# Heavy flavor baryon spectra via QCD sum rules＊ 

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#### Abstract

In this talk，we give a short review of our recent works on studying the singly heavy baryon，doubly heavy baryon，and triply heavy baryon spectra from QCD sum rules．


Key words singly heavy baryon，doubly heavy baryon，triply heavy baryon，QCD sum rules
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## 1 Introduction

The heavy baryon is an exciting and remarkable topic nowadays．Experimentally，the field of heavy hadron spectroscopy is experiencing a rapid advance－ ment and plenty of heavy baryons have already been observed up to now ${ }^{[1,2]}$ ．The feasibility of doubly and triply heavy baryons investigated at the Large Hadron Collider（with the design luminosity values of $\mathcal{L}=10^{34} \mathrm{~cm}^{-2} \mathrm{~s}^{-1}$ and $\sqrt{s}=14 \mathrm{TeV}$ ）was presented in some works，for instance，Refs．［3，4］．Theoreti－ cally，various models have been utilized to compute heavy baryon masses，such as quark models ${ }^{[5-10]}$ ， mass formulas ${ }^{[11,12]}$ ，lattice QCD simulations ${ }^{[13,14]}$ ， and other approaches ${ }^{[15-17]}$ ．One can also resort to a vigorous and reliable working tool in hadron physics，the QCD sum rules，which are still being actively used judging by the near 3500 and growing citations of the seminal papers ${ }^{[18]}$ of M．A．Shifman， A．I．Vainshtein，and V．I．Zakharov．The method is a nonperturbative analytic formalism firmly en－ trenched in QCD（for reviews see ${ }^{[19,20]}$ and refer－ ences therein）．QCD sum rules for baryons ${ }^{[21]}$ sug－ gested by B．L．Ioffe generalize the method from the mesonic states to the baryonic cases．With QCD sum rules，heavy baryon masses were primarily calculated by E．V．Shuryak in heavy quark limit ${ }^{[22]}$ ，and sub－ sequently in the Heavy Quark Effective Theory by some theorists，for example，A．G．Grozin，Y．B．Dai， S．Groote etc．${ }^{[23-27]}$ ．There are also many works been done basing on the full theory by E．Bagan，

V．V．Kiselev，T．M．Aliev，M．Nielsen etc．${ }^{[28-31]}$ ， as well as our studies on singly ${ }^{[32,33]}$ ，doubly ${ }^{[34]}$ ，and triply heavy baryon spectra ${ }^{[35]}$ from QCD sum rules． Presently，we would like to briefly review those works and make some discussions．

## 2 Heavy baryons in QCD sum rules

The QCD sum rule approach represents an at－ tempt to link the hadron phenomenology with the interactions of quarks and gluons．The basic point of this method is the choice of appropriate interpo－ lating current．In a tentative diquark－quark picture for the singly heavy baryon qqQ system，the Q or－ bits the qq pair．For the ground states，the currents are correlated with the spin－parity quantum numbers $0^{+}$and $1^{+}$for the qq diquark system，along with the heavy quark Q forming the state with $J^{P}=\frac{1}{2}^{+}$and the pair of degenerate states．For the latter case， the qq diquark has spin 1 ，and the spin of the third quark is either parallel，$J^{P}=\frac{3}{2}^{+}$，or antiparallel， $J^{P}=\frac{1}{2}^{+}$，to the diquark．Similarly，one could as－ sume the（QQ）－q configuration for doubly heavy baryon QQq and $(\mathrm{QQ})-\mathrm{Q}^{\prime}$ for triply heavy baryon $\mathrm{QQQ}^{\prime}$ ，respectively．Thereby，we principally adopt the similar forms of Ioffe currents discussed minutely in Refs．［21，22］．

Concretely，coming down to the mass sum rules for the singly heavy baryon as an example，the start－ ing point is the two－point correlator

$$
\begin{equation*}
\Pi\left(q^{2}\right)=\mathrm{i} \int \mathrm{~d}^{4} x \mathrm{e}^{\mathrm{i} q \cdot x}\langle 0| T[j(x) \bar{j}(0)]|0\rangle \tag{1}
\end{equation*}
$$

[^0]Lorentz covariance implies that the correlator (1) has the form

$$
\begin{equation*}
\Pi\left(q^{2}\right)=q \Pi_{1}\left(q^{2}\right)+\Pi_{2}\left(q^{2}\right) . \tag{2}
\end{equation*}
$$

For each invariant function $\Pi_{1}$ and $\Pi_{2}$, a sum rule can be obtained.

In the phenomenology side, the correlator can be expressed as a dispersion integral over a physical spectral function

$$
\Pi\left(q^{2}\right)=\lambda_{H}^{2} \frac{\not q+M_{H}}{M_{H}^{2}-q^{2}}+\frac{1}{\pi} \int_{s_{0}}^{\infty} \mathrm{d} s \frac{\operatorname{Im} \Pi^{\mathrm{phen}}(s)}{s-q^{2}}+
$$

where $M_{H}$ denotes the heavy baryon mass.
In the OPE side, the correlator can be written in terms of a dispersion relation as

$$
\begin{equation*}
\Pi_{i}\left(q^{2}\right)=\int_{m_{Q}^{2}}^{\infty} \mathrm{d} s \frac{\rho_{i}(s)}{s-q^{2}}, \quad i=1,2 \tag{4}
\end{equation*}
$$

After equating the two sides, assuming quarkhadron duality, making a Borel transform, and eliminating the baryon coupling constant $\lambda_{H}$, the sum rules can be written as,

$$
\begin{align*}
M_{H}^{2} & =\int_{m_{Q}^{2}}^{s_{0}} \mathrm{~d} s \rho_{i}(s) s \mathrm{e}^{-s / M^{2}} / \int_{m_{Q}^{2}}^{s_{0}} \mathrm{~d} s \rho_{i}(s) \mathrm{e}^{-s / M^{2}} \\
i & =1,2 \tag{5}
\end{align*}
$$

For brevity, more detailed descriptions of the calculation procedures will not be iterated here. The final results are collected together with the available experimental data and other theoretical predictions in Tables $1-3$. It is worth noting that uncertainty in our results are merely due to the sum rule windows, not involving the ones rooting in the variation of the quark masses and QCD parameters. Note that the QCD $\mathrm{O}\left(\alpha_{\mathrm{s}}\right)$ corrections are not covered in these works. However, it is expected that the QCD $\mathrm{O}\left(\alpha_{\mathrm{s}}\right)$ corrections might be under control since a partial cancelation occurs in the ratio obtaining the mass sum rules. This has been proved to be true in the analysis for the singly heavy baryons in Ref. [24] and for the heavy mesons in Ref. [36]. Although the mass values for doubly heavy baryons are consistent with other theoretical predictions, some of the absolute differences from them are not small, for instance, the masses of $\Xi_{\mathrm{cc}}, \Omega_{\mathrm{cc}}$, and $\Xi_{\mathrm{cb}}^{*}$, whereas, the relative discrepancies are in the tolerable ranges of the sum rule accuracy. Visually, the Borel curves for $\Xi_{\mathrm{cc}}, \Omega_{\mathrm{cc}}$, and $\Xi_{\mathrm{cb}}^{*}$ are not very flat, but it is difficult to find much better sum rule windows. That's probably because the condensate contributions for them, which may play an important role in stabilizing the Borel curves, nearly vanished or are small. The stability of those

Table 1. The mass spectra of charmed and bottom baryons (mass in unit of MeV except for "Our works").

| baryon | $J^{P}$ | $S_{\ell}$ | $L_{\ell}$ | $J_{\ell}^{P \ell}$ | experiments ${ }^{[1,2]}$ | our works $(\mathrm{GeV})^{[32,33]}$ | Ref. [5] | Ref. [11] | Ref. [13] | Ref. [26] |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\Lambda_{\text {c }}^{+}$ | $\frac{1}{2}^{+}$ | 0 | 0 | $0^{+}$ | $2286.46 \pm 0.14$ | $2.31 \pm 0.19$ | 2297 | 2285 | 2290 | $2271{ }_{-49}^{+67}$ |
| $\Lambda_{\mathrm{c}}(2593)^{+}$ | $\frac{1}{2}^{-}$ | 0 | 1 | $1^{-}$ | $2595.4 \pm 0.6$ | $2.53 \pm 0.22$ | 2598 |  |  |  |
| $\Lambda_{\mathrm{c}}(2625)^{+}$ | $\frac{3}{2}{ }^{-}$ | 0 | 1 | $1^{-}$ | $2628.1 \pm 0.6$ | $2.58 \pm 0.24$ | 2628 |  |  |  |
| $\Sigma_{\mathrm{c}}(2455)^{0}$ | $\frac{1}{2}+$ | 1 | 0 | $1^{+}$ | $2453.76 \pm 0.18$ | $2.40 \pm 0.31$ | 2439 | 2453 | 2452 | $2411{ }_{-81}^{+93}$ |
| $\Sigma_{\text {c }}(2520)^{0}$ | $\frac{3}{2}^{+}$ | 1 | 0 | $1^{+}$ | $2518.0 \pm 0.5$ | $2.56 \pm 0.24$ | 2518 | 2520 | 2538 | $2534_{-81}^{+96}$ |
| $\Xi_{\mathrm{c}}^{0}$ | $\frac{1}{2}+$ | 0 | 0 | $0^{+}$ | $2471.0 \pm 0.4$ | $2.48 \pm 0.21$ | 2481 | 2468 | 2473 | $2432{ }_{-68}^{+79}$ |
| $\Xi_{\mathrm{c}}(2790)^{0}$ | $\frac{1}{2}^{-}$ | 0 | 1 | $1^{-}$ | $2791.9 \pm 3.3$ | $2.65 \pm 0.27$ | 2801 |  |  |  |
| $\Xi_{\mathrm{c}}(2815)^{0}$ | $\frac{3}{2}-$ | 0 | 1 | $1^{-}$ | $2818.2 \pm 2.1$ | $2.69 \pm 0.29$ | 2820 |  |  |  |
| $\Xi_{\mathrm{c}}^{\prime 0}$ | $\frac{1}{2}^{+}$ | 1 | 0 | $1^{+}$ | $2578.0 \pm 2.9$ | $2.50 \pm 0.29$ | 2578 | 2580 | 2599 | $2508{ }_{-91}^{+97}$ |
| $\Xi_{\mathrm{c}}(2645)^{0}$ | $\frac{3}{2}^{+}$ | 1 | 0 | $1^{+}$ | $2646.1 \pm 1.2$ | $2.64 \pm 0.22$ | 2654 | 2650 | 2680 | $2634_{-94}^{+102}$ |
| $\Omega_{\mathrm{c}}^{0}$ | $\frac{1}{2}+$ | 1 | 0 | $1^{+}$ | $2697.5 \pm 2.6$ | $2.62 \pm 0.29$ | 2698 | 2710 | 2678 | $2657_{-99}^{+102}$ |
| $\Omega_{\mathrm{c}}(2768)^{0}$ | $\frac{3}{2}^{+}$ | 1 | 0 | $1^{+}$ | $2768.3 \pm 3.0$ | $2.74 \pm 0.23$ | 2768 | 2770 | 2752 | $2790_{-105}^{+109}$ |
| $\Lambda_{\mathrm{b}}$ | $\frac{1}{2}^{+}$ | 0 | 0 | $0^{+}$ | $5619.7 \pm 1.2$ | $5.69 \pm 0.13$ | 5622 | 5620 | 5672 | $5637{ }_{-56}^{+68}$ |
| $\Lambda_{1 \mathrm{~b}}$ | $\frac{1}{2}^{-}$ | 0 | 1 | $1^{-}$ |  | $5.85 \pm 0.15$ | 5930 |  |  |  |
| $\Lambda_{1 \mathrm{~b}}^{*}$ | $\frac{3}{2}-$ | 0 | 1 | $1^{-}$ |  | $5.90 \pm 0.16$ | 5947 |  |  |  |
| $\Sigma_{\text {b }}$ | $\frac{1}{2}^{+}$ | 1 | 0 | $1^{+}$ | $5807.8_{-2.2}^{+2.0} \pm 1.7$ | $5.73 \pm 0.21$ | 5805 | 5820 | 5847 | $5809_{-76}^{+82}$ |
| $\Sigma_{\text {b }}^{*}$ | $\frac{3}{2}+$ | 1 | 0 | $1^{+}$ | $5829.0_{-1.8}^{+1.6+1.7}$ | $5.81 \pm 0.19$ | 5834 | 5850 | 5871 | $5835_{-77}^{+82}$ |
| $\Xi_{\mathrm{b}}^{0}$ | $\frac{1}{2}+$ | 0 | 0 | $0^{+}$ | $5792.9 \pm 2.5 \pm 1.7$ | $5.75 \pm 0.13$ | 5812 | 5810 | 5788 | $5780{ }_{-68}^{+73}$ |
| $\Xi_{1 \mathrm{~b}}$ | $\frac{1}{2}^{-}$ | 0 | 1 | $1^{-}$ |  | $5.95 \pm 0.16$ | 6119 |  |  |  |
| $\Xi_{1 \mathrm{~b}}^{*}$ | $\frac{3}{2}-$ | 0 | 1 | $1^{-}$ |  | $5.99 \pm 0.17$ | 6130 |  |  |  |
| $\Xi_{\mathrm{b}}^{\prime}$ | $\frac{1}{2}+$ | 1 | 0 | $1^{+}$ |  | $5.87 \pm 0.20$ | 5937 | 5950 | 5936 | $5903{ }_{-79}^{+81}$ |
| $\Xi_{\mathrm{b}}^{\prime *}$ | $\frac{3}{2}^{+}$ | 1 | 0 | $1^{+}$ |  | $5.94 \pm 0.17$ | 5963 | 5980 | 5959 | $5929_{-79}^{+83}$ |
| $\Omega_{\mathrm{b}}$ | $\frac{1}{2}+$ | 1 | 0 | $1^{+}$ | $6165 \pm 10 \pm 13$ | $5.89 \pm 0.18$ | 6065 | 6060 | 6040 | $6036 \pm 81$ |
| $\Omega_{\mathrm{b}}^{*}$ | $\frac{3}{2}^{+}$ | 1 | 0 | $1^{+}$ |  | $6.00 \pm 0.16$ | 6090 | 6090 | 6060 | $6063{ }_{-82}^{+83}$ |

Table 2. The mass spectra of doubly heavy baryons (mass in unit of GeV).

| baryon | content | $J^{P}$ | $S_{d}$ | $L_{d}$ | $J_{d}^{P_{d}}$ | our work $^{[34]}$ | Ref. [6] | Ref. [12] | Ref. [15] | Ref. [28] |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\Xi_{\mathrm{cc}}$ | $\{\mathrm{cc}\} \mathrm{q}$ | $\frac{1}{2}^{+}$ | 1 | 0 | $1^{+}$ | $4.26 \pm 0.19$ | 3.620 | 3.676 | 3.520 | $3.55 \pm 0.08$ |
| $\Xi_{\mathrm{cc}}^{*}$ | $\{\mathrm{cc}\} \mathrm{q}$ | $\frac{3}{2}^{+}$ | 1 | 0 | $1^{+}$ | $3.90 \pm 0.10$ | 3.727 | 3.746 | 3.63 |  |
| $\Omega_{\mathrm{cc}}$ | $\{\mathrm{cc}\} \mathrm{s}$ | $\frac{1}{2}^{+}$ | 1 | 0 | $1^{+}$ | $4.25 \pm 0.20$ | 3.778 | 3.787 | 3.619 | $3.65 \pm 0.07$ |
| $\Omega_{\mathrm{cc}}^{*}$ | $\{\mathrm{cc}\} \mathrm{s}$ | $\frac{3}{2}^{+}$ | 1 | 0 | $1^{+}$ | $3.81 \pm 0.06$ | 3.872 | 3.851 | 3.721 |  |
| $\Xi_{\mathrm{bb}}$ | $\{\mathrm{bb}\} \mathrm{q}$ | $\frac{1}{2}^{+}$ | 1 | 0 | $1^{+}$ | $9.78 \pm 0.07$ | 10.202 |  | 10.272 | $10.00 \pm 0.08$ |
| $\Xi_{\mathrm{bb}}^{*}$ | $\{\mathrm{bb}\} \mathrm{q}$ | $\frac{3}{2}^{+}$ | 1 | 0 | $1^{+}$ | $10.35 \pm 0.08$ | 10.237 | 10.398 | 10.337 | $9.94 \pm 0.91$ |
| $\Omega_{\mathrm{bb}}$ | $\{\mathrm{bb}\} \mathrm{s}$ | $\frac{1}{2}^{+}$ | 1 | 0 | $1^{+}$ | $9.85 \pm 0.07$ | 10.359 |  | 10.369 | $10.09 \pm 0.07$ |
| $\Omega_{\mathrm{bb}}^{*}$ | $\{\mathrm{bb}\} \mathrm{s}$ | $\frac{3}{2}^{+}$ | 1 | 0 | $1^{+}$ | $10.28 \pm 0.05$ | 10.389 | 10.483 | 10.429 |  |
| $\Xi_{\mathrm{cb}}$ | $\{\mathrm{cb}\} \mathrm{q}$ | $\frac{1}{2}^{+}$ | 1 | 0 | $1^{+}$ | $6.75 \pm 0.05$ | 6.933 | 7.053 | 6.838 | $6.79 \pm 0.08$ |
| $\Xi_{\mathrm{cb}}^{*}$ | $\{\mathrm{cb}\} \mathrm{q}$ | $\frac{3}{2}^{+}$ | 1 | 0 | $1^{+}$ | $8.00 \pm 0.26$ | 6.980 | 7.083 | 6.986 |  |
| $\Omega_{\mathrm{cb}}$ | $\{\mathrm{cb}\} \mathrm{s}$ | $\frac{1}{2}^{+}$ | 1 | 0 | $1^{+}$ | $7.02 \pm 0.08$ | 7.088 | 7.148 | 6.941 | $6.89 \pm 0.07$ |
| $\Omega_{\mathrm{cb}}^{*}$ | $\{\mathrm{cb}\} \mathrm{s}$ | $\frac{3}{2}^{+}$ | 1 | 0 | $1^{+}$ | $7.54 \pm 0.08$ | 7.130 | 7.165 | 7.077 |  |
| $\Xi_{\mathrm{cb}}^{\prime}$ | $[\mathrm{cb}] \mathrm{q}$ | $\frac{1}{2}^{+}$ | 0 | 0 | $0^{+}$ | $6.95 \pm 0.08$ | 6.963 | 7.062 | 7.028 |  |
| $\Omega_{\mathrm{cb}}^{\prime}$ | $[\mathrm{cb}] \mathrm{s}$ | $\frac{1}{2}^{+}$ | 0 | 0 | $0^{+}$ | $7.02 \pm 0.08$ | 7.116 | 7.151 | 7.116 |  |

Table 3. The mass spectra of triply heavy baryons (mass in unit of GeV ).

| baryon | quark content | $J^{P}$ | $S_{d}$ | $L_{d}$ | $J_{d}^{P_{d}}$ | our work $^{[35]}$ | Ref. [8] | Ref. [9] | Ref. [10] | Ref. [14] |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\Omega_{\mathrm{ccc}}$ | $\{\mathrm{cc}\} \mathrm{c}$ | $\frac{3}{2}^{+}$ | 1 | 0 | $1^{+}$ | $4.67 \pm 0.15$ | 4.803 | 4.79 | 4.925 | 4.681 |
| $\Omega_{\mathrm{bbb}}$ | $\{\mathrm{bb}\} \mathrm{b}$ | $\frac{3}{2}^{+}$ | 1 | 0 | $1^{+}$ | $13.28 \pm 0.10$ | 14.569 | 14.30 | 14.760 | 4.76 |
| $\Omega_{\mathrm{ccb}}$ | $\{\mathrm{cc}\} \mathrm{b}$ | $\frac{1}{2}^{+}$ | 1 | 0 | $1^{+}$ | $7.41 \pm 0.13$ | 8.018 |  |  |  |
| $\Omega_{\mathrm{ccb}}^{*}$ | $\{\mathrm{cc}\} \mathrm{b}$ | $\frac{3}{2}^{+}$ | 1 | 0 | $1^{+}$ | $7.45 \pm 0.16$ | 8.025 | 8.03 | 8.200 |  |
| $\Omega_{\mathrm{bbc}}^{\mathrm{ab}}$ | $\{\mathrm{bb}\} \mathrm{c}$ | $\frac{1}{2}^{+}$ | 1 | 0 | $1^{+}$ | $10.30 \pm 0.10$ | 11.280 |  |  |  |
| $\Omega_{\mathrm{bbc}}^{*}$ | $\{\mathrm{bb}\} \mathrm{c}$ | $\frac{3}{2}^{+}$ | 1 | 0 | $1^{+}$ | $10.54 \pm 0.11$ | 11.287 | 11.20 | 11.480 |  |
| $\Omega_{\mathrm{ccb}}^{\prime}$ | $[\mathrm{cc}] \mathrm{b}$ | $\frac{1}{2}^{+}$ | 0 | 0 | $0^{+}$ | $7.49 \pm 0.10$ |  |  | 7.98 |  |
| $\Omega_{\mathrm{bbc}}^{\prime}$ | $[\mathrm{bb}] \mathrm{c}$ | $\frac{1}{2}^{+}$ | 0 | 0 | $0^{+}$ | $10.35 \pm 0.07$ |  |  | 11.19 |  |

three curves might be improved by including some higher dimension condensate contributions. For triply heavy baryons, one can find that our central values are lower than potential model predictions, in particular, for $\Omega_{\mathrm{bbb}}$, slightly more than 1 GeV , whereas the relative discrepancy approximates to $10 \%$, which is still acceptable. In addition, our result for $\Omega_{\text {ccc }}$ agrees well with the lattice QCD value in Ref. [14], but the other comparisons for triply heavy baryons cannot be made for the absence of relevant lattice results by this time.

## 3 Summary and outlook

In summary, we have studied the mass spectra of singly, doubly, and triply heavy baryons in the framework of full QCD sum rules and arrived at three conclusions in chief. First, our results for singly heavy baryons are well compatible with the existing experimental data. Second, the mass values for doubly heavy baryons are in reasonable accord with other predictions. Third, the numerical results for triply heavy baryons are lower than the predictions from potential models, nevertheless, the one for $\Omega_{\mathrm{ccc}}$ is in good agreement with the lattice study.

Anyhow, there are still many problems desiderated to resolve. In experiment, it is worthy to point out that most of the $J^{P}$ quantum numbers for the observed heavy baryons have not been determined, but are assigned by PDG on the basis of quark model predictions, which are looking forward to further experimental identification, particularly for some higher excited states. More data on singly bottom baryons and doubly heavy baryons, along with the evidence on triply heavy baryons are earnestly expected after the Large Hadron Collider startup, which may supply a gap of experimental data in the future. Theoretically, in order to improve on the accuracy of the QCD sum rule analysis for the heavy baryons, especially for triply heavy baryons, one needs to take into account the $\mathrm{QCD} \mathrm{O}\left(\alpha_{\mathrm{s}}\right)$ corrections to the sum rules in the further work. Additionally, it is interesting to carry out a comprehensive study on triply heavy baryon spectra from lattice QCD for the future.
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