_0^1 du e^{iup \cdot x} \phi_{3;P}^\sigma(u), \end{aligned} \quad (12)$$

$$\begin{aligned} \langle P(p)|\bar{q}_1(x)\sigma_{\mu\nu}\gamma_5 g_s G_{\alpha\beta}(vx)u(-x)|0\rangle &= if_{3P}(p_\alpha p_\mu g_{\nu\beta}^\perp \\ &- p_\alpha p_\nu g_{\mu\beta}^\perp - (\alpha \leftrightarrow \beta)) \Phi_{3;P}(v, p \cdot x), \end{aligned} \quad (13)$$

$$\begin{aligned} \langle P(p)|\bar{q}_1(x)\gamma_\mu\gamma_5 g_s G_{\alpha\beta}(vx)u(-x)|0\rangle &= p_\mu(p_\alpha x_\beta - p_\beta x_\alpha) \\ &\times \frac{f_P}{p \cdot x} \Phi_{4;P}(v, p \cdot x) \\ &+ (p_\beta g_{\alpha\mu}^\perp - p_\alpha g_{\beta\mu}^\perp) f_P \Psi_{4;P}(v, p \cdot x), \end{aligned} \quad (14)$$

$$\begin{aligned} \langle P(p)|\bar{q}_1(x)\gamma_\mu ig\tilde{G}_{\alpha\beta}(vx)u(-x)|0\rangle &= p_\mu(p_\alpha x_\beta - p_\beta x_\alpha) \\ &\times \frac{f_P}{p \cdot x} \tilde{\Phi}_{4;P}(v, p \cdot x) \\ &+ (p_\beta g_{\alpha\mu}^\perp - p_\alpha g_{\beta\mu}^\perp) f_P \tilde{\Psi}_{4;P}(v, p \cdot x), \end{aligned} \quad (15)$$

where P stands for π, K -meson with $q_1 = d, s$ quark, and

we have set

$$\begin{aligned} g_{\mu\nu}^\perp &= g_{\mu\nu} - \frac{p_\mu x_\nu + p_\nu x_\mu}{p \cdot x}, \\ K(v, p \cdot x) &= \int_0^1 d\alpha_1 d\alpha_2 d\alpha_3 \delta(1 - \alpha_1 - \alpha_2 - \alpha_3) K(\alpha_i). \end{aligned}$$

Here, $K(\alpha_i)$ stands for the twist-3 or twist-4 DA $\Phi_{3;P}(\alpha_i)$, $\Phi_{4;P}(\alpha_i)$, $\Psi_{4;P}(\alpha_i)$, $\tilde{\Phi}_{4;P}(\alpha_i)$ and $\tilde{\Psi}_{4;P}(\alpha_i)$. Furthermore, by replacing the non-local matrix elements and using dispersion integration to carry out the subtraction procedure of the continuum spectrum, the QCD representation can be obtained.

After equating the two types of representations of the correlator and subtracting the contribution from higher resonances and continuum states, one can further carry out their Borel transformation, i.e., replacing the variable $(p+q)^2$ with the Borel parameter M^2 and exponentiating the denominators, which removes the subtraction term in the dispersion relation and exponentially suppresses the contributions from unknown excited resonances. To obtain a higher calculation accuracy, we calculated the NLO gluon radiation correction corresponding to twist-(2,3) distribution amplitude for $D \rightarrow P$ using the same method as that for the $B \rightarrow \pi$ process [50]. The one-loop corrections may lead to amplitude divergence, which can be separated as UV divergence and infrared collinear divergence. The UV divergence will be canceled by the mass renormalization of heavy quark c and the infrared collinear divergence term can be absorbed by the evolution of the wave function of the meson [51]. Thus, the LCSR for the $D \rightarrow P$ HFFs is finally obtained:

$$\begin{aligned} \mathcal{P}_0^P(q^2) &= \frac{f_P m_c}{f_D m_D^2} \int_0^1 du e^{(m_D^2 - s)/M^2} \left\{ m_c \left[\frac{1}{2u} \Theta(c(u, s_0)) \phi_{3;P}^p(u) - \frac{2m_c^2}{u^3 M^4} \tilde{\Theta}(c(u, s_0)) \psi_{4;P}(u) + \frac{1}{u M^2} \tilde{\Theta}(c(u, s_0)) \phi_{4;P}(u) \right. \right. \\ &\quad \left. \left. + \frac{2m_c^2}{u^3 M^4} \tilde{\Theta}(c(u, s_0)) \Phi_{4I;P}(u) \right] + \frac{m_P^2}{2(m_u + m_{q_1})} \left[\Theta(c(u, s_0)) \phi_{2;P}(u) + \left(\frac{1}{3u} \Theta(c(u, s_0)) + \frac{m_c^2 + q^2}{6u^2 M^2} \tilde{\Theta}(c(u, s_0)) \right) \phi_{3;P}^\sigma(u) \right] \right. \\ &\quad \left. - \frac{f_{3\pi}}{f_P} \frac{I_{3\pi}(u)}{u} - \frac{m_c}{2(m_c^2 - q^2)} I_{4\pi}(u) \right\} + \frac{\alpha_s C_F}{8\pi m_D^2 f_D} F_1(q^2, M^2, s_0), \end{aligned} \quad (16)$$

$$\begin{aligned} \mathcal{P}_t^P(q^2) &= \frac{f_P m_c}{\sqrt{\lambda} f_D m_D^2} \int_0^1 du e^{(m_D^2 - s)/M^2} \left\{ Q_+ m_c \left[\frac{1}{2u} \Theta(c(u, s_0)) \phi_{3;P}^p(u) - \frac{2m_c^2}{u^3 M^4} \tilde{\Theta}(c(u, s_0)) \psi_{4I;P}(u) + \frac{1}{u M^2} \tilde{\Theta}(c(u, s_0)) \right. \right. \\ &\quad \times \phi_{4;P}(u) + \frac{2m_c^2}{u^3 M^4} \tilde{\Theta}(c(u, s_0)) \Phi_{4;P}(u) \left. \right] + \frac{Q_+ m_P^2}{2(m_u + m_{q_1})} \left[\Theta(c(u, s_0)) \phi_{2;P}(u) + \left(\frac{1}{3u} \Theta(c(u, s_0)) + \frac{m_c^2 + q^2}{6u^2 M^2} \right. \right. \\ &\quad \times \tilde{\Theta}(c(u, s_0)) \left. \right) \phi_{3;P}^\sigma(u) \left. \right] + q^2 \left[\frac{4m_c}{u^2 M^2} \tilde{\Theta}(c(u, s_0)) \phi_{4;P}(u) + \frac{2m_P^2}{u(m_u + m_{q_1})} \Theta(c(u, s_0)) \phi_{2;P}(u) \right] \left. \right\} + \frac{m_D^2 - m_P^2}{\sqrt{\lambda}} \\ &\quad \times \int_0^1 du e^{(m_D^2 - s)/M^2} \frac{Q_-}{m_D^2 - m_P^2} \left[-\frac{m_c f_{3P}}{u m_D^2 f_D} I_{3;P}(u) - \frac{m_c^2 f_P}{2m_D^2 f_D (m_c^2 - q^2)} I_{4;P}(u) \right] + \frac{\alpha_s C_F}{4\pi} \frac{m_D^2 - m_P^2}{2m_D^2 f_D \sqrt{\lambda}} \\ &\quad \times \left[F_1(q^2, M^2, s_0) + \frac{q^2}{m_D^2 - m_P^2} (\tilde{F}_1(q^2, M^2, s_0) - F_1(q^2, M^2, s_0)) \right], \end{aligned} \quad (17)$$

where P stands for π, K -meson and $Q_{\pm} = q^2 \pm (m_D^2 - m_P^2)$. The short-hand notations introduced for the integrals over three-particle DAs are

$$\begin{aligned} I_{3;P}(u) &= \frac{d}{du} \left[\int_0^u d\alpha_1 \int_{\frac{(u-\alpha_1)}{(1-\alpha_1)}}^1 dv \Phi_{3;P}(\alpha_i) \right|_{\substack{\alpha_2 = 1 - \alpha_1 - \alpha_3, \\ \alpha_3 = (u - \alpha_1)/v}}, \\ I_{4;P}(u) &= \frac{d}{du} \left\{ \int_0^u d\alpha_1 \int_{\frac{(u-\alpha_1)}{(1-\alpha_1)}}^1 dv \left[2\Psi_{4;P}(\alpha_i) - \Phi_{4;P}(\alpha_i) \right. \right. \\ &\quad \left. \left. + 2\widetilde{\Psi}_{4;P}(\alpha_i) - \widetilde{\Phi}_{4;P}(\alpha_i) \right] \right|_{\substack{\alpha_2 = 1 - \alpha_1 - \alpha_3, \\ \alpha_3 = (u - \alpha_1)/v}} \right\}. \end{aligned} \quad (18)$$

The NLO terms in Eqs. (16) and (17) have the form of the dispersion relation:

$$\begin{aligned} F_1(q^2, M^2, s_0) &= \frac{1}{\pi} \int_{m_c^2}^{s_0} ds e^{(m_D^2 - s)/M^2} \text{Im}F_1(q^2, s) \\ &= \frac{f_P}{\pi} \int_{m_c^2}^{s_0} ds e^{(m_D^2 - s)/M^2} \int_0^1 du \left\{ \text{Im}T_1(q^2, s, u) \phi_{2;P}(u) \right. \\ &\quad \left. + \text{Im}T_1^P(q^2, s, u) \phi_{3;P}^P(u) + \text{Im}T_1^\sigma(q^2, s, u) \phi_{3;P}^\sigma(u) \right\}, \end{aligned} \quad (19)$$

where the expressions of the imaginary parts of the amplitudes can refer to the $B \rightarrow \pi$ process [51]. The NLO amplitudes $\bar{F}_1(q^2, s, u)$ have the same expression as $T_1^{(p,\sigma)}(q^2, s, u) \rightarrow \bar{T}_1^{(p,\sigma)}(q^2, s, u)$. At zero momentum transfer, the additional relation $\mathcal{P}_t^P(0) = \mathcal{P}_0^P(0)$ holds, which can be confirmed not only from the abovementioned HFFs but also from Tables 3, 4 and Fig. 1.

3 Numerical analysis

In order to do the numerical calculations, we take the D , K , and π -meson decay constants, $f_D = 0.2037 \pm 0.0047 \pm 0.0006$ GeV [52], $f_K = 0.1555$ GeV [53], and $f_\pi = 0.1304$ GeV [53], respectively. The c -quark pole mass $m_c = 1.28 \pm 0.03$ GeV [54], the D , K , and π -meson masses $m_D = 1.865$ GeV, $m_K = 0.494$ GeV, and $m_\pi = 0.140$ GeV [54], respectively. The factorization scale μ is set as the typical momentum transfer of $D \rightarrow K(\pi)$, i.e. $\mu_{\text{IR}} \simeq (m_D^2 - m_c^2)^{1/2} \sim 1.3$ GeV [55].

3.1 Distribution amplitudes

With regard to the hadron input about LCDAs, we will shortly discuss the twist-2,3,4 LCDAs. For the leading twist-2 LCDAs, we adopt a standard approach to do the calculation, i.e. the conformal expansion [49]:

$$\phi_{2;P}(u, \mu^2) = 6u\bar{u} \left[1 + \sum_{n=1}^{\infty} a_n^P(\mu^2) C_n^{3/2}(\xi) \right], \quad (20)$$

where $\xi = 2u - 1$, μ is the factorization scale, the superscript P represents the π and K -meson, and a_n^P is the (non-perturbative) Gegenbauer moment, which is usually up to the first two terms, $a_{1,2}^P$, accuracy due to the suppression of large n physical amplitudes of the Gegenbauer polynomials that oscillate rapidly.

The two-particle twist-3 and twist-4 LCDAs $\phi_{3;P}^P(u)$, $\phi_{3;P}^\sigma(u)$, $\phi_{4;P}(u)$, $\psi_{4;P}(u)$, and $\Phi_{4I;P}(u)$ are defined as follows [56]:

$$\begin{aligned} \phi_{3;P}^P(u) &= 1 + (30\eta_3^P - \frac{5}{2}\rho_\pi^2)C_2^{1/2}(\zeta) + (-3\eta_3^P\omega_3^P \\ &\quad - \frac{27}{20}\rho_\pi^2 - \frac{81}{10}\rho_\pi^2 a_2^P)C_4^{1/2}(\zeta), \end{aligned} \quad (21)$$

$$\phi_{3;P}^\sigma(u) = 6u(1-u)(5\eta_3^P - \frac{1}{2}\eta_3^P\omega_3^P - \frac{7}{20}\rho_\pi^2 - \frac{3}{5}\rho_\pi^2 a_2^P)C_2^{3/2}(\zeta), \quad (22)$$

$$\phi_{4;P}(u) = -m_P^2 \int_0^u B(v)dv, \quad (23)$$

$$\psi_{4;P}(u) = \frac{1}{4}m_P^2 A(u) - \int_0^u \phi_{4;P}(v)dv, \quad (24)$$

$$\Phi_{4I;P}(u) = \int_0^u \phi_{4;P}(v)dv. \quad (25)$$

where

$$\begin{aligned} A(u) &= 6u\bar{u} \left[\frac{16}{15} + \frac{24}{35}a_2^P + 20\eta_3^P + \frac{20}{9}\eta_4^P + \left(-\frac{1}{15} \right. \right. \\ &\quad \left. \left. + \frac{1}{16} - \frac{7}{27}\eta_3^P\omega_3^P - \frac{10}{27}\eta_4^P \right) C_2^{3/2}(\xi) + \left(-\frac{11}{210} \right. \right. \\ &\quad \left. \left. \times a_2^P - \frac{4}{135}\eta_3^P\omega_3^P \right) C_4^{3/2}(\xi) \right] + \left(-\frac{18}{5}a_2^P + 21 \right. \\ &\quad \left. \times \eta_4^P\omega_4^P \right) \left[2u^3(10 - 15u + 6u^2)\ln u + 2\bar{u}^3(10 \right. \\ &\quad \left. - 15\bar{u} + 6\bar{u}^2)\ln \bar{u} + u\bar{u}(2 + 13u\bar{u}) \right], \end{aligned} \quad (26)$$

$$\begin{aligned} B(u) &= 1 + \left[1 + \frac{18}{7}a_2^P + 60\eta_3^P + \frac{20}{3}\eta_4^P \right] C_2^{1/2}(\zeta) \\ &\quad + \left[-\frac{9}{28}a_2^P - 6\eta_3^P\omega_3^P \right] C_4^{1/2}(\zeta). \end{aligned} \quad (27)$$

As for LO contributions, they do not mix under renormalization in the QCD theory; thus, the scaling up to μ_{IR} is given by

$$c_i(\mu_{\text{IR}}) = \mathcal{L}^{\gamma_c/\beta_0} c_i(\mu_0), \quad (28)$$

where $\mathcal{L} = \alpha_s(\mu_{\text{IR}})/\alpha_s(\mu_0)$, $\beta_0 = 11 - 2/3N_f$, and the one-

loop anomalous dimensions γ_{c_i} are given in [Table 1](#) [56]. Given the initial scale μ_0 values of the hadronic parameters [56], employing the renormalization function Eq. (28), one can obtain the corresponding values at the typical scale $\mu = \mu_{\text{IR}}$; our results are listed in [Table 2](#).

Table 1. One-loop anomalous dimensions of hadronic parameters in DAs.

γ_{a_n}	$C_F \left(1 - \frac{2}{(n+1)(n+2)} - \sum_{m=2}^{n+1} \frac{1}{m} \right)$
γ_{η_3}	$\frac{16}{3}C_F + C_A$
γ_{η_4}	$\frac{8}{3}C_F$
γ_{ω_3}	$-\frac{25}{6}C_F + \frac{7}{3}C_A$
γ_{ω_4}	$-\frac{8}{3}C_F + \frac{10}{3}C_A$

Table 2. Hadronic parameters for the π and K DAs, where $\mu_0 = 1$ GeV and $\mu_{\text{IR}} = 1.3$ GeV.

K	μ_0	μ_{IR}	π	μ_0	μ_{IR}
a_1^K	0.06(3)	0.06(3)	a_1^π	0	0
a_2^K	0.25(15)	0.25(15)	a_2^π	0.25(15)	0.25(15)
η_3^K	0.015	0.011	η_3^π	0.015	0.011
η_4^K	0.6	0.542	η_4^π	10	9.037
ω_3^K	-3	-2.879	ω_3^π	-3	-2.879
ω_4^K	0.2	0.166	ω_4^π	0.2	0.166

3.2 Fixing effective threshold s_0 and Borel parameter M^2

There are two “internal” parameters for the HFFs. One is the effective threshold parameter s_0 , which is the output of the continuum subtraction procedure. The other is the Borel window M^2 , which comes from the Borel transformation to sum rules to suppress the contributions of the higher resonances and continuum state [23]. For the former, we take the effective threshold $s_0(\mathcal{P}_{0;t}^\pi) = 12(1)$ GeV² and $s_0(\mathcal{P}_{0;t}^K) = 21(1)$ GeV². For the Borel window M^2 , we set the continuum contribution to less than 25% of the total LCSR to obtain the upper limit of M^2 , i.e.

$$\frac{\int_{s_0}^{\infty} ds \rho^{\text{tot}}(s) e^{-s/M^2}}{\int_{m_c^2}^{\infty} ds \rho^{\text{tot}}(s) e^{-s/M^2}} \leq 25\%. \quad (29)$$

As the stability of M^2 is an important requirement of the sum rule calculation and the unified criteria, we will adopt a stable Borel window M^2 to obtain the lower limit of M^2 , i.e., the HFFs to be changed by less than 2% within the Borel window. The determined Borel parameters are $M^2(\mathcal{P}_{0;t}^\pi) = 29.15 \pm 2.55$ GeV² and $M^2(\mathcal{P}_{0;t}^K) = 151 \pm$

42.5 GeV².

After determining the LCSR parameters, we give the changes in HFFs $\mathcal{P}_{0;t}^P(q^2)$ at large recoil point $q^2 \rightarrow 0$ with changes in various input parameters in [Table 3](#), where the uncertainties are from the D -meson decay constant f_D , the c -quark pole mass m_c , the Borel parameter M^2 , and the continuum threshold s_0 . It shows that the main errors of those HFFs arise from the LCDA parameters and the effective threshold parameter s_0 . Furthermore, the central values of LO and NLO contributions for $\mathcal{P}_{0;t}^P(0)$ are shown in [Table 4](#), in which the maximal NLO contributions of $\mathcal{P}_{0;t}^P(0)$ are no more than 3%. This implies that our HFFs maintain a high accuracy. Thus, it is reliable to use these HFFs for analysis of the $D \rightarrow P$ decays.

Table 3. Uncertainties of the LCSR predictions on HFFs $\mathcal{P}_{0;t}^P(0)$ caused by the errors in the input parameters.

	CV	ΔDA	Δs_0	ΔM^2	$\Delta(m_c; f_D)$
$\mathcal{P}_{0;t}^\pi(0)$	0.688	+0.004 -0.007	+0.017 -0.020	+0.007 -0.008	+0.008 -0.009
$\mathcal{P}_{0;t}^K(0)$	0.780	+0.022 -0.026	+0.008 -0.009	+0.006 -0.010	+0.001 -0.000

Table 4. The central value of $D \rightarrow P$ HFFs at the $q^2 = 0$ GeV² for LO and NLO contributions, respectively.

	$\mathcal{P}_{0;t}^K(0)$	$\mathcal{P}_{0;t}^\pi(0)$
LO	0.757	0.675
NLO	0.023	0.013
Total	0.780	0.688

Theoretically, the LCSRs for $D \rightarrow P$ HFFs are applicable in low and intermediate q^2 -regions, $q_{\text{LCSR},\text{max}}^2 \simeq m_c^2 - 2m_c E$. Specifically, with the hadronic scale $E \approx 500$ MeV [57], the $q_{\text{LCSR},\text{max}}^2$ can be taken as 0.6 GeV². The allowable physical range is $0 < q^2 \leq q_{\text{max}}^2 = (m_D - m_P)^2$, i.e. $q^2 \in [0, 3.0]$ GeV² for $D \rightarrow \pi$ and $q^2 \in [0, 1.88]$ GeV² for $D \rightarrow K$, respectively. Based on the analyticity and unitarity properties of these HFFs, the extrapolation of these HFFs to the whole physical q^2 -regions can be implemented via a rapidly converging series over the $z(t)$ -expansion [47]. Specifically, the extrapolation of the HFFs satisfies the following parameterized formula:

$$\begin{aligned} \mathcal{P}_0(t) &= \frac{1}{B(t)\phi_T^P(t)} \sum_{k=0}^{K-1} \alpha_k^{(0)} z^k(t), \\ \mathcal{P}_t(t) &= \frac{1}{B(t)\sqrt{z(t,t_-)}\phi_L^P(t)} \sum_{k=0}^{K-1} \alpha_k^{(t)} z^k(t), \end{aligned} \quad (30)$$

where $\phi_L^P(t) = \phi_T^P(t) = 1$, $\sqrt{z(t,t_-)} = \sqrt{\lambda}/m_D^2$ and

$$z(t) = \frac{\sqrt{t_+ - t} - \sqrt{t_+ - t_0}}{\sqrt{t_+ - t} + \sqrt{t_+ - t_0}}, \quad (31)$$

with $t_{\pm} = (m_D \pm m_P)^2$ and $t_0 = t_+(1 - \sqrt{1 - t_-/t_+})$.

$B(t) = 1 - q^2/m_{R,i}^2$ is a simple pole corresponding to the first resonance in the spectrum. The resonance masses of quantum number J^P are essential for the parameterization of $D \rightarrow P$ HFFs $\mathcal{P}_{0;t}^P$ [54, 58], i.e.

$$\begin{aligned} F_i &= \mathcal{P}_0^P, \quad J^P = 1^-, \quad m_{R,i} = 2.010 \text{ GeV}, \\ F_i &= \mathcal{P}_t^P, \quad J^P = 0^+, \quad m_{R,i} = 2.007 \text{ GeV}. \end{aligned}$$

The parameters a_k^σ can be determined by constraining the “quality” of fit $\Delta < 0.01$, where Δ is defined as

$$\Delta = \frac{\sum_t |\mathcal{P}_\sigma(t) - \mathcal{P}_\sigma^{\text{fit}}(t)|}{\sum_t |\mathcal{P}_\sigma(t)|}, \quad (32)$$

where $t \in [0, 0.06, \dots, 0.54, 0.6] \text{ GeV}^2$. The determined parameters $a_k^{\rho,\sigma}$ are listed in Table 5, in which all the input parameters are set to their central values. We put the extrapolated $D \rightarrow P$ HFFs $\mathcal{P}_\sigma^P(q^2)$ in Fig. 1, where the shaded band stands for the squared average of all the mentioned uncertainties. All the HFFs increase with the increase in the squared momentum transfer.

3.3 The semi-leptonic decay processes of D -meson

The differential decay rate for the process involving pseudoscalar mesons, $D \rightarrow P$, is given by [59]

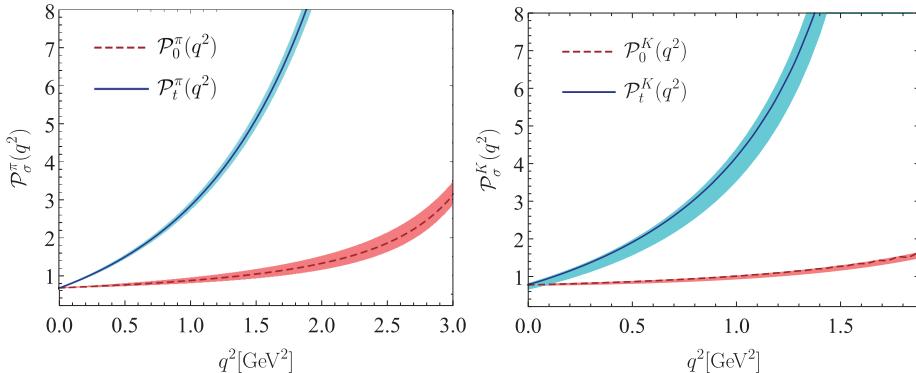


Fig. 1. (color online) Extrapolated LCSR predictions for the $D \rightarrow \pi(K)$ HFFs $\mathcal{P}_{0;t}^P(q^2)$, in which the shaded bands are squared average of those from the mentioned error sources.

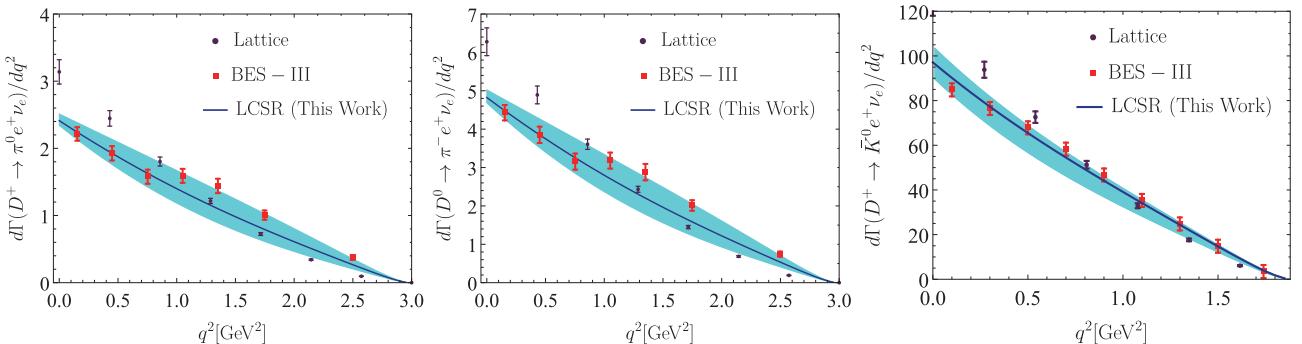


Fig. 2. (color online) LCSR predictions for the $D \rightarrow P$ decay width, the solid lines represent center values and the shaded bands correspond to their uncertainties. The BES-III [15] results and lattice [61] results are also presented for comparison.

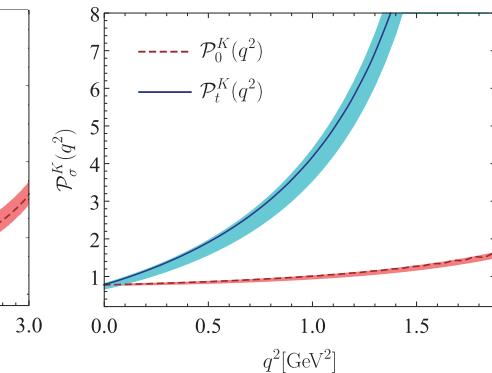
Table 5. The fitted parameters $a_{0;1;2}^P$ for the HFFs $\mathcal{P}_{0;t}^P$, where all the input parameters are set to their central values.

	\mathcal{P}_0^π	\mathcal{P}_t^π	\mathcal{P}_0^K	\mathcal{P}_t^K
a_0	0.672	1.875	0.762	1.848
a_1	-0.354	0.708	-0.784	-19.217
a_2	2.607	-47.245	20.725	-50.443
Δ	0.00016	0.00022	0.00758	0.00000

$$\begin{aligned} \frac{d\Gamma}{dq^2}(D \rightarrow P \ell \bar{\nu}_\ell) &= \frac{G_F^2 |V_{cq}|^2}{24\pi^3 m_D^2} (1 - 2\delta_\ell)^2 |\vec{p}_P| \left[(1 + \delta_\ell) \right. \\ &\quad \times m_D^2 |\vec{p}_P|^2 |\mathcal{P}_0^P(q^2)|^2 + \left. \frac{3\lambda\delta_\ell}{4} |\mathcal{P}_t^P(q^2)|^2 \right], \end{aligned} \quad (33)$$

where $\delta_\ell = m_\ell^2/(2q^2)$, $|\vec{p}_P|$ is the three-momentum of the pseudoscalar mesons in the D -meson rest frame, the Fermi coupling constant $G_F = 1.166 \times 10^{-5} \text{ GeV}^{-2}$, $|V_{cs}| = 0.944$, and $|V_{cd}| = 0.2155$ [60].

After introducing the resultant HFFs and other input parameters into Eq. (33), we can obtain the differential decay widths of $D \rightarrow P \ell \bar{\nu}_\ell$ in the entire kinematical range of the squared momentum transfer, which is shown in Fig. 2. The solid lines represent the center values and the shaded bands correspond to their uncertainties. The BES-



III collaboration [15] and lattice [61] results are also presented for comparison. Under the suppression of the factor $|\vec{p}_P| = \sqrt{\lambda}/(2m_D)$ in the decay width formula for the $D \rightarrow P\ell\bar{\nu}_\ell$ semilepton decay, the differential decay width monotonously decreases with the increment of q^2 , which is clearly illustrated in Fig. 2. More specifically, when compared with the decreasing rate of differential decay width for BES-III, our predictions are almost identical. Hence, our results agree with the BES-III measurements within error limits.

As a further step, the branching fractions of $D \rightarrow P\ell^+\nu_\ell$ can be obtained by employing $\tau(D^0) = 0.410(2)$ ps and $\tau(D^+) = 1.040(7)$ ps and integrating with the squared momentum transfer, the results are presented in Table 6. The relevant experimental results, BES-III [15], CLEO-c [8], PDG [54], Belle [7], BABAR [9], and theoretical results of the covariant quark model (CQM) [62] are also presented for comparison. Our results on the branching fractions for $D \rightarrow \pi\ell^+\bar{\nu}_\ell$ and $D \rightarrow K\ell^+\bar{\nu}_\ell$ are consistent with the BES-III measurements within error limits. Compared with the CQM theoretical predictions for the $D^+ \rightarrow \pi^0\ell^+\nu_\ell$ channel, our results are closer to the experimental results.

Furthermore, the ratios for branching fractions for different lepton channels are defined as, $R_P = \Gamma(D \rightarrow P\mu^+\nu_\mu)/\Gamma(D \rightarrow Pe^+\nu_e)$. After inputting the $D \rightarrow P\ell^+\nu_\ell$ decay width into the above equation and replacing HFFs with Eqs. (16) and (17), we can get ratios of semileptonic fractions as follows:

$$\begin{aligned} R_{\pi^0} &= \frac{\Gamma(D^+ \rightarrow \pi^0\mu^+\nu_\mu)}{\Gamma(D^+ \rightarrow \pi^0e^+\nu_e)} = 0.991^{+0.230}_{-0.197}, \\ R_{\pi^-} &= \frac{\Gamma(D^0 \rightarrow \pi^-\mu^+\nu_\mu)}{\Gamma(D^0 \rightarrow \pi^-e^+\nu_e)} = 0.992^{+0.231}_{-0.198}, \\ R_{\bar{K}^0} &= \frac{\Gamma(D^+ \rightarrow \bar{K}^0\mu^+\nu_\mu)}{\Gamma(D^+ \rightarrow \bar{K}^0e^+\nu_e)} = 0.987^{+0.156}_{-0.138}, \\ R_{K^-} &= \frac{\Gamma(D^0 \rightarrow K^-\mu^+\nu_\mu)}{\Gamma(D^0 \rightarrow K^-e^+\nu_e)} = 0.987^{+0.156}_{-0.138}. \end{aligned} \quad (34)$$

Table 6. Branching fractions of $D \rightarrow P\ell^+\nu_\ell$ (in unit 10^{-2}). The errors are squared averages of all the mentioned error sources. For comparison, we also present the prediction of various methods.

Channel	LCSR(This Work)	BES-III [15]	CLEO-c [8]	BABAR [9]	Belle [7]	PDG [54]	CQM [62]
$D^+ \rightarrow \bar{K}^0e^+\nu_e$	$8.547^{+0.445}_{-1.108}$	8.60(6)(15)	8.83(10)(20)	–	–	–	8.84
$D^+ \rightarrow \bar{K}^0\mu^+\nu_\mu$	$8.435^{+0.437}_{-1.100}$	8.72(7)(18)	–	–	–	–	8.60
$D^+ \rightarrow \pi^0e^+\nu_e$	$0.328^{+0.056}_{-0.044}$	0.36(8)(5)	0.405(16)(9)	–	–	–	0.309
$D^+ \rightarrow \pi^0\mu^+\nu_\mu$	$0.325^{+0.056}_{-0.044}$	–	–	–	–	–	0.303
$D^0 \rightarrow K^-e^+\nu_e$	$3.370^{+0.176}_{-0.437}$	3.505(14)(33)	3.50(3)(4)	–	3.45(7)(20)	3.538(33)	3.46
$D^0 \rightarrow K^-\mu^+\nu_\mu$	$3.325^{+0.172}_{-0.433}$	3.505(14)(33)	–	–	–	3.33(13)	3.36
$D^0 \rightarrow \pi^-e^+\nu_e$	$0.258^{+0.044}_{-0.035}$	0.295(4)(3)	0.288(8)(3)	0.277(7)(9)	0.255(19)(16)	–	0.239
$D^0 \rightarrow \pi^-\mu^+\nu_\mu$	$0.256^{+0.044}_{-0.035}$	–	–	–	–	0.238(24)	0.235

The above ratios from our calculations are consistent with the CQM prediction $R_{\bar{K}^0}^{\text{CQM}} = 0.97$ [62], which is very close to 1.

Moreover, the obtained HFFs can be used to calculate the forward-backward asymmetry $\mathcal{A}_{\text{FB}}(q^2)$, which can be expressed as follows:

$$\mathcal{A}_{\text{FB}}^\ell(q^2) = \frac{3\delta_\ell \mathcal{P}_0^P(q^2)\mathcal{P}_t^P(q^2)}{(1+\delta_\ell)|\mathcal{P}_0^P(q^2)|^2 + 3|\mathcal{P}_t^P(q^2)|^2}. \quad (35)$$

Further, the lepton side convexity parameter $C_F^\ell(q^2)$ can be written as:

$$C_F^\ell(q^2) = -\frac{3}{2} \frac{(1-2\delta_\ell)|\mathcal{P}_0^P(q^2)|^2}{(1+\delta_\ell)|\mathcal{P}_0^P(q^2)|^2 + 3\delta_\ell|\mathcal{P}_t^P(q^2)|^2}. \quad (36)$$

After inputting the HFFs into Eqs. (35) and (36), we present the forward-backward asymmetry and the lepton convexity parameter within uncertainties in Fig. 3. Finally, we list the mean values for forward-backward asymmetry $\langle \mathcal{A}_{\text{FB}}^\ell \rangle$ and lepton convexity parameter $\langle C_F^\ell \rangle$ in Table 7. It is seen that for both $D \rightarrow \pi\ell\bar{\nu}_\ell$ and $D \rightarrow K\ell\bar{\nu}_\ell$ decay transitions, $\langle \mathcal{A}_{\text{FB}}^\ell \rangle$ is approximately 10^5 times larger than $\langle \mathcal{A}_{\text{FB}}^e \rangle$, while $\langle C_F^\ell \rangle$ is slightly larger than $\langle C_F^e \rangle$. For $D \rightarrow P\ell\bar{\nu}_\ell$, our predictions are different from the CQM results [62]. Considering that the formulas in Eqs. (35) and (36) refer to HFFs, these differences may provide a way to test those HFFs in future experiments.

4 Summary

In this study, we investigated the $D \rightarrow P$ HFFs $\mathcal{P}_\sigma^P(q^2)$ with $\sigma = 0, t$ within the LCSR approach up to NLO gluon radiation correction for twist-2 contributions accuracy. At large recoil point $q^2 \approx 0 \text{ GeV}^2$, we have $\mathcal{P}_{t,0}^\pi(0) = 0.688^{+0.020}_{-0.024}$ and $\mathcal{P}_{t,0}^K(0) = 0.780^{+0.024}_{-0.029}$. The detailed uncertainties of these predictions caused by the errors in the input parameters are given in Table 3. Then, contributions of the LO and NLO to the LCSR results are given in Table 4, and the maximal contribution of NLO for $\mathcal{P}_{0,t}^P(0)$ is

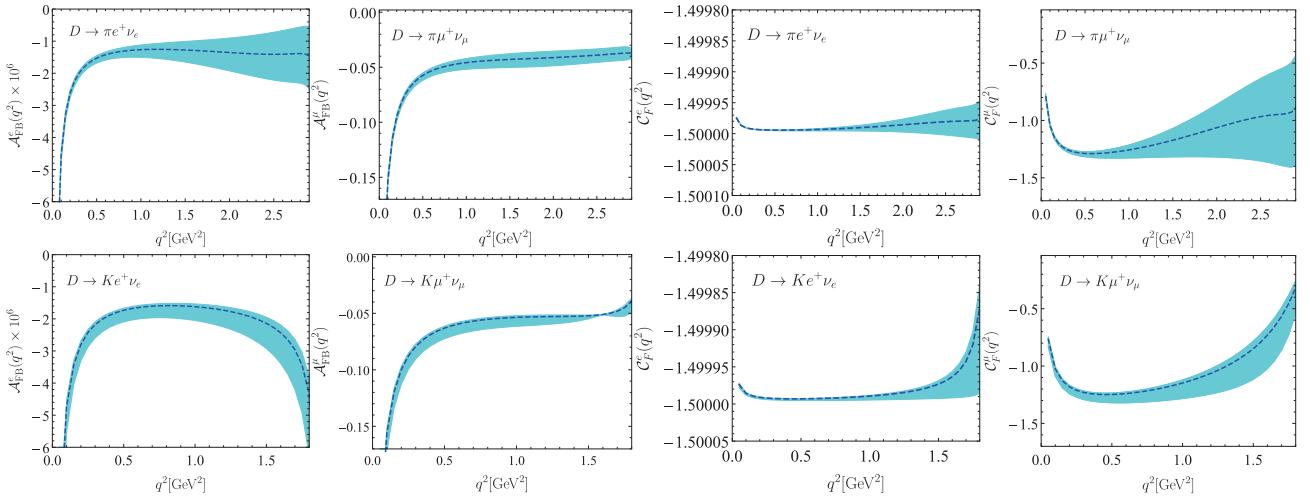


Fig. 3. (color online) Forward-backward asymmetries and the convexity parameters of the decays $D \rightarrow \pi \ell^+ \nu_\ell$ and $D \rightarrow K \ell^+ \nu_\ell$ with $\ell = e, \mu$; the shaded bands correspond to the uncertainties from the theoretical input parameters.

Table 7. Forward-backward asymmetry and lepton convexity parameter. The errors are squared averages from all the mentioned error sources.

Channel	$\langle \mathcal{A}_{FB}^{\ell} \rangle$ (This work)	$\langle C_F^{\ell} \rangle$ (This work)	$\langle \mathcal{A}_{FB}^{\ell} \rangle$ (CQM) [62]	$\langle C_F^{\ell} \rangle$ (CQM) [62]
$D \rightarrow \pi e^+ \nu_e$	$(-4.827^{+0.947}_{-1.247}) \times 10^{-6}$	$-4.425^{+0.000}_{-0.000}$	-4.1×10^{-6}	-1.5
$D \rightarrow \pi \mu^+ \nu_\mu$	$-0.155^{+0.013}_{-0.017}$	$-3.303^{+0.396}_{-0.509}$	-0.04	-1.37
$D \rightarrow K e^+ \nu_e$	$(-4.564^{+0.316}_{-1.310}) \times 10^{-6}$	$-2.775^{+0.000}_{-0.000}$	-4.27×10^{-6}	-1.5
$D \rightarrow K \mu^+ \nu_\mu$	$-0.123^{+0.003}_{-0.015}$	$-1.866^{+0.063}_{-0.274}$	-0.058	-1.32

no more than 3%. These results indicate that the HFFs maintain a high-accuracy and our predictions, which are based on it, are credible.

After extrapolating the $D \rightarrow P$ HFFs to the whole physical q^2 -region, the behavior of these HFFs within uncertainties was depicted in Fig. 1. Furthermore, we applied these HFFs to study the semilepton decay processes $D \rightarrow P \ell \nu_\ell$. For the decay width in Fig. 2, our results agreed with the BES-III collaboration within error limits, especially in the low q^2 regions. Using the D -meson lifetime, we obtained the two types of branching ratios and listed them in Table 6. Our predictions are in good agreement with the BES-III and other experimental results, providing a better prediction of the $D \rightarrow \pi \ell \nu_\ell$ decay process than that of the CQM [62]. Meanwhile, we listed the ratios of branching fractions with different lepton chan-

nels R_P in Eq. (34), which showed that $R_{\bar{K}^0} = R_{K^-}$ and all values of these ratios are close to 1.

As a further step, by taking the $D \rightarrow P$ HFFs into the forward-backward asymmetry $\mathcal{A}_{FB}^{\ell}(q^2)$ and the lepton convexity parameter $C_F^{\ell}(q^2)$, we plot these two observables in Fig. 3. Meanwhile, the mean values for the forward-backward asymmetry $\langle \mathcal{A}_{FB}^{\ell} \rangle$ and lepton convexity parameter $\langle C_F^{\ell} \rangle$ are listed in Table 7. The table shows that $\langle \mathcal{A}_{FB}^{\mu} \rangle$ are approximately 10^5 times larger than $\langle \mathcal{A}_{FB}^e \rangle$. There is a considerable difference between our result and the CQM for both $\langle \mathcal{A}_{FB}^{\ell} \rangle$ and $\langle C_F^{\ell} \rangle$ for the $D \rightarrow P \ell \nu_\ell$ decay. This discrepancy may provide a way to test those HFFs in future experiments.

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