

Off-equatorial deflections and gravitational lensing. II. In general stationary and axisymmetric spacetimes*

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Abstract: In this work, we develop a general perturbative procedure to determine the off-equatorial plane deflections in the weak deflection limit in general stationary and axisymmetric spacetimes, enabling the existence of the generalized Carter constant. Deflections of both null and timelike rays, with the finite distance effect of the source and detector considered, are obtained as dual series of M/r_0 and $r_0/r_{s,d}$. These deflections enable a set of exact gravitational lensing equations from which the apparent angular positions of the images are solved. The method and general results are then applied to the Kerr-Newmann, Kerr-Sen, and rotating Simpson-Visser spacetimes to study the effect of the spin and characteristic (effective) charge of the spacetimes and the source altitude on the deflection angles and image apparent angles. We find that, generally, both the spacetime spin and charge affect only the deflections from the second non-trivial order, whereas the source altitude influences the deflection from the leading order. Because of this, measuring the effects of the spacetime spin and charge from the apparent locations of the images in gravitational lensing in realistic scenarios is difficult. We also present the off-equatorial deflections in the rotating Bardeen, Hayward, Ghosh, and Tinchev black hole spacetimes.

Keywords: deflection angle, gravitational lensing, stationary and axisymmetric spacetimes, off-equatorial plane, perturbative method

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I. INTRODUCTION

The deflection of light rays in gravity has been extensively studied from the early stage of General Relativity [1, 2]. Today, gravitational lensing (GL) has developed into an effective tool in astronomy, ranging from measuring the mass of galaxies or their clusters [3], studying distributions of dark matter [4], investigating the properties of supernovas [5], to testing alternative gravitational theories [6, 7].

The simplest scenario of signal deflection and GL is that of light rays in static and spherically symmetric (SSS) spacetimes or in the equatorial plane of stationary and axisymmetric (SAS) spacetimes in the weak deflection limit (WDL). With the rapid development of astroparticle physics [8, 9], gravitational wave detection [10], and black hole (BH) imaging [11, 12], significant efforts have been devoted to the extension of the deflection and GL of timelike signals [13–18], with finite source and detector distance [19], and in the strong deflection limit [20–22]. Different analytical methods have also been de-

veloped, including perturbative methods [22–25] and the more recent Gauss-Bonnet theorem-based methods [16–18, 26].

However, extension to the non-equatorial deflection and GL in SAS spacetime is still rare (essentially non-equatorial motion does not occur in SSS spacetime), except in Kerr [27–33] and Kerr-Newmann (KN) [34, 35] spacetime. Owing to the complexity of the motion equations for off-equatorial trajectories, only a few works have studied deflection and GL in the quasi-equatorial motion of null rays [36, 37] or they have been studied only numerically in the general non-equatorial case [38, 39] in other spacetimes; neither has the deflection of both null and timelike rays been investigated. In Ref. [33], the general non-equatorial deflection and GL in Kerr spacetime were studied perturbatively for the first time for both null and timelike rays in the WDL, with the finite distance effect considered. One of the motivations of the current work is to study the condition under the form of SAS spacetime for the perturbative method to be feasible for non-equatorial deflection and GL. We show that for

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many SAS spacetimes satisfying a separation condition that enables a generalized Carter constant (GCC), the perturbative method is always valid for both null and timelike rays with the finite distance effect automatically considered. The second motivation is to reveal the effects of various spacetime parameters on such deflections and GL. The spacetimes being considered are the KN, Kerr-Sen (KS) [40], rotating Simpson–Visser (RSV) [41], and other spacetimes [42–45].

The remainder of this paper is organized as follows. In Sec. II A, we introduce the basic setup of the problem, establish the equations of motion, and study a condition for the perturbative method to work. In Sec. III, we explore a perturbative method to solve the deflection angle in both θ and ϕ directions. The off-equatorial GL equations are then solved in Sec. IV to obtain the apparent angles of the lensed images. In Sec. V, the method and deflection and GL results are applied to the KN, KS, and RSV spacetimes, and the effects of their characteristic parameters are studied. We conclude the paper with a summary and discussion in Sec. VI. Throughout the paper, we use the natural unit $G = c = 1$ and the spacetime signature $(-, +, +, +)$.

II. GENERAL FRAMEWORK

In this section, we derive the deflection angles in the θ and ϕ directions for SAS spacetimes. We show that this is always possible when the metric functions satisfy certain conditions, such that a proper separation of variables in the equations of motion, or equivalently the existence of a GCC, can be accomplished.

A. Preliminaries

We begin from the most general SAS spacetime, whose metric can always be expressed in the following form:

$$ds^2 = -Adt^2 + Bdt d\phi + Cd\phi^2 + Ddr^2 + Fd\theta^2, \quad (1)$$

where t, r, θ, ϕ are the Boyer-Lindquist coordinates, and A, B, C, D, F are functions of r and θ only. This metric allows two commutative Killing vectors:

$$\xi^\mu = \left(\frac{\partial}{\partial t}\right)^\mu, \psi^\mu = \left(\frac{\partial}{\partial \phi}\right)^\mu, [\xi, \psi]^\mu = 0,$$

where the spacelike ψ^μ corresponds to the rotation symmetry and timelike ξ^μ to the time translation symmetry. These Killing vectors correspond to two conserved quantities of the motion:

$$E = At - \frac{1}{2}B\dot{\phi}, \quad (2)$$

$$L = \frac{1}{2}B\dot{t} + C\dot{\phi}. \quad (3)$$

Here, the dot denotes the derivative to the proper time or affine parameter λ of the motion, and E and L can be interpreted as the energy and angular momentum of the particle (per unit mass), respectively. In asymptotically flat spacetimes, E can also be related to the asymptotic velocity v of the particle through

$$E = \frac{1}{\sqrt{1-v^2}}. \quad (4)$$

The asymptotic velocity v is the magnitude of the spatial component of the four-velocity of the test particle. From these equations, we can obtain two first derivatives:

$$\dot{t} = \frac{2BL + 4EC}{B^2 + 4AC}, \quad (5)$$

$$\dot{\phi} = \frac{4AL - 2BE}{B^2 + 4AC}. \quad (6)$$

Now, for the equations of motion of the r and θ coordinates, we can simply express their geodesic equations. However, they are second-order equations that are very complicated to simplify. In this work, we will limit our choices of the SAS spacetimes, *i.e.*, placing conditions on the metric functions, such that the motions enable a third conserved constant, *i.e.*, the GCC [46]. We note that unlike the Kerr spacetime case, which not only contains a Carter constant [47] but even second-order Killing tensors [48], the existence of the GCC is not guaranteed in all SAS spacetimes. For those SAS spacetime without the GCC, many of them are non-integrable systems and even the geodesics are chaotic, such as Johannsen-Psaltis spacetime [49] and Zipoy-Voorhees spacetime [50]. We will not study these spacetimes in this work.

To observe the requirements of the existence of such a GCC and obtain (simpler) equations of motion for r and θ , we use the Hamiltonian-Jacobian approach. Our starting point is the action of the free particle for a separable solution, which is

$$S = -\frac{1}{2}\kappa\lambda - Et + L\phi + S^{(r)}(r) + S^{(\theta)}(\theta), \quad (7)$$

where $\kappa = 0, -1$ for null and timelike particles, respectively. Here and hereafter, any function with an (r) or (θ) superscript is a function of r or θ only. The Hamilton-Jacobi equation is given by

$$\frac{1}{2}g^{\mu\nu}\frac{\partial S}{\partial x^\mu}\frac{\partial S}{\partial x^\nu}-\frac{1}{2}\kappa=0. \quad (8)$$

Substituting Eq. (7), this becomes

$$\frac{1}{D}\left(\frac{dS^{(r)}}{dr}\right)^2+\frac{1}{F}\left(\frac{dS^{(\theta)}}{d\theta}\right)^2-\kappa+\frac{4AL^2-4BEL-4CE^2}{B^2+4AC}=0. \quad (9)$$

We then seek metrics that allow this equation, after being multiplied by a proper total factor function $G(r, \theta)$, to be separable into r and θ dependent parts. This can be accomplished if the metric functions A, B, C, D, F and factor G can cast the left-hand sides of the following equations into their right-hand sides [46]:

$$\frac{G(r, \theta)}{D(r, \theta)}=\mathcal{D}(r), \quad \frac{G(r, \theta)}{F(r, \theta)}=\mathcal{F}(\theta), \quad (10a)$$

$$\frac{X(r, \theta)G(r, \theta)}{B^2+4AC}\equiv X^{(r)}(r)+X^{(\theta)}(\theta), \quad (10b)$$

$$G(r, \theta)=G^{(r)}(r)+G^{(\theta)}(\theta), \quad (10c)$$

where $X \in \{A, B, C\}$. Note that the condition (10c) is for the separability of the $\kappa = -1$ case and unnecessary for null signals. Condition (10a) implies

$$\frac{F(r, \theta)}{D(r, \theta)}=\frac{\mathcal{D}(r)}{\mathcal{F}(\theta)}. \quad (11)$$

In practice, the functions on the right-hand sides of Eq. (10) as well as $G(r, \theta)$ can be obtained from the left-hand sides and Eq. (11). Additionally, a freedom of a multiplicative constant occurs in functions $\mathcal{D}(r)$ and $\mathcal{F}(\theta)$, and additive constant freedom occur in each pair of functions $X^{(r)}$ and $X^{(\theta)}$. Indeed, we can demonstrate that these freedoms will be canceled out in the final equations of motion (17) and (18) and therefore do not affect the physics. Moreover, many SAS spacetimes, including the Kerr spacetime, satisfy these conditions (10).

A few comments about the variable separation condition (10) might be useful for their clear understanding here. This work shows that the spacetimes satisfying condition (10) can always be treated using our method, whereas those spacetimes not satisfying (10) are not treatable using the method developed in this work. Hence, condition (10) is both a sufficient and necessary condition for the applicability of our method. However, Eq. (10) is only a sufficient condition for the separability of the equations of motion and we cannot prove that it is also a necessary condition, although we cannot provide any counter-example either. In other words, it is unclear

to us whether spacetimes (unknown to us) exist that do not satisfy condition (10) but still allow the separation of its variables.

Using condition (10) in Eq. (9) and separating the r and θ dependent parts, we obtain

$$\begin{aligned} &4L^2A^{(r)}-\kappa G^{(r)}-4ELB^{(r)}-4E^2C^{(r)}+\mathcal{D}(r)\left(\frac{dS^{(r)}}{dr}\right)^2 \\ &= \kappa G^{(\theta)}-4L^2A^{(\theta)}+4ELB^{(\theta)}+4E^2C^{(\theta)}-\mathcal{F}(\theta)\left(\frac{dS^{(\theta)}}{d\theta}\right)^2 \\ &\equiv K, \end{aligned} \quad (12)$$

where the assigned constant K is the GCC we are searching for. Note that this GCC also allows some constants because we can always add or multiply a constant to both sides of the first equal sign in Eq. (12). However, these additive or multiplicative constants will not affect the dynamics; therefore, they can be selected freely. Thus, Eq. (12) can be split into two equations:

$$\left(\frac{dS^{(r)}}{dr}\right)^2=\frac{\kappa G^{(r)}-4L^2A^{(r)}+4E^2C^{(r)}+4ELB^{(r)}+K}{\mathcal{D}(r)}\equiv R(r), \quad (13)$$

$$\left(\frac{dS^{(\theta)}}{d\theta}\right)^2=\frac{\kappa G^{(\theta)}-4L^2A^{(\theta)}+4E^2C^{(\theta)}+4ELB^{(\theta)}-K}{\mathcal{F}(\theta)}\equiv \Theta(\theta), \quad (14)$$

where we have defined two compact functions $R(r)$ and $\Theta(\theta)$ to simplify the notation. When the metric functions are known, these two functions can be determined. Therefore, functions $S^{(r)}$ and $S^{(\theta)}$ can be solved, and the action (7) becomes

$$S=-\frac{1}{2}\kappa\lambda-Et+L\phi+\int\pm_r\sqrt{R}dr+\int\pm_\theta\sqrt{\Theta}d\theta, \quad (15)$$

where \pm_r and \pm_θ are two signs introduced when taking the square roots in Eqs. (13) and (14). The motion equations for r and θ coordinates are determined using

$$\frac{\partial S}{\partial x^\mu}=P_\mu=g_{\mu\nu}\dot{x}^\nu \quad (16)$$

to be

$$\dot{r}=\frac{\pm_r\sqrt{R}}{D(r, \theta)}, \quad (17)$$

$$\dot{\theta}=\frac{\pm_\theta\sqrt{\Theta}}{F(r, \theta)}. \quad (18)$$

B. Defining the deflection $\Delta\phi$ and $\Delta\theta$

One of the main goals of this work is to determine the deflection angles of the trajectory in the WDL. Denoting the source and the detector coordinates as (r_s, ϕ_s, θ_s) and (r_d, ϕ_d, θ_d) , respectively, this goal is equivalent to determining

$$\Delta\phi \equiv \phi_d - \phi_s \text{ and } \Delta\theta \equiv \theta_d + \theta_s - \pi. \quad (19)$$

In the WDL, we can reasonably assume that during the propagation of the signal, it experiences only one periapsis with radius $r_0 \gg M$, where M is the characteristic length scale of the spacetime, and one extreme value of the azimuth angle θ_m . *i.e.*,

$$\dot{r}|_{r=r_0} = 0, \quad \dot{\theta}|_{\theta=\theta_m} = 0. \quad (20)$$

The existence of such θ_m means that it is either closer to 0 or π than both θ_s and θ_d ; therefore, we always have $|\cos\theta_m| > |\cos\theta_{s,d}|$. From Eqs. (17) and (18), we observe that the above can be inverted to

$$r_0 = R^{-1}(0), \quad \theta_m = \Theta^{-1}(0). \quad (21)$$

After substituting Eqs. (13) and (14), this yields the more explicit relation

$$L = \frac{E(B^{r_0} + B^{\theta_m}) + s_2 \sqrt{\Xi}}{2(A^{r_0} + A^{\theta_m})}, \quad (22)$$

$$K = \frac{2E(A^{r_0}B^{\theta_m} - A^{\theta_m}B^{r_0}) [E(B^{r_0} + B^{\theta_m}) + s_2 \sqrt{\Xi}]}{(A^{r_0} + A^{\theta_m})^2} + \frac{\kappa(A^{r_0}G^{\theta_m} - A^{\theta_m}G^{r_0}) + 4E^2(A^{r_0}C^{\theta_m} - A^{\theta_m}C^{r_0})}{A^{r_0} + A^{\theta_m}}, \quad (23)$$

where

$$\begin{aligned} \Xi &= (A^{r_0} + A^{\theta_m}) [\kappa(G^{r_0} + G^{\theta_m}) + 4E^2(C^{r_0} + C^{\theta_m})] \\ &\quad + E^2(B^{r_0} + B^{\theta_m})^2, \\ X^{r_0} &= X^{(r)}(r_0), \quad X^{\theta_m} = X^{(\theta)}(\theta_m), \quad X \in \{A, B, C, G\}, \end{aligned}$$

and $s_2 = \pm 1$ is introduced when solving a quadratic equation. These relations connect the motion constants (E, L, K) with (r_0, θ_m) . In Sec. III, we will use (r_0, θ_m) to replace (L, K) because the latter are less intuitive and often more difficult to measure in astronomy. For example, r_0 for the bending by the Sun can be approximated using the solar radius.

To obtain the deflections $\Delta\phi$ and $\Delta\theta$, we first slightly

transform the equations of motion (6), (17), and (18) and show that they can be integrated. First, from Eqs. (17) and (18), we easily find

$$d\lambda = \frac{D}{\pm_r \sqrt{R}} dr = \frac{F}{\pm_\theta \sqrt{\Theta}} d\theta, \quad (24)$$

which, after dividing $G(r, \theta)$ and using Eq. (10a), yields

$$\frac{1}{\pm_r \sqrt{R\mathcal{D}}} dr = \frac{1}{\pm_\theta \sqrt{\Theta\mathcal{F}}} d\theta. \quad (25)$$

In contrast, substituting Eq. (10b) into Eq. (6), we have

$$d\phi = \frac{4LA^{(r)} - 2EB^{(r)} + 4LA^{(\theta)} - 2EB^{(\theta)}}{G(r, \theta)} d\lambda. \quad (26)$$

After using Eqs. (10a) and (25), the r and θ dependent parts in this equation are separated:

$$d\phi = \frac{4LA^{(r)} - 2EB^{(r)}}{\pm_r \sqrt{R\mathcal{D}}} dr + \frac{4LA^{(\theta)} - 2EB^{(\theta)}}{\pm_\theta \sqrt{\Theta\mathcal{F}}} d\theta. \quad (27)$$

Integrating Eq. (27), we directly obtain $\Delta\phi$

$$\begin{aligned} \Delta\phi &= \left[\int_{r_0}^{r_s} + \int_{r_0}^{r_d} \right] \frac{4LA^{(r)} - 2EB^{(r)}}{\sqrt{R\mathcal{D}}} dr \\ &\quad + s_1 \left[\int_{\theta_m}^{\theta_s} + \int_{\theta_m}^{\theta_d} \right] \frac{4LA^{(\theta)} - 2EB^{(\theta)}}{\sqrt{\Theta\mathcal{F}}} d\theta. \end{aligned} \quad (28)$$

Note that when integrating from r_s to r_0 (or r_0 to r_d), the first term of Eq. (27) is expected to have $\pm_r = -1$ (or $\pm_r = +1$). When integrating from θ_s to θ_m (or θ_m to θ_d), $\pm_\theta = -1$ (or $\pm_\theta = +1$) if θ_m is a minimum or $\pm_\theta = +1$ (or $\pm_\theta = -1$) if θ_m is a maximum. These sign values cause the extra $s_1 = \text{sign}(\cos(\theta_m))$ sign in front of the second integral in Eq. (28). Similarly, by integrating Eq. (25), we obtain the following relation between initial and final θ coordinates:

$$\left[\int_{r_0}^{r_s} + \int_{r_0}^{r_d} \right] \frac{1}{\sqrt{R\mathcal{D}}} dr = s_1 \left[\int_{\theta_m}^{\theta_s} + \int_{\theta_m}^{\theta_d} \right] \frac{1}{\sqrt{\Theta\mathcal{F}}} d\theta. \quad (29)$$

This relation enables us to solve θ_d when θ_s , the spacetime, and other kinetic variables are known. Therefore, from this, we can determine the deflection in the θ direction as defined in Eq. (19).

III. PERTURBATIVE METHOD AND DEFLECTIONS

The integrations (28) and (29), which solve the de-

flection angles, often cannot be used to obtain closed analytical forms. Therefore, in this section, we develop the perturbative method to approximate these integrals and then obtain the deflection.

A. Perturbative Method

The main concept of the perturbative method is selecting appropriate expansion parameter(s) and expanding the integrands into simpler series such that the integrations become executable. The WDL has a naturally small parameter $1/r_0$ suitable for this purpose. When expanding the integrands in Eqs. (28) and (29), we can also anticipate that the expansion coefficients will explicitly depend on the asymptotic behavior of the metric functions. After a short survey of the applicable spacetime metrics of our method, we found the following expansions can be assumed for the functions $X^{(\mu)}$ ($\mu = r, \theta$) and $\mathcal{D}(r)$ and $\mathcal{F}(\theta)$

$$A^{(r)} = \sum_{n=2}^{\infty} \frac{a_n}{r^n}, \quad A^{(\theta)} = \frac{1}{4 \sin^2 \theta}, \quad (30a)$$

$$B^{(r)} = \sum_{n=2}^{\infty} \frac{b_n}{r^{n-1}}, \quad B^{(\theta)} = 0, \quad (30b)$$

$$C^{(r)} = \sum_{n=0}^{\infty} \frac{c_n}{r^{n-2}}, \quad C^{(\theta)} = -\frac{a^2 \sin^2 \theta}{4}, \quad (30c)$$

$$\mathcal{D}(r) = \sum_{n=0}^{\infty} \frac{d_n}{r^{n-2}}, \quad \mathcal{F}(\theta) = 1, \quad (30d)$$

$$G^{(r)} = \sum_{n=0}^{\infty} \frac{g_n}{r^{n-2}}, \quad G^{(\theta)} = a^2 \cos^2 \theta, \quad (30e)$$

where the constant a can be interpreted as the spacetime spin, and without losing any generality, we can always assume $a \geq 0$. Other coefficients a_n, b_n, c_n, d_n, g_n can be determined when the metric functions are known. Note that for the θ functions of the above form, the relation (20) between θ_m and other parameters becomes very explicit as

$$a^2 (E^2 + \kappa) c_m^4 - (K + 2a^2 E^2 + \kappa a^2) c_m^2 + a^2 E^2 + K + L^2 = 0 \quad (31)$$

and in principle, we can solve θ_m in terms of other parameters if required. Substituting the above series and using the following simple changes of variables

$$p \equiv \frac{r_0}{r}, \quad c \equiv \cos \theta, \quad s \equiv \sin \theta \quad (32)$$

in the integrals of Eqs. (28) and (29), we can further expand them with $1/r_0$ as the small parameter into the following series forms:

$$\begin{aligned} \Delta\phi = & \left[\int_1^{p_s} + \int_1^{p_d} \right] \sum_{i=2}^{\infty} n_{r,i}(p) \left(\frac{1}{r_0} \right)^i dp \\ & + s_1 \left[\int_{c_m}^{c_s} + \int_{c_m}^{c_d} \right] \sum_{i=0}^{\infty} \frac{n_{\theta,i}(c)}{\sqrt{c_m^2 - c^2}} \left(\frac{1}{r_0} \right)^i dc, \end{aligned} \quad (33)$$

$$\begin{aligned} & \left[\int_1^{p_s} + \int_1^{p_d} \right] \sum_{i=1}^{\infty} m_{r,i}(p) \left(\frac{1}{r_0} \right)^i dp \\ = & s_1 \left[\int_{c_m}^{c_s} + \int_{c_m}^{c_d} \right] \sum_{i=1}^{\infty} \frac{m_{\theta,i}(c)}{\sqrt{c_m^2 - c^2}} \left(\frac{1}{r_0} \right)^i dc, \end{aligned} \quad (34)$$

where $c_{s,d,m}, s_{s,d,m}$, and the small dimensionless quantities $p_{s,d}$ are defined as

$$p_{s,d} = r_0/r_{s,d}, \quad c_{s,d,m} = \cos \theta_{s,d,m}, \quad s_{s,d,m} = \sin \theta_{s,d,m}.$$

The coefficients $n_{r,i}, n_{\theta,i}, m_{r,i}, m_{\theta,i}$ can be computed to any desired high order. Here, we only list their first few orders:

$$n_{r,2} = \frac{2p}{\sqrt{d_0(1-p^2)}} \left(\frac{b_2 E}{\sqrt{\kappa g_0 + 4E^2 c_0}} - 2s_2 s_m a_2 p \right), \quad (35a)$$

$$n_{\theta,0} = -\frac{s_2 s_m}{1-c^2}, \quad (35b)$$

$$n_{\theta,1} = 0, \quad (35c)$$

$$n_{\theta,2} = -\frac{s_2 s_m a^2 (\kappa + E^2)}{2(\kappa g_0 + 4E^2 c_0)}, \quad (35d)$$

$$m_{r,1} = -\frac{1}{\sqrt{(\kappa g_0 + 4E^2 c_0)(1-p^2)} d_0}, \quad (35e)$$

$$m_{r,2} = \frac{(4E^2 c_0 + \kappa g_0) d_1 p(1+p) + (4E^2 c_1 + \kappa g_1) d_0 p}{2(1+p) \sqrt{(\kappa g_0 + 4E^2 c_0)^3 (1-p^2)} d_0^3}, \quad (35f)$$

$$m_{\theta,1} = -\frac{1}{\sqrt{\kappa g_0 + 4E^2 c_0}}, \quad (35g)$$

$$m_{\theta,2} = \frac{\kappa g_1 + 4E^2 c_1}{2(\kappa g_0 + 4E^2 c_0)^{3/2}}. \quad (35h)$$

Some higher-order terms are presented in Appendix A. For the integrals over p in Eqs. (33) and (34), we can show that their integrands are always of the form $\text{polynomial}(p)/(1-p^2)^{n+1/2}$ ($n=0, 1, \dots$) and therefore integrable [33]. For the integral over c in these equations, their integrands are always of the form $\text{polynomial}(c)/[(1-c^2)^n \sqrt{c_m^2 - c^2}]$ ($n=0, 1$) and therefore also integrable.

The results of the integrations are of the form

$$\Delta\phi = \sum_{j=s,d} \sum_{i=2}^{\infty} N_{r,i}(p_j) \left(\frac{1}{r_0}\right)^i + \sum_{j=s,d} \sum_{i=0}^{\infty} N_{\theta,i}(c_j, c_m) \left(\frac{1}{r_0}\right)^i, \quad (36)$$

$$\sum_{j=s,d} \sum_{i=1}^{\infty} M_{r,i}(p_j) \left(\frac{1}{r_0}\right)^i = \sum_{j=s,d} \sum_{i=1}^{\infty} M_{\theta,i}(c_j, c_m) \left(\frac{1}{r_0}\right)^i, \quad (37)$$

where $N_{r,i}, N_{\theta,i}, M_{r,i}, M_{\theta,i}$ are the corresponding integral results of the $n_{r,i}, n_{\theta,i}, m_{r,i}, m_{\theta,i}$ terms. Again, the first few terms are

$$N_{r,2} = \frac{2s_2 s_m a_2}{\sqrt{d_0}} \left[p_j \sqrt{1-p_j^2} + \cos^{-1}(p_j) \right] - \frac{2b_2 E \sqrt{1-p_j^2}}{\sqrt{d_0}(\kappa g_0 + 4E^2 c_0)}, \quad (38a)$$

$$N_{\theta,0} = \frac{s_2 \pi}{2} - s_1 s_2 \tan^{-1} \left(\frac{c_j s_m}{\sqrt{c_m^2 - c_j^2}} \right), \quad (38b)$$

$$N_{\theta,1} = 0, \quad (38c)$$

$$N_{\theta,2} = \frac{s_2 s_m a^2 (\kappa + E^2)}{2(\kappa g_0 + 4E^2 c_0)} \cos^{-1} \left(\frac{c_j}{c_m} \right), \quad (38d)$$

$$M_{r,1} = \frac{\cos^{-1}(p_j)}{\sqrt{(\kappa g_0 + 4E^2 c_0) d_0}}, \quad (38e)$$

$$M_{r,2} = \frac{4E^2 c_1 d_0 + \kappa g_1 d_0}{2[(\kappa g_0 + 4E^2 c_0) d_0]^{3/2}} \left[\sqrt{\frac{1-p_j}{1+p_j}} - \cos^{-1}(p_j) \right] - \frac{(\kappa g_0 + 4E^2 c_0) d_1}{(\kappa g_1 + 4E^2 c_1) d_0} \sqrt{1-p_j^2}, \quad (38f)$$

$$M_{\theta,1} = \frac{1}{\sqrt{\kappa g_0 + 4E^2 c_0}} \cos^{-1} \left(\frac{c_j}{c_m} \right), \quad (38g)$$

$$M_{\theta,2} = -\frac{(\kappa g_1 + 4E^2 c_1)}{2(\kappa g_0 + 4E^2 c_0)^{3/2}} \cos^{-1} \left(\frac{c_j}{c_m} \right), \quad (38h)$$

and some higher-order results are given in Appendix A.

B. Deflection angles

We note that the deflection $\Delta\phi$ in Eq. (36) still contains the unknown θ_d in its second term coefficients $N_{\theta,i}$. In contrast, Eq. (37) effectively establishes a relation between θ_d (or $\cos\theta_d$) and other parameters. Therefore, to solve the deflections $\Delta\phi$ and $\Delta\theta$, we must first solve $\cos\theta_d$ from Eq. (37). In the WDL, $\cos\theta_d$ can also be expressed in the series form

$$\cos\theta_d = \sum_{i=0}^{\infty} h_i \left(\frac{1}{r_0}\right)^i, \quad (39)$$

where the coefficients h_i are solvable from Eq. (37) using the method of undetermined coefficients. Here, we only show the first two orders:

$$h_0 = c_m \cos \left[\frac{1}{\sqrt{d_0}} \sum_{j=s,d} \cos^{-1}(p_j) - \cos^{-1} \left(\frac{c_s}{c_m} \right) \right], \quad (40a)$$

$$h_1 = -s_1 \sqrt{c_m^2 - h_0^2} \left[\sqrt{\kappa g_0 + 4E^2 c_0} \sum_{j=s,d} M_{r,2}(p_j) + \frac{\kappa g_1 + 4E^2 c_1}{2(\kappa g_0 + 4E^2 c_0) \sqrt{d_0}} \sum_{j=s,d} \cos^{-1}(p_j) \right], \quad (40b)$$

and higher order ones are given in Appendix A.

Substituting Eq. (39) into Eq. (36) and performing the small $1/r_0$ expansion again, we finally determine the deflection $\Delta\phi$ as

$$\Delta\phi = \sum_{j=s,d} \sum_{i=2}^{\infty} N_{r,i}(p_j) \left(\frac{1}{r_0}\right)^i + s_2 \sum_{i=0}^{\infty} N'_{\theta,i}(c_s, c_m) \left(\frac{1}{r_0}\right)^i \quad (41)$$

where $N_{r,i}$ is unchanged as in Eq. (38), and the first few $N'_{\theta,i}$ values are

$$N'_{\theta,0} = \pi - s_1 \left[\tan^{-1} \left(\frac{c_s s_m}{\sqrt{c_m^2 - c_s^2}} \right) + \tan^{-1} \left(\frac{h_0 s_m}{\sqrt{c_m^2 - h_0^2}} \right) \right], \quad (42a)$$

$$N'_{\theta,1} = \frac{s_1 s_m h_1}{(h_0^2 - 1) \sqrt{c_m^2 - h_0^2}}, \quad (42b)$$

$$N'_{\theta,2} = \frac{s_1 s_m h_0 h_1^2 (3h_0^2 - 2c_m^2 - 1)}{2(h_0^2 - 1)^2 (c_m^2 - h_0^2)^{3/2}} + \frac{s_1 s_m h_2}{(h_0^2 - 1) \sqrt{c_m^2 - h_0^2}} + \frac{s_m a^2 (\kappa + E^2)}{2(\kappa g_0 + 4E^2 c_0)} \left[\cos^{-1} \left(\frac{c_s}{c_m} \right) + \cos^{-1} \left(\frac{h_0}{c_m} \right) \right]. \quad (42c)$$

Inspecting the above results, we discover that s_2 is simply the sign of $\Delta\phi$ at the lowest order, which also means that $s_2 = \pm 1$ correspond to anticlockwise and clockwise motions, respectively. Naturally, the following relation holds:

$$s_2 = \text{sign}(L). \quad (43)$$

In the infinite $r_{s,d}$ limit, we observe clearly from the $N'_{\theta,0}$ term that $\Delta\phi$ to the leading order equals $s_2\pi$.

Similarly, substituting (39) into Eq. (19), the deflection in θ direction becomes

$$\Delta\theta = \theta_s + \theta_d - \pi = \sum_{i=0}^{\infty} k_i \left(\frac{1}{r_0} \right)^i, \quad (44)$$

where

$$k_0 = \theta_s - \pi + \cos^{-1}(h_0), \quad (45a)$$

$$k_1 = -\frac{h_1}{\sqrt{1-h_0^2}}, \quad (45b)$$

$$k_2 = \frac{2h_0^2 h_2 - h_0 h_1^2 - 2h_2}{2(1-h_0^2)^{3/2}}. \quad (45c)$$

Note that in the limit $r_{s,d} \rightarrow \infty$, h_0 approaches $-c_s$; therefore, k_0 approaches 0.

Eqs. (41) and (44) are two important results of this work, and a few comments are necessary concerning them. First, these results apply to general SAS spacetimes that allow the existence of a GCC. This includes many well-known spacetimes such as the Kerr, KN, and all SSS spacetime, which can be obtained by setting all $b_n = 0$ for $n \geq 2$. Second, they apply to both light rays (setting $\kappa = 0$) and timelike particles (setting $\kappa = -1$). Indeed, we can show that the $E \rightarrow \infty$ limits of these results for timelike signals equal exactly their values for null rays. Third, these deflections also consider the finite distance effect of the source and detector. This effect can be important when studying the GL effect. Through setting $p_{s,d}$ to zero, the infinite distance version of the deflections can

be obtained. Fourth, these deflections apply to both non-equatorial and equatorial trajectories. For the former, setting $\theta_{s,d} \rightarrow \pi/2$, we have verified that the deflection angle $\Delta\phi$ reduces to its value on the equatorial plane in the Kerr spacetime [23]. For the latter, these formulas do not rely on any near-equatorial plane approximation, *i.e.*, θ_s and θ_d can be far from $\pi/2$. Last but not least, the deflections (41) and (44) can be further expanded around small $p_{s,d}$ values if the source and detector are far away, *i.e.*, $r_{s,d} \gg r_0$, and a dual series form will be obtained. Such a form will be more appealing from the application perspective, and we explore this in Sec. V.

IV. GRAVITATIONAL LENSING

As we have obtained the deflection angles for arbitrary inclination angles of the signals, we can study GL in such SAS spacetime in the off-equatorial plane. Because our deflection angles (41) and (44) contain the finite distance effect, we can naturally establish the following GL equations:

$$\delta\phi = \Delta\phi - s_2\pi, \quad (46a)$$

$$\delta\theta = \Delta\theta. \quad (46b)$$

Here, $\delta\phi$ and $\delta\theta$ are the two small angles characterizing the angular position of the source relative to the detector-

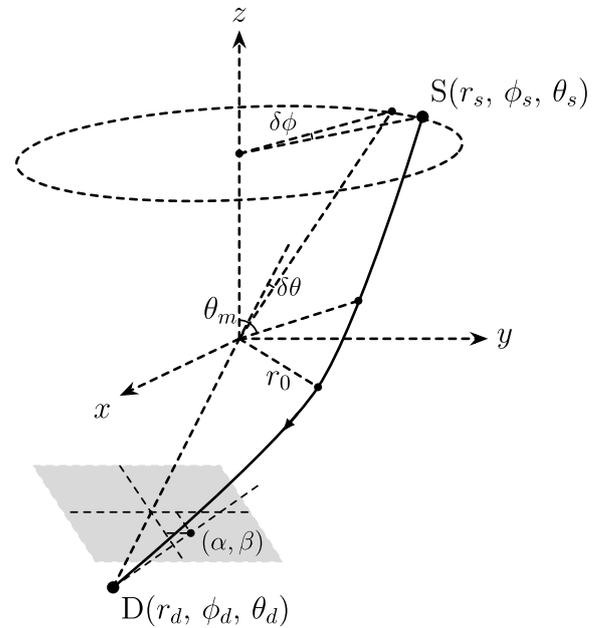


Fig. 1. Schematic diagram of one trajectory from the source at $S(r_s, \phi_s, \theta_s)$ to the detector at $D(r_d, \phi_d, \theta_d)$. r_0 and θ_m mark the minimal radius and extreme θ points on the trajectory, respectively. (α, β) marks the apparent angle formed by this trajectory on the celestial sphere of the observer.

lens axis in the spherical coordinates, as shown in the schematic diagram in Fig. 1. When $r_{s,d}, \theta_s$ are fixed, then substituting Eqs. (41) and (44) into Eq. (46) enables us to solve the minimal radius and extreme azimuth angle (r_0, θ_m) for each pair of $\delta\phi, \delta\theta$. However, Eqs. (46) are high-order polynomials of r_0 and more complicated functions of θ_m , which often cannot be solved analytically, particularly when the effects of higher-order parameters are sought. Therefore, we often use the numerical method to solve them.

Generally, two sets of (r_0, θ_m) enable the signal to reach the detector. When the deflection $(\delta\theta, \delta\phi)$ is not small, these two solutions will often have opposite orbital rotation directions s_2 , and the $s_1 = \text{sign}(\cos(\theta_m))$ equals s_2 for each solution. Only when the source, lens, and detector are aligned (deflection less than $10^{-6''}$ in the Sgr A* scenario considered in Sec. V A) and the spacetime spin is large could exceptions exist (see also Ref. [33]). Therefore, we label these two solutions as (r_{0+}, θ_{m+}) and (r_{0-}, θ_{m-}) to represent the prograde and retrograde rotating signals, respectively. In this paper, by *prograde* and *retrograde*, we mean that the trajectories are rotating anti-clockwise and clockwise around the $+\hat{z}$ directions, respectively. No retrolensing is involved because we discuss only the weak deflection cases.

However, to link the solved (r_0, θ_m) to the observables of the GL, we must still determine the formula for the apparent angles of the lensed images. For a static observer in the spacetime with metric (1), the associated tetrad $(e_a)^\mu$ takes the form

$$e_0 = \frac{1}{\sqrt{A}} \frac{\partial}{\partial t} \equiv Z, \quad (47a)$$

$$e_1 = -\sqrt{\frac{B^2}{AB^2 + 4A^2C}} \left(\frac{\partial}{\partial t} + \frac{2A}{B} \frac{\partial}{\partial \phi} \right) \equiv \hat{\phi}, \quad (47b)$$

$$e_2 = \frac{1}{\sqrt{D}} \frac{\partial}{\partial r} \equiv \hat{r}, \quad (47c)$$

$$e_3 = \frac{1}{\sqrt{F}} \frac{\partial}{\partial \theta} \equiv \hat{\theta}, \quad (47d)$$

where Z is the four-velocity of the observer. Thus, for a signal with four-velocity $u^\mu = (t, \phi, r, \theta)$, its projection using the projection operator $h^\mu_\nu = \delta^\mu_\nu + Z^\mu Z_\nu$ into the tetrad frame yields the vector

$$\tilde{u}^\mu = h^\mu_\nu u^\nu = -\frac{B\dot{\phi}}{2} \sqrt{\frac{B^2 + 4AC}{AB^2}} \hat{\phi} + \sqrt{D} \dot{r} \hat{r} + \sqrt{F} \dot{\theta} \hat{\theta}. \quad (48)$$

Here, $(\dot{r}, \dot{\theta}, \dot{\phi})$ are linked to (L, K) through Eqs. (6), (17), and (18) and then to $(r_{0\pm}, \theta_{m\pm})$ through Eqs. (22) and (23).

Thus, the apparent angle γ_\pm measured by this observer against the detector's radial direction \hat{r}_d and α_\pm against the $\hat{r}_d \hat{\theta}_d$ plane and β_\pm against the $\hat{r}_d \hat{\phi}_d$ plane are, respectively,

$$\begin{aligned} \gamma_\pm &= \cos^{-1} \frac{(\tilde{u}, \hat{r})}{|\tilde{u}| |\hat{r}|} \\ &= \cos^{-1} \left(\dot{r} \sqrt{\frac{D}{\frac{B^2 + 4AC}{4A} \dot{\phi}^2 + D\dot{r}^2 + F\dot{\theta}^2}} \right) \Big|_d, \end{aligned} \quad (49a)$$

$$\begin{aligned} \alpha_\pm &= \frac{\pi}{2} - \cos^{-1} \frac{(\tilde{u}, \hat{\phi})}{|\tilde{u}| |\hat{\phi}|} \\ &= -\sin^{-1} \left(\frac{B\dot{\phi}}{2A} \sqrt{\frac{(AB^2 + 4A^2C)/B^2}{\frac{B^2 + 4AC}{4A} \dot{\phi}^2 + D\dot{r}^2 + F\dot{\theta}^2}} \right) \Big|_d, \end{aligned} \quad (49b)$$

$$\begin{aligned} \beta_\pm &= \frac{\pi}{2} - \cos^{-1} \frac{(\tilde{u}, \hat{\theta})}{|\tilde{u}| |\hat{\theta}|} \\ &= \sin^{-1} \left(\dot{\theta} \sqrt{\frac{F}{\frac{B^2 + 4AC}{4A} \dot{\phi}^2 + D\dot{r}^2 + F\dot{\theta}^2}} \right) \Big|_d, \end{aligned} \quad (49c)$$

where the subscript $|_d$ means that all coordinates should be evaluated at the detector location. These equations are valid for all trajectories in the SAS spacetimes, including those that are bent strongly. In the large r_d limit, $\gamma_\pm^2 \approx \alpha_\pm^2 + \beta_\pm^2$; therefore, we must focus on two of them, which are conventionally selected as (α_\pm, β_\pm) .

V. APPLICATION TO PARTICULAR SPACETIMES

In this section, we apply the general method and the results in Secs. III and IV to some known SAS spacetimes to examine the validity of the results and significance of the spacetime parameters on the off-equatorial deflection and GL. We focus on deflections $\Delta\phi, \Delta\theta$, the r_0, θ_m and apparent angles α_\pm, β_\pm .

A. Deflections and GL in KN spacetime

For the KN BH, the deflection in its equatorial plane has been considered using analytical methods repeatedly, and the (quasi-)equatorial motion, as well as numerical solutions of the trajectories, has also been studied multiple times [51–55]. However, to our best knowledge, the perturbative study of the general off-equatorial deflection has not been conducted yet.

The metric of KN is given by

$$\begin{aligned}
ds^2 = & -\frac{\Sigma_{\text{KN}} - 2Mr + Q^2}{\Sigma_{\text{KN}}} dt^2 - \frac{2a(2Mr - Q^2) \sin^2 \theta}{\Sigma_{\text{KN}}} dt d\phi \\
& + \frac{[(r^2 + a^2)^2 - \Delta_{\text{KN}} a^2 \sin^2 \theta] \sin^2 \theta}{\Sigma_{\text{KN}}} d\phi^2 \\
& + \frac{\Sigma_{\text{KN}}}{\Delta_{\text{KN}}} dr^2 + \Sigma_{\text{KN}} d\theta^2,
\end{aligned} \tag{50}$$

where

$$\Sigma_{\text{KN}} = r^2 + a^2 \cos^2 \theta,$$

$$\Delta_{\text{KN}} = r^2 - 2Mr + a^2 + Q^2,$$

and M , Q , $a = J/M$ are the mass, charge, and spin angular momentum per unit mass of the spacetime, respectively. In studying the trajectories, we can always select $a \geq 0$ if the motion is allowed to go both clock- and anticlockwise. To use the method and results developed in Secs. III and IV, we must first check whether the metric (50) satisfies the separation requirements (30). Substituting the metric into these equations, we easily observe that the separation can be performed, and the r -dependent functions are

$$A_{\text{KN}}^{(r)} = -\frac{a^2}{4\Delta_{\text{KN}}} = -\frac{a^2}{4r^2} - \frac{Ma^2}{2r^3} + O(r)^{-4}, \tag{51a}$$

$$\begin{aligned}
B_{\text{KN}}^{(r)} &= \frac{aQ^2 - Mar}{\Delta_{\text{KN}}} \\
&= -\frac{Ma}{r} + \frac{-2M^2a + aQ^2}{r^2} + O(r)^{-3},
\end{aligned} \tag{51b}$$

$$\begin{aligned}
C_{\text{KN}}^{(r)} &= \frac{(r^2 + a^2)^2}{4\Delta_{\text{KN}}} \\
&= \frac{r^2}{4} + \frac{Mr}{2} + \frac{a^2 + 4M^2 - Q^2}{4} + O(r)^{-1},
\end{aligned} \tag{51c}$$

$$\mathcal{D}(r)_{\text{KN}} = r^2 - 2Mr + a^2 + Q^2, \tag{51d}$$

$$G_{\text{KN}}^{(r)} = r^2, \tag{51e}$$

and $X^{(\theta)}$ ($X \in \{A, B, C, \mathcal{F}, G\}$) are exactly as given in Eq. (30). This guarantees the existence of the GCC, as was well-known prior, and the applicability of the results of deflection angles.

Substituting the coefficients in Eqs. (51) directly into Eq. (41) and (44) and performing the small $p_{s,d}$ expansion, the deflection angles in KN spacetime are determined as dual series of M/r_0 and $p_{s,d}$

$$\begin{aligned}
\Delta\phi_{\text{KN}} = & s_2\pi + \frac{4\hat{a}M^2}{vr_0^2} - \frac{8s_m^2\hat{a}M^2}{s_s^2vr_0^2} + \frac{s_2s_m}{s_s^2} \\
& \times \left[\frac{2(1+v^2)M}{v^2r_0} - (p_s + p_d) - \frac{\zeta_{\text{KN}}M^2}{4v^4r_0^2} - \frac{M(p_s + p_d)}{v^2r_0} \right] \\
& + \frac{s_1s_2s_m c_s \sqrt{c_m^2 - c_s^2}}{s_s^4} \left[(p_s + p_d) - \frac{2(1+v^2)M}{v^2r_0} \right]^2 \\
& + O(\epsilon)^3,
\end{aligned} \tag{52a}$$

$$\begin{aligned}
\Delta\theta_{\text{KN}} = & \frac{s_1 \sqrt{c_m^2 - c_s^2}}{s_s} \left[\frac{2(1+v^2)M}{v^2r_0} - (p_s + p_d) - \right. \\
& \left. \frac{(\zeta_{\text{KN}} + 32s_2s_mv^3\hat{a})M^2}{4v^4r_0^2} - \frac{M(p_s + p_d)}{v^2r_0} \right] \\
& - \frac{c_s s_m^2}{2s_s^3} \left[(p_s + p_d) - \frac{2(1+v^2)M}{v^2r_0} \right]^2 + O(\epsilon)^3,
\end{aligned} \tag{52b}$$

where the infinitesimal ϵ represents either the M/r_0 or $p_{s,d}$, and

$$\zeta_{\text{KN}} = 8 + 8v^2 - 12\pi v^2 - 3\pi v^4 + \pi v^2(2 + v^2)\hat{Q}^2, \tag{53}$$

$\hat{a} \equiv a/M$, $\hat{Q} \equiv Q/M$, and E has been replaced by the asymptotic velocity v through Eq. (4). We can take a few limits for these deflections. Through setting $v = 1$ or $p_{s,d} = 0$, they reduce to deflections of light rays or deflections from infinity to infinity, respectively. A more unusual limit is to set $\hat{a} = 0$, which pushes these deflections to their values of the signal in an RN spacetime but with an arbitrary incoming direction. For $\hat{Q} = 0$, they agree with Eqs. (32) and (39) of Ref. [33]. In the infinite distance and equatorial limit, $p_s, p_d, c_m, c_s \rightarrow 0$ and $s_m, s_s \rightarrow 1$, and we have checked that $\Delta\phi_{\text{KN}}$ in this limit agrees with Eq. (83) of Ref. [24].

Both Eqs. (52a) and (52b) illustrate various effects of the non-equatorial motion and spacetime parameters. For the deflection $\Delta\phi_{\text{KN}}$, the following observations can be made. First, we observe that the non-equatorial effect manifests in two ways. The first is through the terms proportional to $c_s \sqrt{c_m^2 - c_s^2}$. These terms will vanish in the equatorial limit; therefore, we call them *non-equatorial* terms. The second way of the non-equatorial effect is through the factor s_m^n/s_s^n of the other terms, which will be called the *equatorial* terms. If the trajectory were in the equatorial plane, these factors would all be one. Therefore, these factors effectively adjust the contribution of equatorial terms to the deflection. The second comment concerns the effects of the spacetime charge and spin. For equatorial motion, \hat{Q} and \hat{a} begin to appear in the equatorial terms from the second order only, *i.e.*, the

$(M/r_0)^2, (r_0/r_{s,d})^2$ or $(M/r_0)(r_0/r_{s,d})$ terms. While in non-equatorial terms, they begin to appear from the third order.

The terms of the deflection $\Delta\theta$ in Eq. (52b) are either proportional to $\sqrt{c_m^2 - c_s^2}$ or c_s , which both approach zero in the equatorial limit. At the leading orders of both (M/r_0) and $p_{s,d}$, the terms are proportional to $\sqrt{c_m^2 - c_s^2}$. For the effect of both \hat{Q} and \hat{a} , they both appear from the second order, which is similar to the equatorial terms in $\Delta\phi_{\text{KN}}$. In both $\Delta\phi_{\text{KN}}$ and $\Delta\theta_{\text{KN}}$, the sign of \hat{Q} does not matter, as expected because the signal is neutral.

To check the validity of these deflections (52a) and (52b), in Fig. 2 we compare them with their corresponding numerically integrated values. In this plot, we select a relatively small r_0 such that the deflections are appreciable to tell the effects of various parameters. We observe in Fig. 2 (a) and (b) that as r_0 increases, both $|\Delta\phi_{\text{KN}}|$ and $|\Delta\theta_{\text{KN}}|$ decrease monotonically. The analytical results approach the numerical value more closely as r_0 increases, which is expected because both deflections are series of (M/r_0) . From Fig. 2 (c) and (d), we observe that as θ_m decreases, the deflection in the θ direction in-

creases, whereas that in the ϕ direction decreases. This is intuitively consistent with the physical expectation because the decrease in θ_m corresponds to the motion of the trajectory asymptotic line towards the z axis above the equatorial plane. Fig. 2 (e) shows the effect of \hat{Q} on the deflections. Previously, the equatorial plane case implied that $|\Delta\phi_{\text{KN}}|$ would decrease as \hat{Q} increases [55], which is still observed here for the off-equatorial motion. We also note that this is even true for $\Delta\theta_{\text{KN}}$, which shows the spherical nature of the effect of \hat{Q} on the deflections. Finally, the effect of the spacetime spin \hat{a} on these deflections was studied in Ref. [33] in the Kerr spacetime, and we found that the effect is not changed qualitatively: a larger \hat{a} increases (or decreases) the $\delta\phi$ of the prograde (or retrograde) signal.

With the correctness of the series results confirmed, we can now solve the lensing equations (46) with $\Delta\theta$ and $\Delta\phi$ given by Eqs. (52b) and (52a) to obtain the (r_0, θ_m) for a fixed pair $(\delta\phi, \delta\theta)$ that characterizes the angular deflection of the source against the lens-observer axis. For the non-equatorial motion in a SAS spacetime, the source's azimuth angle θ_s also becomes important. Because these equations are high-order polynomials if the effects of \hat{Q} and \hat{a} are considered, we have only solved them numerically. We used the Sgr A* BH as the lens and set $r_d = r_s = 8.34$ kpc and varied $(\delta\phi, \delta\theta, \theta_s)$. We found that, qualitatively, the effects of $\delta\phi, \delta\theta, \theta_s$, and \hat{a} are similar to their effects in the Kerr case studied in Ref. [33]. Therefore, we will not show these figures here. Instead, we only mention that a larger positive \hat{a} decreases (or increases) the r_0 of a counterclockwise (or clockwise) rotating trajectory; therefore, the trajectory is pulled towards (or pushed away from) the z axis. θ_m will change accordingly: a larger positive \hat{a} will increase $|\cos\theta_m|$ of both counterclockwise and clockwise rotating orbits. For the charge \hat{Q} , its deviation from zero decreases r_0 for all \hat{a} and orbit rotation directions and increases $|\cos\theta_m|$ very weakly.

Finally, substituting the solved (r_0, θ_m) together with the initial parameters $(\delta\theta, \theta_s, r_d)$ into Eqs. (49b) and (49c), we can obtain the apparent positions of the two images on the celestial sphere of the detector:

$$\alpha_{\pm\text{KN}} = \sin^{-1} \frac{L_{\pm} (\Delta_{\text{KN}} - a^2 \sin^2 \theta) + a \sin^2 \theta E (2Mr - Q^2)}{\sin \theta \sqrt{\Delta_{\text{KN}} \Sigma_{\text{KN}} [\Sigma_{\text{KN}} E^2 + \kappa (\Sigma_{\text{KN}} - 2Mr + Q^2)]}} \Big|_d, \quad (54a)$$

$$\beta_{\pm\text{KN}} = s_1 \sin^{-1} \sqrt{\frac{\Theta_{\pm} (\Sigma_{\text{KN}} - 2Mr + Q^2)}{\Sigma_{\text{KN}} [\Sigma_{\text{KN}} E^2 + \kappa (\Sigma_{\text{KN}} - 2Mr + Q^2)]}} \Big|_d, \quad (54b)$$

where Θ_{\pm} is defined in Eq. (14) and takes the form

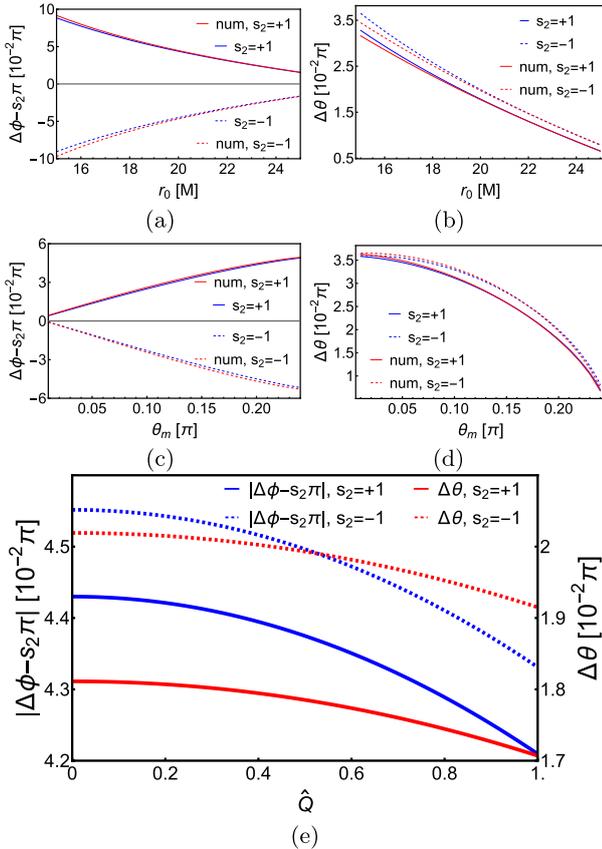


Fig. 2. (color online) Dependences of $\Delta\phi$ and $\Delta\theta$ on r_0, θ_m and \hat{Q} in KN spacetime. $v = 1, r_s = r_d = 400M, \hat{a} = 1/2, \theta_s = \pi/4$. In (a)(b), $\hat{Q} = 1/2, \theta_m = \pi/5$. In (c)(d), $\hat{Q} = 1/2, r_0 = 20M$. In (e), $r_0 = 20M, \theta_m = \pi/5$. All red lines represent numerical results.

$$\Theta_{\pm} = \kappa a^2 \cos^2 \theta - L_{\pm}^2 \csc^2 \theta - a^2 E^2 \sin^2 \theta - K_{\pm} \quad (55)$$

in KN spacetime. L_{\pm}, K_{\pm} can be fixed by $(r_{0\pm}, \theta_{m\pm})$ through Eqs. (22) and (23). These apparent angles are consistent with the results in Ref. [33]. For the null particle in the WDL, we can make the following power series approximation by taking M/r_0 and M/r_d as small quantities:

$$\alpha_{\pm\text{KN}} \simeq \frac{s_2 s_{m\pm}}{s_d \hat{r}_d} \left(\hat{r}_{0\pm} + 1 + \frac{3 + \hat{a}^2 - \hat{Q}^2 - 4s_2 s_{m\pm} \hat{a}}{2\hat{r}_{0\pm}} \right), \quad (56a)$$

$$\beta_{\pm\text{KN}} \simeq \frac{s_1 \sqrt{s_d^2 - s_{m\pm}^2}}{s_d \hat{r}_d} \left(\hat{r}_{0\pm} + 1 + \frac{3 + \hat{a}^2 c_d^2 - \hat{Q}^2 - 4s_2 s_{m\pm} \hat{a}}{2\hat{r}_{0\pm}} \right), \quad (56b)$$

where and henceforth $\hat{r}_{0\pm} \equiv r_{0\pm}/M$, $\hat{r}_d \equiv r_d/M$, $s_{m\pm} = \sin \theta_{m\pm}$. When we set $\hat{Q} = 0$, they agree with Ref. [33]. However, when $\hat{Q} \neq 0$, its effect does not only appear from the $Q^2/(r_{0\pm} r_d)$ order as we might think superficially from Eq. (56). Indeed, \hat{Q} affects $\hat{r}_{0\pm}$ by an amount similar to the size of \hat{Q} itself; therefore, its influence on the image apparent angles is at the Q/r_d order, *i.e.*, one order lower than what appears in Eq. (56). The off-equatorial effect influences $\gamma_{\pm\text{KN}}$ from the second order because, at the leading order, the total apparent angle $\gamma_{\pm\text{KN}} \approx \sqrt{\alpha_{\pm\text{KN}}^2 + \beta_{\pm\text{KN}}^2}$ would not be tuned by the factor $s_{m\pm}/s_d$ or $\sqrt{s_d^2 - s_{m\pm}^2}/s_d$ in front of $\alpha_{\pm\text{KN}}$ and $\beta_{\pm\text{KN}}$, respectively.

In Fig. 3, the angular positions of the GL images as functions of $\delta\phi$ (a) and $\delta\theta$ (b) are plotted. It is seen that as $\delta\phi$ varies from 0 to $10''$ while keeping $\delta\theta$ at $1''$, the two images are in the first and third quadrants, respectively. The image in the third quadrant is separated further from the lens than the one in the first quadrant. Because in this parameter settings, the effect of spin \hat{a} on the apparent angles of the images is weak, when we flip $\delta\phi$ to the range of $-10''$ to 0, or $\delta\theta$ to $-1''$, the images are reflected by the y and x axes, respectively, in the 2d celestial frame. More interesting is the effect of θ_s in this plot. The trace of the images as $\delta\phi$ varies for $\theta_s = \pi/6$ almost coincides with that for $\theta_s = \pi/3$. The reason can be understood from the fact that when the spin \hat{a} effect is not strong, the total deflection in an SAS spacetime is approximately the same as that in an SSS spacetime. In SSS spacetimes, θ_s characterizes only the altitude of the images, whereas, when $\delta\phi$ scans through a range, the traces of the images will coincide if the origin of the local 2d celestial sky is allowed to shift, as in Fig. 3 (a). For the variation in $\delta\theta$ with fixed $\delta\phi = \pm 1''$, different θ_s values

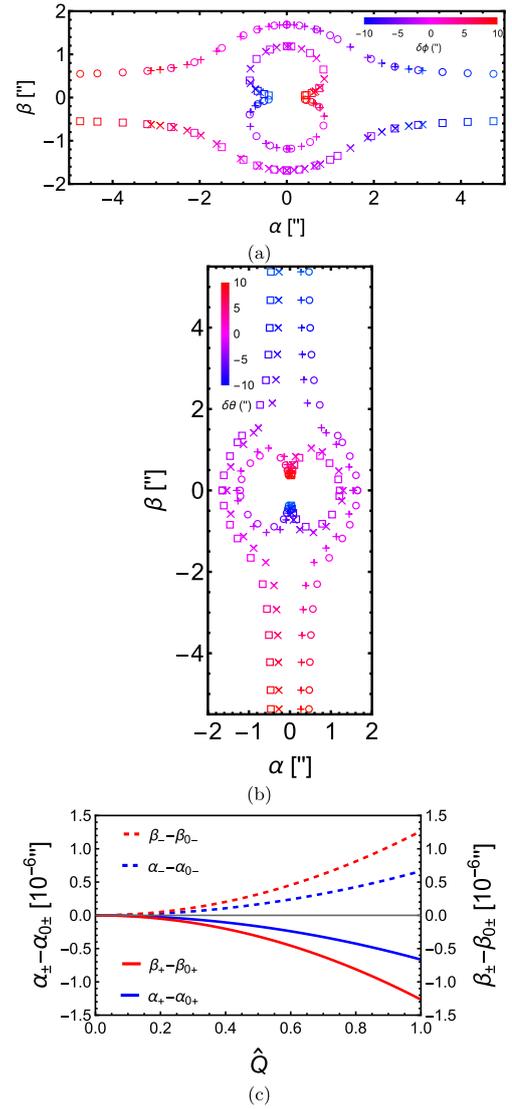


Fig. 3. (color online) Apparent angles of lensed images in the celestial sky in KN spacetime. (a) $\delta\phi$ varies from $-10''$ to $10''$ with fixed $\delta\theta = 1''$ (\square and \times) and $-1''$ (\circ and $+$). (b) $\delta\theta$ varies from $-10''$ to $10''$ with fixed $\delta\phi = 1''$ (\square and \times) and $-1''$ (\circ and $+$). The symbols \square and \circ are for $\theta_s = \pi/3$ and \times and $+$ are for $\theta_s = \pi/6$. The color of the symbols from blue to red indicates that the changing angles increase from $-10''$ to $10''$. (c) Variation in the apparent angles as \hat{Q} increases. In all plots, $\hat{a} = \hat{Q} = 1/2$, $\nu = 1$, $M = 4.1 \times 10^6 M_{\odot}$, $r_s = r_d = 8.34$ kpc are used. In (c), $\delta\theta = 10^{-4}''$, $\delta\phi = 10^{-4}''$, $\theta_s = \pi/6$. The $\alpha_{0+} = 0.60780640''$, $\alpha_{0-} = -0.60783265''$, $\beta_{0+} = 1.2776103''$, $\beta_{0-} = -1.2776603''$.

enable a different contribution from $\delta\theta$ to the total deflection $\delta\eta$, which roughly equals

$$\delta\eta \approx \sqrt{\delta\theta^2 + \sin^2 \theta_s \delta\phi^2}, \quad (57)$$

and, therefore, the image traces will not coincide, as shown in Fig. 3 (b).

Note that in both plots, we have set $\hat{a} = 1/2$, $\hat{Q} = 1/2$. The effect of these parameters under the current parameter settings, as observed from Eq. (56), is very small compared with the apparent angles themselves. Thus, they cannot be recognized in plots (a) and (b). Therefore, in (c), we show the small variation in the apparent angles ($\alpha_{\pm\text{KN}}, \beta_{\pm\text{KN}}$) as \hat{Q} increases, where $\alpha_{0\pm} = \alpha_{\pm\text{KN}}(\hat{Q} = 0)$, $\beta_{0\pm} = \beta_{\pm\text{KN}}(\hat{Q} = 0)$. The sizes of the apparent angles of both images decrease by about $10^{-6\prime\prime}$ as \hat{Q} increases. Both the trend and changed amount agree with the prediction of Eq. (56).

B. Deflections and GL in KS spacetime

The KS BH is a type of rotating and charged BH in the four-dimensional heterotic string theory [40]. Although both the strong [56] and weak [36] deflection limits of GL effects have been studied in this spacetime using approaches different from ours, these studies focused either on the (quasi-)equatorial plane or did not express the deflections in terms of the original source and kinetic variables. Here, we extend them to the general non-equatorial case and consider the finite distance and timelike effects.

The metric of the KS spacetime is given by [57]

$$ds^2 = -\frac{\Sigma_{\text{KS}} - 2Mr}{\Sigma_{\text{KS}}} dt^2 - \frac{4Mra \sin^2 \theta}{\Sigma_{\text{KS}}} dt d\phi - \frac{[(r^2 + 2br + a^2)^2 - \Delta_{\text{KS}} a^2 \sin^2 \theta]}{\Sigma_{\text{KS}}} \sin^2 \theta d\phi^2 + \frac{\Sigma_{\text{KS}}}{\Delta_{\text{KS}}} dr^2 + \Sigma_{\text{KS}} d\theta^2, \quad (58)$$

where

$$\Sigma_{\text{KS}} = r(r + 2b) + a^2 \cos^2 \theta,$$

$$\Delta_{\text{KS}} = r(r + 2b) - 2Mr + a^2,$$

with $b \equiv Q^2/(2M) \geq 0$. This metric reduces to the Kerr spacetime when $b = 0$. The metric functions also satisfy the separation conditions (10), and the corresponding functions and their asymptotic expansions are

$$A_{\text{KS}}^{(r)} = -\frac{a^2}{4\Delta_{\text{KS}}} = -\frac{a^2}{4r^2} - \frac{a^2(M-b)}{2r^3} + O(r)^{-4}, \quad (59a)$$

$$B_{\text{KS}}^{(r)} = -\frac{Mar}{\Delta_{\text{KS}}} = -\frac{aM}{r} - \frac{2aM(M-b)}{r^2} + O(r)^{-3}, \quad (59b)$$

$$C_{\text{KS}}^{(r)} = \frac{(r^2 + 2br + a^2)^2}{4\Delta_{\text{KS}}} = \frac{r^2}{4} + \frac{(M+b)r}{2} + \frac{a^2 + 4M^2}{4} + O(r)^{-1}, \quad (59c)$$

$$\mathcal{D}(r)_{\text{KS}} = r^2 - 2(M-b)r + a^2, \quad (59d)$$

$$G_{\text{KS}}^{(r)} = r^2 + 2br. \quad (59e)$$

Substituting the coefficients Eqs. (59) into Eqs. (41) and (44) and performing the small $p_{s,d}$ expansion, we determine the deflection angles in KS spacetime as

$$\begin{aligned} \Delta\phi_{\text{KS}} = & s_2\pi + \frac{4\hat{a}M^2}{vr_0^2} - \frac{8s_m^2\hat{a}M^2}{s_s^2vr_0^2} + \frac{s_2s_m}{s_s^2} \\ & \left[\frac{2(1+v^2)M}{v^2r_0} - (p_s + p_d) - \frac{\zeta_{\text{KS}}M^2}{4v^4r_0^2} - \frac{M(p_s + p_d)}{v^2r_0} \right] \\ & + \frac{s_1s_2s_m c_s \sqrt{c_m^2 - c_s^2}}{s_s^4} \left[(p_s + p_d) - \frac{2(1+v^2)M}{v^2r_0} \right]^2 \\ & + O(\epsilon)^3, \end{aligned} \quad (60a)$$

$$\begin{aligned} \Delta\theta_{\text{KS}} = & \frac{s_1 \sqrt{c_m^2 - c_s^2}}{s_s} \left[\frac{2(1+v^2)M}{v^2r_0} - (p_s + p_d) \right. \\ & \left. - \frac{(\zeta_{\text{KS}} + 32s_2s_m v^3 \hat{a}) M^2}{4v^4r_0^2} - \frac{M(p_s + p_d)}{v^2r_0} \right] \\ & - \frac{c_s s_m^2}{2s_s^3} \left[(p_s + p_d) - \frac{2(1+v^2)M}{v^2r_0} \right]^2 + O(\epsilon)^3, \end{aligned} \quad (60b)$$

where

$$\begin{aligned} \zeta_{\text{KS}} = & 8 + 8v^2 - 12\pi v^2 - 3\pi v^4 + \frac{4v^4 \hat{b}(p_s + p_d)r_0}{M} \\ & + (8v^2 + 4\pi v^2 + 8v^4 + 2\pi v^4)\hat{b} + \pi v^4 \hat{b}^2, \end{aligned} \quad (61)$$

and $\hat{b} \equiv b/M$. Comparing Eq. (60) with the corresponding results in Eq. (52) in KN spacetime, we observe that the only change is essentially in the definition of ζ_{KS} . This is understandable because both the KN and KS spacetimes reduce to the Kerr one if we set $Q = 0$ in the former and b (or Q) in the latter, and these two parameters only appear from the second order in each of the deflections $\Delta\phi$ and $\Delta\theta$. The infinite source/detector and null limits of the deflections (60) can be easily obtained by setting $v = 1$ and $p_{s,d} = 0$. The equatorial plane case of $\Delta\phi$ was determined in Eq. (49) of Ref. [56] for a light ray and infinite source/detector and agrees with our result under these limits.

To study the effect of the new parameter \hat{b} on the deflections, in Fig. 4 (a) we plot the dependence of $\Delta\phi_{\text{KS}}$ and $\Delta\theta_{\text{KS}}$ on \hat{b} . As \hat{b} increases, both deflections $\Delta\phi_{\text{KS}}$ and $\Delta\theta_{\text{KS}}$ for all spin \hat{a} decrease monotonically. This effect is qualitatively similar to the effect of \hat{Q}^2 in KN spacetime.

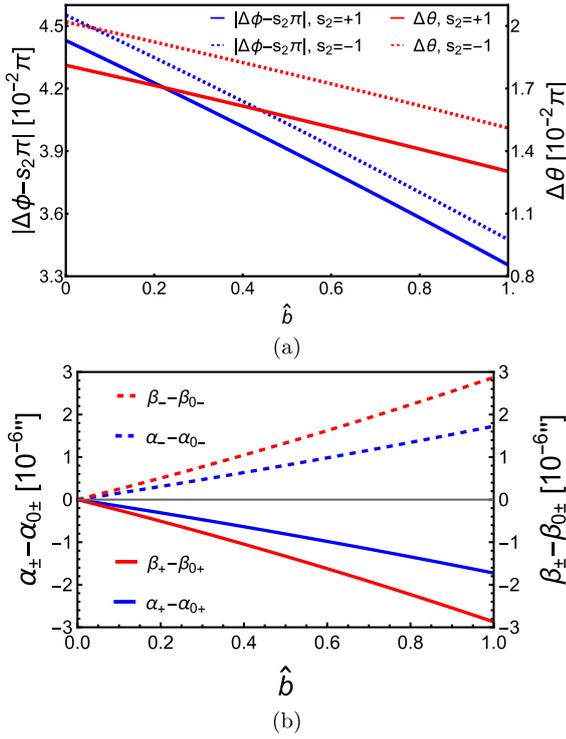


Fig. 4. (color online) Dependences of $\Delta\phi$ and $\Delta\theta$ (a) and α and β (b) on \hat{b} in KS spacetime: (a) $\nu = 1$, $\hat{a} = 1/2$, $r_s = r_d = 400M$, $\theta_s = \pi/4$, $\theta_m = \pi/5$, $r_0 = 20M$; (b) $\nu = 1$, $\hat{a} = 1/2$, $M = 4.1 \times 10^6 M_{\odot}$, $r_s = r_d = 8.34$ kpc, $\delta\theta = 10^{-4''}$, $\delta\phi = 10^{-4''}$, $\theta_s = \pi/6$.

With the deflection known, we can solve the GL Eq. (46) for r_0 and θ_m . Again, if we use the deflection angles of sufficiently high order such that the effect of \hat{b} is considered, these GL equations are polynomials whose solutions are too lengthy to present here and therefore we will not do so. We also studied the dependence of the solved (r_0, θ_m) on \hat{b} and found that it is also qualitatively similar to the effect of \hat{Q}^2 in KN spacetime. That is, r_0 decreases as \hat{b} increases for fixed \hat{a} , whereas $|\cos\theta_m|$ increases but only very weakly in the WDL.

Using these r_0 and θ_m in Eq. (49), we determine the apparent angles in the KS spacetime as

$$\alpha_{\pm\text{KS}} = \sin^{-1} \frac{L_{\pm} (\Delta_{\text{KS}} - a^2 \sin^2 \theta) + 2aMEr \sin^2 \theta}{\sin \theta \sqrt{\Delta_{\text{KS}} \Sigma_{\text{KS}} [\Sigma_{\text{KS}} E^2 + \kappa (\Sigma_{\text{KS}} - 2Mr)]}} \Big|_d, \quad (62a)$$

$$\beta_{\pm\text{KS}} = s_1 \sin^{-1} \sqrt{\frac{\Theta_{\pm} (\Sigma_{\text{KS}} - 2Mr)}{\Sigma_{\text{KS}} [\Sigma_{\text{KS}} E^2 + \kappa (\Sigma_{\text{KS}} - 2Mr)]}} \Big|_d, \quad (62b)$$

where Θ_{\pm} in the KS spacetime also takes the form of Eq. (55) but its (L_{\pm}, K_{\pm}) have different relations to ($r_{0\pm}, \theta_{m\pm}$) through Eqs. (22) and (23). For null rays and the small M/r_0 and M/r_d limit, these apparent angles are approximated as

$$\alpha_{\pm\text{KS}} \simeq \frac{s_2 s_{m\pm}}{s_d \hat{r}_d} \left(\hat{r}_{0\pm} + \hat{b} + 1 + \frac{3 + \hat{a}^2 - \hat{b}^2 - 2\hat{b} - 4s_2 s_{m\pm} \hat{a}}{2\hat{r}_{0\pm}} \right), \quad (63a)$$

$$\beta_{\pm\text{KS}} \simeq \frac{s_1 \sqrt{s_d^2 - s_{m\pm}^2}}{s_d \hat{r}_d} \times \left(\hat{r}_{0\pm} + \hat{b} + 1 + \frac{3 + \hat{a}^2 c_d^2 - \hat{b}^2 - 2\hat{b} - 4s_2 s_{m\pm} \hat{a}}{2\hat{r}_{0\pm}} \right). \quad (63b)$$

In contrast to the parameter \hat{Q} in the KN apparent angles (56), we observe that the parameter \hat{b} affects the apparent angles in KS spacetime from the order b/r_d explicitly. However, we also indicate that as \hat{b} increases from zero, its influence on $r_{0\pm}$ is also at this order but slightly larger and with an opposite sign.

Figure 4 (b) shows the apparent locations of the images in the KS spacetime as \hat{b} varies. The $\alpha_{0\pm}$ and $\beta_{0\pm}$ values are the same as in Fig. 3 (c) because at $\hat{b} = 0$, the KS spacetime reduces to the Kerr one. As argued above, the total effect of \hat{b} from 0 to 1 is also the decrease in the image apparent angles by about $2 \times 10^{-6''}$. Hence, the effect of b in the KS spacetime is similar to that of parameter Q^2 in the KN spacetime, both qualitatively and quantitatively.

C. Deflections and GL in RSV spacetime

RSV spacetime is another modification from the Kerr spacetime that satisfies the separation conditions (10). Its metric is given by [41]

$$ds^2 = -\frac{\Sigma_{\text{R}} - 2M \sqrt{r^2 + l^2}}{\Sigma_{\text{R}}} dt^2 - \frac{4Ma \sin^2 \theta \sqrt{r^2 + l^2}}{\Sigma_{\text{R}}} dt d\phi + \frac{[(r^2 + l^2 + a^2)^2 - \Delta_{\text{R}} a^2 \sin^2 \theta] \sin^2 \theta}{\Sigma_{\text{R}}} d\phi^2 + \frac{\Sigma_{\text{R}}}{\Delta_{\text{R}}} dr^2 + \Sigma_{\text{R}} d\theta^2, \quad (64)$$

where

$$\Sigma_{\text{R}} = r^2 + l^2 + a^2 \cos^2 \theta, \\ \Delta_{\text{R}} = r^2 + l^2 + a^2 - 2M \sqrt{r^2 + l^2},$$

The parameter $l \geq 0$ is a length scale responsible for the regularization of the central singularity. When $l = 0$, this reduces to the Kerr spacetime. It can also describe a two-way traversable wormhole ($l > 2M$), one-way wormhole ($l = 2M$), and regular BH ($l < 2M$) at different values of l [41]. The GL effects of null rays with source/detector at infinite distance in the equatorial plane were studied in the strong deflection limit in this spacetime in Ref. [39].

The WDL deflection angle of the null signal on the equatorial plane without the finite distance effect was obtained using the Gauss-Bonnet theorem method in Ref. [58].

The asymptotic expansions of separated functions associated with the metric are

$$A_{\text{R}}^{(r)} = -\frac{a^2}{4\Delta_{\text{R}}} = -\frac{a^2}{4r^2} - \frac{Ma^2}{2r^3} + O(r)^{-4}, \quad (65a)$$

$$B_{\text{R}}^{(r)} = -\frac{Ma\sqrt{r^2 + l^2}}{\Delta_{\text{R}}} = -\frac{Ma}{r} - \frac{2M^2a}{r^2} + O(r)^{-3}, \quad (65b)$$

$$\begin{aligned} C_{\text{R}}^{(r)} &= \frac{(r^2 + a^2 + l^2)^2}{4\Delta_{\text{R}}} \\ &= \frac{r^2}{4} + \frac{Mr}{2} + \frac{a^2 + 4M^2 + l^2}{4} + O(r)^{-1}, \end{aligned} \quad (65c)$$

$$\mathcal{D}(r)_{\text{R}} = r^2 - 2Mr + a^2 + l^2 + O(r)^{-1}, \quad (65d)$$

$$G_{\text{R}}^{(r)} = r^2 + l^2. \quad (65e)$$

Substituting the coefficients in these functions into Eqs. (41) and (44) and after the small $p_{s,d}$ expansion, the deflection angles in the RSV spacetime becomes

$$\begin{aligned} \Delta\phi_{\text{R}} &= s_2\pi + \frac{4\hat{a}M^2}{vr_0^2} - \frac{8s_m^2\hat{a}M^2}{s_s^2vr_0^2} + \frac{s_2s_m}{s_s^2} \\ &\quad \left[\frac{2(1+v^2)M}{v^2r_0} - (p_s + p_d) - \frac{\zeta_{\text{R}}M^2}{4v^4r_0^2} - \frac{M(p_s + p_d)}{v^2r_0} \right] \\ &\quad + \frac{s_1s_2s_m c_s \sqrt{c_m^2 - c_s^2}}{s_s^4} \left[(p_s + p_d) - \frac{2(1+v^2)M}{v^2r_0} \right]^2 \\ &\quad + O(\epsilon)^3, \end{aligned} \quad (66a)$$

$$\begin{aligned} \Delta\theta_{\text{R}} &= \frac{s_1 \sqrt{c_m^2 - c_s^2}}{s_s} \left[\frac{2(1+v^2)M}{v^2r_0} - (p_s + p_d) \right. \\ &\quad \left. - \frac{(\zeta_{\text{R}} + 32s_2s_mv^3\hat{a})M^2}{4v^4r_0^2} - \frac{M(p_s + p_d)}{v^2r_0} \right] \\ &\quad - \frac{c_s s_m^2}{2s_s^3} \left[(p_s + p_d) - \frac{2(1+v^2)M}{v^2r_0} \right]^2 + O(\epsilon)^3, \end{aligned} \quad (66b)$$

where

$$\zeta_{\text{R}} = 8 + 8v^2 - 12\pi v^2 - 3\pi v^4 - \pi v^4 \hat{l}^2, \quad (67)$$

and $\hat{l} \equiv l/M$. The reduction of Eq. (66a) on the equatorial plane for null rays with infinite source/detector distance

agrees with Eq. (21) of [58]. Similar to KS deflections (60), the deflections (66) also differ from the KN case result (52) in its ζ_{R} . However, unlike \hat{Q}^2 in ζ_{KN} and \hat{b} in ζ_{KS} , here, the regularization length scale \hat{l}^2 has a negative sign in ζ_{R} ; therefore, its effects on the deflections ($\Delta\phi_{\text{R}}, \Delta\theta_{\text{R}}$), (r_0, θ_m), and apparent angles ($\alpha_{\pm\text{R}}, \beta_{\pm\text{R}}$) in Eq. (69) are all opposite to those two parameters, as shown in Fig. 5 (a) and (b), respectively.

After solving (r_0, θ_m) and substituting into Eq. (49), we determine the apparent angles in the RSV spacetime to be

$$\alpha_{\pm\text{R}} = \sin^{-1} \frac{L_{\pm} (\Delta_{\text{R}} - a^2 \sin^2 \theta) + 2aME \sqrt{r^2 + l^2} \sin^2 \theta}{\sin \theta \sqrt{\Delta_{\text{R}} \Sigma_{\text{R}} [\Sigma_{\text{R}} E^2 + \kappa (\Sigma_{\text{R}} - 2M \sqrt{r^2 + l^2})]}} \Big|_d, \quad (68a)$$

$$\beta_{\pm\text{R}} = s_1 \sin^{-1} \sqrt{\frac{\Theta_{\pm} (\Sigma_{\text{R}} - 2M \sqrt{r^2 + l^2})}{\Sigma_{\text{R}} [\Sigma_{\text{R}} E^2 + \kappa (\Sigma_{\text{R}} - 2M \sqrt{r^2 + l^2})]}} \Big|_d, \quad (68b)$$

where Θ_{\pm} is still given by Eq. (55), whereas its (L_{\pm}, K_{\pm}) depends on ($r_{0\pm}, \theta_{m\pm}$) through Eqs. (22) and (23). The approximations of these apparent angles are

$$\alpha_{\pm\text{R}} \simeq \frac{s_2 s_{m\pm}}{s_d \hat{r}_d} \left(\hat{r}_{0\pm} + 1 + \frac{3 + \hat{a}^2 + \hat{l}^2 - 4s_2 s_{m\pm} \hat{a}}{2\hat{r}_{0\pm}} \right), \quad (69a)$$

$$\beta_{\pm\text{R}} \simeq \frac{s_1 \sqrt{s_d^2 - s_{m\pm}^2}}{s_d \hat{r}_d} \left(\hat{r}_{0\pm} + 1 + \frac{3 + \hat{a}^2 c_d^2 + \hat{l}^2 - 4s_2 s_{m\pm} \hat{a}}{2\hat{r}_{0\pm}} \right). \quad (69b)$$

In Fig. 5 (b), we plot the dependences of the deflections ($\Delta\phi_{\text{R}}, \Delta\theta_{\text{R}}$) and the apparent angles ($\alpha_{\pm\text{R}}, \beta_{\pm\text{R}}$) on \hat{l} . Unlike the effect of \hat{Q} in KN spacetime, here, \hat{l} increases $r_{0\pm}$; consequently, the apparent angles of the images increase. The amount of reduction of either $\alpha_{\pm\text{R}}$ or $\beta_{\pm\text{R}}$ as \hat{l} increases to 2.5 is comparable to that for \hat{Q} in the KN case or \hat{b} in the KS case.

VI. CONCLUSIONS AND DISCUSSIONS

In this work, we have studied the off-equatorial deflections and GL of both null and timelike signals in general SAS spacetimes in the WDL, with the finite distance effect of the source and detector. We find that as long as the metric functions satisfy certain common separable variable conditions (10), which allows the existence of a GCC, the deflection angles in both the ϕ and θ directions

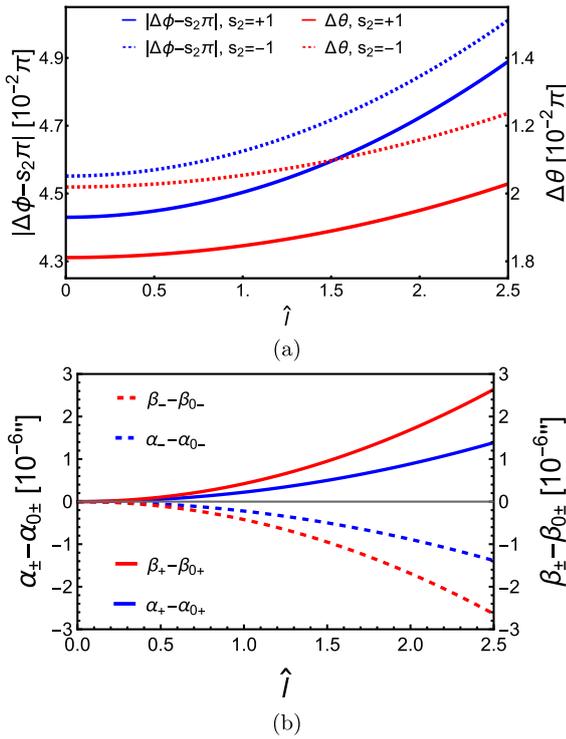


Fig. 5. (color online) Dependences of $\Delta\phi$ and $\Delta\theta$ (a) and α and β (b) on \hat{a} in RSV spacetime. In (a), $r_s = r_d = 400M$, $\theta_m = \pi/5$, $r_0 = 20M$, $\theta_s = \pi/4$ are used to clearly show the effect. In (b), $M = 4.1 \times 10^6 M_{\odot}$, $r_s = r_d = 8.34$ kpc, $\delta\theta = 10^{-4}''$, $\delta\phi = 10^{-4}''$, $\theta_s = \pi/6$. In both plots, $\nu = 1$, $\hat{a} = 1/2$ are used.

can always be determined using the perturbative method. The results, as shown in Eqs. (41) and (44), are dual series of M/r_0 and $r_0/r_{s,d}$, and can be directly used in a set of exact GL equations (46). These equations are then solved to determine the apparent angles of images in such spacetimes (49).

These results are then applied to the KN, KS, and RSV spacetimes to validate the correctness of the method and results and to determine the effect of the spacetime spin as well as that of the characteristic parameter

(typically an effective charge) of these spacetimes. We find that both the spacetime spin and charge generally appear in the second order of both $\Delta\phi$ and $\Delta\theta$, whereas the non-equatorial effect appears from the very leading non-trivial order, as shown in Eqs. (52), (60) and (66).

For the image apparent angles, again both the spacetime spin and (effective) charge appear in the subleading, as manifested in Eqs. (56), (63), and (69). Therefore, these parameters are quite difficult to detect from the apparent angles in relativistic GL in the WDL.

To demonstrate the generality of our method, we supplement a few other spacetimes whose off-equatorial deflections can be determined using our method in Appendix B. We summarize the results computed in the main text and this appendix in Table 1 to clearly present the results and the effect of the main parameter(s) in the spacetime on the deflection and/or apparent angles.

The results of this work can, in principle, be applied to any spacetime with a (non-spherical) axisymmetry. However, in the solar system, the only known object that can bend the light is the Sun, and yet its dimensionless spin parameter \hat{a} is only of order 10^{-20} [59]. Therefore, the effect of the spin or the off-equatorial plane effect in the deflection angle and/or apparent angle of images cannot be observed for the Sun in the foreseeable future. Instead, most spacetimes studied in this work are BH spacetimes. Therefore, the results are more applicable to more extreme rotating BHs, and particular examples are M87* [11] and Sgr A* [12], whose spin parameter \hat{a} can potentially reach a much larger order (roughly a fraction of one). We can also assume that such BHs carry extra parameters such as those appearing in the Kerr-Sen or rotating-Simpson-Visser spacetimes and attempt to use future observations to constrain the corresponding parameters.

A few potential extensions to this work that can be explored. The first is to study the magnification and time delays of the images in the off-equatorial GL. Ref. [33] shows that, for the Kerr spacetime, the spacetime spin might have a stronger effect on the time delay than on im-

Table 1. Spacetimes and their off equatorial deflections. From the second to last columns are the metric equation number, main parameter of the spacetime, deflection angles in that spacetime, lowest order in the deflection angle from which the main parameter appears, equation number of the image apparent angles for the spacetime studied in the main text, and effect of the parameter on the apparent angles, *i.e.*, the monotonicity of the apparent angle as the parameter increases.

Spacetime	Metric Eq.	Para.	Def. angle Eq.	Order	App. angle Eq.	Para. effect
Kerr-Newmann	(50)	Q	(52)	2	(56)	\searrow
Kerr-Sen	(58)	b	(60)	2	(63)	\searrow
Rotating Simpson-Visser	(64)	l	(66)	2	(69)	\nearrow
Rotating Bardeen	(B1) with (B2)	g	(B7) with (B2)	3 or higher		
Rotating Hayward	(B1) with (B3)	k	(B7) with (B3)	3 or higher		
Rotating Ghosh	(B1) with (B4)	h	(B7) with (B4)	2		
Rotating Tinchev	(B1) with (B5)	j	(B7) with (B5)	3 or higher		

age locations. The second is that we might also attempt to study the off-equatorial deflection of charged particles in electromagnetic fields. However, the separation condition (10) must be re-investigated.

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APPENDIX A: HIGHER ORDER ITEMS OF SERIES

Here, we list some higher-order coefficients in the series appearing in the main text of the III. For Eq. (35), we present two more coefficients, which are also used in the computations in the main text:

$$m_{r,3} = \frac{p^2}{8\sqrt{(1-p^2)(\kappa g_0 + 4E^2 c_0)}d_0^5} \left\{ 4d_0 d_2 + 16a_2 d_0^2 s_m^2 - \frac{8d_0 d_1 (\kappa g_1 + 4E^2 c_1)}{(1+p)(\kappa g_0 + 4E^2 c_0)} - \frac{16s_2 b_2 d_0^2 s_m E}{(1+p)\sqrt{\kappa g_0 + 4E^2 c_0}} \right. \\ \left. - \frac{3[d_0(\kappa g_1 + 4E^2 c_1) - d_1(1+p)(\kappa g_0 + 4E^2 c_0)]^2}{(1+p^2)(\kappa g_0 + 4E^2 c_0)^2} \right\}, \quad (\text{A1})$$

$$m_{\theta,3} = \frac{C_2 + C_3 c^2 - C_1 c^4}{2(c_m^2 - c^2)(\kappa g_0 + 4E^2 c_0)^{3/2}}, \quad (\text{A2})$$

where

$$C_1 = a^2(E^2 + \kappa),$$

$$C_2 = \left[\kappa g_2 + 4E^2 c_2 - 4a_2 s_m^2 (\kappa g_0 + 4E^2 c_0) - a^2 E^2 - s_m^2 C_1 + 4s_2 s_m b_2 E \sqrt{\kappa g_0 + 4E^2 c_0} - \frac{3(\kappa g_1 + 4E^2 c_1)^2}{4(\kappa g_0 + 4E^2 c_0)} \right] c_m^2,$$

$$C_3 = c_m^2 C_1 - \frac{C_2}{c_m^2}.$$

The corresponding integral coefficients are

$$M_{r,3} = -\frac{1}{16(d_0 C_4)^{5/2}} \left\{ 2d_0^2 C_5^2 \left[\frac{4+5p_j}{1+p_j^2} \sqrt{1-p_j^2} - 3\cos^{-1}(p_j) \right] - 4d_0 d_1 C_4 C_5 \frac{(1+p_j)^2}{1+p_j^2} \left[\frac{2+p_j}{1+p_j} \sqrt{1-p_j^2} - \cos^{-1}(p_j) \right] \right. \\ \left. + (16a_2 s_m^2 d_0^2 - 3d_1^2 + 4d_0 d_2) C_4^2 \left[p_j \sqrt{1-p_j^2} + \cos^{-1}(p_j) \right] - 32s_2 s_m E b_2 d_0^2 C_4^{3/2} \left[\frac{2+p_j}{1+p_j^2} \sqrt{1-p_j^2} - \frac{1+p_j}{1+p_j^2} \cos^{-1}(p_j) \right] \right\}, \quad (\text{A3})$$

where

$$C_4 = \kappa g_0 + 4E^2 c_0, \quad C_5 = \kappa g_1 + 4E^2 c_1,$$

and

$$M_{\theta,3} = \frac{2C_3 - 3C_1 c_m^2}{4(\kappa g_0 + 4E^2 c_0)^{3/2}} \left[\cos^{-1} \left(\frac{c_j}{c_m} \right) + \frac{s_1 c_j}{\sqrt{c_m^2 - c_j^2}} \right] + \frac{s_1 c_j (C_1 c_j^2 c_m^2 + 2C_2)}{4c_m^2 (\kappa g_0 + 4E^2 c_0)^{3/2} \sqrt{c_m^2 - c_j^2}}.$$

The second-order coefficient in Eq. (39) is

$$h_2 = -s_1 \sqrt{\kappa g_0 + 4E^2 c_0} \sqrt{c_m^2 - h_0^2} \sum_{j=s,d} M_{r,3} - \frac{h_0 h_1^2}{2(c_m^2 - h_0^2)} + \frac{(\kappa g_1 + 4E^2 c_1) h_1}{2(\kappa g_0 + 4E^2 c_0)} + \frac{\sqrt{c_m^2 - h_0^2}}{4(\kappa g_0 + 4E^2 c_0)} \left[\left(\frac{h_0}{\sqrt{c_m^2 - h_0^2}} \right. \right. \\ \left. \left. + \frac{c_s}{\sqrt{c_m^2 - c_s^2}} + \frac{1}{\sqrt{d_0}} \sum_{j=s,d} \cos^{-1}(p_j) \right) (2C_3 - 3C_1 c_m^2) + \frac{h_0 (2C_2 + C_1 h_0 c_m^2)}{c_m^2 \sqrt{c_m^2 - h_0^2}} + \frac{c_s (2C_2 + C_1 c_s c_m^2)}{c_m^2 \sqrt{c_m^2 - c_s^2}} \right]. \quad (\text{A5})$$

APPENDIX B: APPLICATIONS IN OTHER SAS SPACETIMES

In addition to the spacetimes that we have discussed in detail in Sec. V, many spacetimes also satisfy the requirements of the separation of variables we establish in Sec. II A. Here, we briefly mention the off-equatorial deflections in these spacetimes.

The following line element describe a class of spacetimes satisfying these conditions

$$ds^2 = -\frac{\Sigma - 2m(r)r}{\Sigma} dt^2 - \frac{4am(r)r \sin^2 \theta}{\Sigma} dt d\phi \\ + \left(r^2 + a^2 + \frac{2a^2 m(r)r \sin^2 \theta}{\Sigma} \right) \sin^2 \theta d\phi^2 \\ + \frac{\Sigma}{\Delta} dr^2 + \Sigma d\theta^2,$$

where

$$\Sigma = r^2 + a^2 \cos^2 \theta, \Delta = r^2 - 2m(r)r + a^2$$

and $a, m(r)$ are the spacetime spin and mass functions, respectively. This line element covers the Kerr spacetime when $m(r) = M$ is a constant, the rotating Bardeen BH [43] when

$$m_B(r) = M \left(\frac{r^2}{r^2 + g^2} \right)^{3/2} = M - \frac{3g^2 M}{2r^2} + O(r)^{-3}, \quad (\text{B2})$$

the rotating Hayward BH [43] when

$$m_H(r) = M \frac{r^3}{r^3 + k^3} = M - \frac{k^3 M}{r^3} + O(r)^{-5}, \quad (\text{B3})$$

the rotating Ghosh BH [44] when

$$m_G(r) = M e^{-h/r} = M - \frac{hM}{r} + O(r)^{-2}, \quad (\text{B4})$$

and the rotating Tinchev BH [45] when

$$m_T(r) = M e^{-j/r^2} = M - \frac{jM}{r^2} + O(r)^{-3}. \quad (\text{B5})$$

Here, we have expanded $m(r)$ into the following form:

$$m(r) = \sum_{n=0}^{\infty} \frac{m_n}{r^n} \quad (\text{B6})$$

and the coefficients m_n for each spacetime can be easily obtained from Eqs. (B2) – (B5). For the rotating Bardeen and Tinchev spacetimes, their characteristic parameter appears from the second order of the expansion, whereas the rotating Ghosh and Hayward ones appear from the first and third orders, respectively.

Using the line element (B1) and mass function (B6), we determine the deflection $\Delta\theta$ and $\Delta\phi$ as

$$\Delta\phi = s_2 \pi + \frac{4\hat{a}m_0^2}{vr_0^2} - \frac{8s_m^2 \hat{a}m_0^2}{s_s^2 vr_0^2} + \frac{s_2 s_m}{s_s^2} \\ \left[\frac{2(1+v^2)m_0}{v^2 r_0} - (p_s + p_d) - \frac{\zeta m_0^2}{4v^4 r_0^2} - \frac{m_0(p_s + p_d)}{v^2 r_0} \right] \\ + \frac{s_1 s_2 s_m c_s \sqrt{c_m^2 - c_s^2}}{s_s^4} \left[(p_s + p_d) - \frac{2(1+v^2)m_0}{v^2 r_0} \right]^2 \\ + O(\epsilon)^3, \quad (\text{B7a})$$

$$\Delta\theta = \frac{s_1 \sqrt{c_m^2 - c_s^2}}{s_s} \left[\frac{2(1+v^2)m_0}{v^2 r_0} - (p_s + p_d) \right. \\ \left. - \frac{(\zeta + 32s_2 s_m v^3 \hat{a}) m_0^2}{4v^4 r_0^2} - \frac{m_0(p_s + p_d)}{v^2 r_0} \right] \\ - \frac{c_s s_m^2}{2s_s^3} \left[(p_s + p_d) - \frac{2(1+v^2)m_0}{v^2 r_0} \right]^2 + O(\epsilon)^3, \quad (\text{B7b})$$

where

$$\zeta = 8 + 8v^2 - 12\pi v^2 - 3\pi v^4 - \frac{2\pi v^2(2+v^2)m_1}{m_0^2} \quad (\text{B8})$$

and all m_i should be obtained from corresponding spacetime.

We also compute the deflection in the Konoplya-Zhidenko rotating non-Kerr spacetime whose metric is

given by [42]

$$ds^2 = -\frac{N^2 - W^2 \sin^2 \theta}{\mathcal{K}^2} dt^2 - 2rW \sin^2 \theta dt d\phi + \mathcal{K}^2 r^2 \sin^2 \theta d\phi^2 + \frac{\Sigma}{N^2 r^2} dr^2 + \Sigma d\theta^2, \quad (\text{B9})$$

where

$$\begin{aligned} \Sigma &= r^2 + a^2 \cos^2 \theta, \quad \Delta = r^2 - 2Mr + a^2, \\ N^2 &= \frac{(\Delta - \eta/r)}{r^2}, \quad W = \frac{2Ma}{\Sigma} + \frac{\eta a}{r^2 \Sigma}, \\ \mathcal{K}^2 &= \frac{(r^2 + a^2)^2 - a^2(\Delta - \eta/r) \sin^2 \theta}{r^2 \Sigma}, \end{aligned} \quad (\text{B10})$$

and η is the deformation parameter that describes the deviation from Kerr spacetime. However, we find that to order $O(M/r_0)^2$, the parameter η does not appear in either $\Delta\phi$ or $\Delta\theta$. Therefore, the deflections to order $O(M/r_0)^2$ in this spacetime is also given by Eq. (B7) with $m_{n \geq 1} = 0$.

For future reference, we also test the applicability of our methodology to SAS but non-asymptotically flat spacetimes, such as the KN-(anti)de Sitter spacetime described by [60]

$$ds^2 = \frac{\Delta_\theta}{\rho^2 \Xi^2} [adt - (r^2 + a^2) d\phi]^2 - \frac{\Delta_r}{\rho^2 \Xi^2} (dt - a \sin^2 \theta d\phi)^2 + \rho^2 \left(\frac{dr^2}{\Delta_r} + \frac{d\theta^2}{\Delta_\theta} \right), \quad (\text{B11})$$

where

$$\begin{aligned} \rho^2 &= r^2 + a^2 \cos^2 \theta, \quad \Delta_\theta = 1 + \frac{\Lambda}{3} a^2 \cos^2 \theta, \\ \Delta_r &= (r^2 + a^2) \left(1 - \frac{\Lambda r^2}{3} \right) - 2Mr + Q^2, \quad \Xi = 1 + \frac{\Lambda}{3} a^2, \end{aligned}$$

and Kerr-Taub-NUT spacetime with metric [61]

$$ds^2 = -\frac{\Delta - a^2 \sin^2 \theta}{\Sigma} dt^2 + \frac{2[\Delta\chi - a(\Sigma + a\chi) \sin^2 \theta]}{\Sigma} dt d\phi + \frac{(\Sigma + a\chi)^2 \sin^2 \theta - \chi^2 \Delta}{\Sigma} d\phi^2 + \frac{\Sigma}{\Delta} dr^2 + \Sigma d\theta^2, \quad (\text{B12})$$

where

$$\begin{aligned} \Sigma &= r^2 + (\hat{n} + a \cos \theta)^2, \\ \Delta &= r^2 - 2Mr + a^2 - \hat{n}^2, \\ \chi &= a \sin^2 \theta - 2\hat{n} \cos \theta. \end{aligned}$$

We find that they also satisfy the separation requirements (10); therefore, the deflection of both null and timelike rays in the equatorial or off-equatorial plane in them can be treated using our method. Finally, for C-type metrics, which do not have the reflective KN symmetry about the equatorial plane, including the KN-(A)dS C-metric [62],

$$ds^2 = \frac{1}{H^2} \left\{ -\frac{f(r)}{\Sigma} \left(\frac{dt}{\alpha} - a \sin^2 \theta \frac{d\phi}{K} \right)^2 + \frac{\Sigma}{f(r)} dr^2 + \frac{\Sigma r^2}{h(\theta)} d\theta^2 + \frac{h(\theta) \sin^2 \theta}{\Sigma r^2} \left[\frac{adt}{\alpha} - (r^2 + a^2) \frac{d\phi}{K} \right]^2 \right\}, \quad (\text{B13})$$

where

$$\begin{aligned} f(r) &= (1 - A^2 r^2) \left(1 - \frac{2m}{r} + \frac{a^2 + e^2}{r^2} \right) + \frac{r^2 + a^2}{l^2}, \\ h(\theta) &= 1 + 2mA \cos \theta + \left[A^2 (a^2 + e^2) - \frac{a^2}{l^2} \right] \cos^2 \theta, \\ \Sigma &= 1 + \frac{a^2}{r^2} \cos^2 \theta, \quad H = 1 + Ar \cos \theta, \end{aligned}$$

and its subcases with $A \neq 0$, we find that only for null but not the timelike rays, the separation requirements (10) can be satisfied. Therefore, the deflection can be studied using our approach. However, for these metrics, we will not list the formulas in the θ and ϕ directions until more valuable applications are determined.

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