# Nucleon excitations* 

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#### Abstract

The mass pattern of nucleon and $\Delta$ resonances is compared with predictions based on quark models, the Skyrme model, AdS/QCD, and the conjecture of chiral symmetry restoration.


Key words baryon resonances, AdS/QCD, quark models, chiral symmetry
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## 1 Introduction

The driving force behind the intense efforts to clarify the spectrum of meson and baryon resonances is the aim to improve the understanding of the confinement mechanism and of the dynamics of quarks and gluons in the non-perturbative region of QCD. Different approaches have been developed.

The systematics of the baryon ground states were constitutive for the development of quark models. For excited states, different quark model variants are capable of reproducing the main features of the data but the models fail in important details: the number of expected states is considerably larger than confirmed experimentally, and the masses of radial excitations are mostly predicted at too high masses.

Resonances fall into a mass range where the usefulness of quarks and gluons can be debated; there are attempts to generate resonances dynamically from ground-state mesons (pseudoscalar and vector) and ground-state baryons (octet and decuplet). Possibly, this is an alternative approach to the resonance spectrum; the mechanism may however also be the source of additional resonances which come atop of the quark model states.

In the harmonic oscillator (h.o.) approximation, the quark model predicts a ladder of meson and baryon resonances with equidistant squared masses, alternating with positive and negative parity, and this pattern survives in more realistic potentials. Experimentally, positive and negative parity states are often
degenerate in mass. This fact is the basis for the conjecture that chiral symmetry might be restored when resonances are excited into the high-mass region.

AdS/CFT is a new approach to describe QCD phenomena in an analytically solvable model over a wide range of interaction energies. The calculations include the meson and baryon mass spectrum. In the case of mesons, most masses (except those for scalar and pseudoscalar mesons) are well reproduced; for baryons the numerical success is amazing.

Finally, there was the claim at this conference that the Skyrme model does as well as AdS/QCD in reproducing the mass spectrum. Hence the comparison, predictions versus experiment, will be done for predictions of AdS/QCD, of the Skyrme model, and of three different quark model variants. The main focus of the talk will be on baryon resonances; mesons will be mentioned briefly in a few cases.

## 2 Quark models

In the quark model, there are two independent oscillators. Choosing harmonic oscillators, the states are characterized by

$$
\left(D, L_{\boldsymbol{N}}^{P}\right)
$$

where $D$ is the $S U(3)$ dimensionality ( 56 or 70 ), $L$ the orbital angular momentum, $P$ the parity, $\boldsymbol{N}$ the shell number. Two questions emerge. First, can we relate these h.o. states with observed resonances? Second, is there some systematic of the so-called missing resonances? We remind the reader that not all solutions

[^0]of a Hamiltonian need to be realized dynamically. The observed states and the missing states may hence contain an important message how QCD arranges a three-quark system at large excitation energies.

There are great successes of the quark model for baryon spectroscopy: these include the interpretation of ground-state baryons in $S U(3)$ multiplets, the correct prediction of the multiplicity of low-mass negative-parity states in the first excitation band $\left(D, L_{\boldsymbol{N}}^{P}\right)=\left(70,1_{1}^{-}\right)$, and the correct prediction of baryon properties like formfactors, magnetic moments. But some problems remain

1) The $\mathrm{N}_{1 / 2^{-}}(1535)-\mathrm{N}_{1 / 2^{+}}(1440)^{*}$ mass difference is +100 MeV experimentally, and -80 MeV in most quark models.
2) There are more states predicted than found experimentally (missing resonance problem).
3) There are no states in a 20-plet, expected from the $S U(6)$ decomposition $6 \otimes 6 \otimes 6=56 \oplus 2 \cdot 70 \oplus 20$.
4) Conceptually, one may ask if constituent quarks should have a defined rest mass when going to high excitation energies.
Quark model variants help to improve some details. The gluonic flux tube can be excited leading to a rich spectrum of hybrid baryons but this possibility aggravates the problem of the missing resonances. Five-quark components in the wave functions can be justified since a $P$-wave excitation in (q-qq) "costs" about 450 MeV , and adding a pseudoscalar q $\bar{q}$ pair in $S$-wave in (qq̄qqq) may be energetically favored. Baryons like $\mathrm{N}_{1 / 2^{-}}(1535)$ could thus be made up from five quarks. If these cluster into a qqq and a $q \bar{q}$ color singlet, these states are not necessarily due to multiquark chemistry but rather meson-nucleon molecules.

## 3 Dynamically generated resonances

The classical example for a dynamically generated resonance is the $\Delta(1232)$ which is represented as qqq state in quark models while Chew explained it as resonance in the $N \pi$ system [1]. In the modern concept, nucleon and $\Delta(1232)$ are considered as fundamental particles from which higher-mass resonances are constructed. An often discussed state is $\mathrm{N}_{1 / 2^{-}}(1535)$ which can be very successfully described as $\mathrm{N} \eta-\Sigma \mathrm{K}$ coupled-channel effect [2] or $\Lambda_{1 / 2^{-}}(1405)$ coupling strongly to $\Sigma \pi$ and NK. Possibly, the latter resonance is split into two states [3]. Open is the
question if all baryon resonances can be constructed from their decay modes. And it is also unclear if the generation of resonances provides a dual description of the same baryons as the quark model or if qqq and molecular descriptions lead to different states which could co-exist (and may mix) leading to a larger number of states than predicted by quark models alone.

Here it must be mentioned that quark model states need long-range corrections with higher Fock configurations. These are dominated by the mesonbaryon interaction (and include four-quark and hybrid configurations). Resonances described in a hadronic picture require short-range corrections. These lead back to interacting quarks and gluons. Hence quark-model wave functions and mesonbaryon states have a sizable overlap and possibly, they span the same Hilbert space. Finally, both chiral Lagrangians and quark-model Lagrangians are approximations of the same underlying theory, of QCD. The resulting spectra should not be just added.

This view has far reaching consequences. It is easy to accept that there is one $\mathrm{N}_{1 / 2^{-}}$(1535) resonance, not a quark model state and a dynamically generated one. If $\mathrm{f}_{0}(980)$ and $\mathrm{a}_{0}(980)$, and the hidden charm resonances $X, Y, Z$, are both molecules and $\mathrm{q} \overline{\mathrm{q}}$, this is a controversially debated question. $\sigma(500)$ and $\kappa(700)$ are certainly dynamically generated and not $1^{3} P_{0}$ quark model states. A different question is what happens when the current quark mass could be changed continuously from the b-quark mass to light quarks. It is possible that this gedanken experiment would connect the $\chi_{\mathrm{b} 0}(1 P)$ to the $\sigma$. The response of QCD certainly depends critically on the mass when a $\mathrm{q} \overline{\mathrm{q}}$ pair is created in the vacuum. In the light quark sector, $\sigma$ is the lowest mass state, in the bottomonium sector it is $\chi_{\mathrm{b} 0}(1 P)$, hence $\sigma$ may deserve the notation and interpretation as $f_{0}(1 P)$ state.

## 4 Chiral multiplets

Baryon resonances exhibit an unexpected phenomenon: parity doublets, pairs of resonances with the same spin $J$ but opposite parities [4]. Often, these are quartets of $\mathrm{N}^{*}$ and $\Delta^{*}$ having the same $J$, see Table 1. Resonances and star rating are taken from PDG [5]. If only those states are included which survived the latest GWU analysis [6], no quartet and only few parity doublets remain. The chiral multiplets are interpreted as indication that chiral symmetry may be restored at large excitation energy.

Table 1. Chiral multiplets for $J=1 / 2,3 / 2,5 / 2$
(first three lines) and for $J=1 / 2, \cdots, 7 / 2$ (last four lines) for nucleon and $\Delta$ resonances.

| $\mathrm{N}_{1 / 2^{+}}(1710)$ | $\mathrm{N}_{1 / 2^{-}}(1650)$ | $\Delta_{1 / 2^{+}}(1750)$ | $\Delta_{1 / 2^{-}}(1620)$ |
| :---: | :---: | :---: | :---: |
| ** | **** |  | **** |
| $\mathrm{N}_{3 / 2^{+}}(1720)$ | $\mathrm{N}_{3 / 2^{-}}(1700)$ | $\Delta_{3 / 2^{+}}(1600)$ | $\Delta_{3 / 2^{-}}(1700)$ |
| **** | *** | *** | **** |
| $\mathrm{N}_{5 / 2^{+}}(1680)$ | $\mathrm{N}_{5 / 2^{-}}(1675)$ | no chiral | partners |
| **** | **** |  |  |
| $\mathrm{N}_{1 / 2+}(1880)$ | $\mathrm{N}_{1 / 2^{-}}(1905)$ | $\Delta_{1 / 2^{+}}(1910)$ | $\Delta_{1 / 2^{-}}(1900)$ |
| ** | - | **** | ** |
| $\mathrm{N}_{3 / 2^{+}}(1900)$ | $\mathrm{N}_{3 / 2^{-}}(1860)$ | $\Delta_{3 / 2^{+}}(1920)$ | $\Delta_{3 / 2^{-}}$(1940) |
| ** | ** | *** | ** |
| no chiral partners |  | $\Delta_{5 / 2^{+}}(1905)$ | $\Delta_{5 / 2^{-}}$(1930) |
|  |  | **** | *** |
| $\mathrm{N}_{7 / 2^{+}}(1990)^{a}$ | $\mathrm{N}_{7 / 2^{-}}(2190)$ | $\Delta_{7 / 2^{+}}(1950)$ | $\Delta_{7 / 2^{-}}(2200)$ |
| ** | **** | **** | * |
| $\mathrm{N}_{9 / 2+}(2220)$ | $\mathrm{N}_{9 / 2^{-}}(2250)$ | $\Delta_{9 / 2^{+}}(2300)$ | $\Delta_{9 / 2-}(2400)$ |
| **** | **** | ** | ** |

## 5 Super-multiplets with defined quantum numbers

## $5.1 \vec{L}$ and $\vec{S}$

Relativity plays an important role in quark models. In relativistic models, only the total angular momentum $J$ is defined. Experimentally, there are a few striking examples where the leading orbital angular momentum and the spin can be identified (small admixtures of other components are not excluded).

1) The negative-parity light-quark baryons, collected in the first data block of Table 2, form a $\mathrm{N}^{*}$ doublet, a $\mathrm{N}^{*}$ triplet, and a $\Delta^{*}$ doublet, well separated in mass from all other negative parity states.
2) The positive parity states (second block) form an isolated $\mathrm{N}^{*}$ doublet, a $\mathrm{N}^{*}$ quartet, and a $\Delta^{*}$ quartet.
3) At higher mass there is a mass degenerate negative-parity $\Delta^{*}$ triplet and a $\Delta^{*}$ doublet (third block).

These multiplets are separated by 200 MeV from other states having the same quantum numbers. Of course, mixing of states having identical quantum numbers is possible; but there is no visible effect of mixing on the masses.

Frequently a statement is made that $L$ and $S$ cannot be good quantum numbers. Quarks, even constituent quarks, are supposed to move with relativistic velocities. And in relativity, only $J$ is defined. But we should admit that we do not know the dynamical origin of the mass of a resonance. The nucleon mass
is predominantly due to field energy. Why should the mass of the $\Delta_{7 / 2^{+}}(1950)$ not be predominantly due to field energy? As long as we have no deep understanding of the mechanism leading to the excited states, we should take phenomenology serious. And phenomenologically, $L, S$ supermultiplets are an important organizing principle for baryon spectroscopy.

### 5.2 The radial excitation quantum number $N$

In the harmonic oscillator approximation, a shell number $\boldsymbol{N}$ is defined which gives - to first order - the masses of baryon resonances. We use, instead, the radial excitation number $N . N$ and $N$ are related by $\boldsymbol{N}=L+2 N$. To make contact with models, we define $N=0$ for the lowest-mass state. The Roper-like resonances (lowest mass states with ground-state q.n.: $\mathrm{N}_{1 / 2^{+}}(1440), \Delta_{3 / 2^{+}}(1600), \Lambda_{1 / 2^{+}}(1600), \Sigma_{1 / 2^{+}}(1660)$, $\left.\Xi_{1 / 2^{+}}(1690)\right)$ are given in the forth data block in Table 2. The spacings are all compatible with the spacing, per unit of angular momentum, of the leading (meson or) baryon trajectory (which is $1.14 \mathrm{GeV}^{2}$ ).

The last (fifth) data block gives the third state in a given partial wave. For the second radial excitation, the expected spacing w.r.t. the ground state would be $2.28 \mathrm{GeV}^{2}$. In the quark model, the states would belong to the fifth excitation band and the expected spacing would be in the order of $5.5 \mathrm{GeV}^{2}$. The quark model suggests, however, states in which the two intrinsic harmonic oscillators are orbitally excited to $l_{1}=l_{2}=1$ and that $\vec{L}=\vec{l}_{1}+\vec{l}_{2}$ vanishes. In this case, the states belong to a 70-plet in $S U(6)$. In this interpretation, also two states with $\vec{L}=\vec{l}_{1}+\vec{l}_{2}$ and $L=1$, i.e. with $J=1 / 2^{+}$and $J=3 / 2^{+}$should be observed. Since $L=2$ gives $\approx 1930 \mathrm{MeV}, L=0 \approx 1730 \mathrm{MeV}$, we may expect such a doublet at about 1830 MeV . Since both oscillators are excited, they may decouple from single-pion emission and could be observable in a cascade only, e.g. via $\mathrm{N}_{3 / 2^{-}}(1520) \pi$.

### 5.3 Can all these data be used?

The recent analysis of the GWU group has shed doubts on the existence of many of the states reported in the Karlsruhe-Helsinki and Carnegie Mellon analyses $[7,8]$. Of course, it is an open question if the old analyses are right or if many states listed in the Review of Particle Properties [5] are fake. In the BnGa partial wave analysis [9] many resonances, not seen in the GWU analysis, do show up in inelastic reactions. For the time being, the evidence for a failure of the old analysis is not convincing, and the full spectrum listed in [5] is used for the discussion presented here.

Table 2. Supermultiplets in $L$ and $S$ for N and $\Delta$ excitations (upper part). The lower part of the table shows the mass square splitting of states within a given partial wave.

| $L ; S$ | $J^{P}=1 / 2^{-}$ | $J=3 / 2^{-}$ | $J=5 / 2^{-}$ |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $L=1 ; S=1 / 2$ | $\mathrm{N}_{1 / 2^{-}}$(1535) | $\mathrm{N}_{3 / 2^{-}}(1520)$ | $\mathrm{N}_{5 / 2^{-}}(1675)$ |  |  |
| $L=1 ; S=3 / 2$ | $\mathrm{N}_{1 / 2^{-}}(1650)$ | $\mathrm{N}_{3 / 2^{-}}(1700)$ |  |  |  |
| $L=1 ; S=1 / 2$ | $\Delta_{1 / 2^{-}}(1620)$ | $\Delta_{3 / 2-}(1700)$ |  |  |  |
| $L ; S$ | $J^{P}=1 / 2^{+}$ | $3 / 2^{+}$ | $5 / 2^{+}$ | $7 / 2^{+}$ |  |
| $L=2 ; S=1 / 2$ |  | $\mathrm{N}_{3 / 2}+(1720)$ | $\mathrm{N}_{5 / 2+}(1680)$ |  |  |
| $L=2 ; S=3 / 2$ | $\mathrm{N}_{1 / 2+}(1880)$ | $\mathrm{N}_{3 / 2+}+(1900)$ | $\mathrm{N}_{5 / 2+}(2000)$ | $\mathrm{N}_{7 / 2+}$ (1990) |  |
| $L=2 ; S=3 / 2$ | $\Delta_{1 / 2+}(1910)$ | $\Delta_{3 / 2+}(1920)$ | $\Delta_{5 / 2+}(1905)$ | $\Delta_{7 / 2}+(1950)$ |  |
|  | $J^{P}=1 / 2^{-}$ | $3 / 2^{-}$ | $5 / 2^{-}$ | $7 / 2^{-}$ |  |
| $L=1 ; S=3 / 2$ | $\Delta_{1 / 2^{-}}(1900)$ | $\Delta_{3 / 2^{-}}(1940)$ | $\Delta_{5 / 2^{-}}(1930)$ | No state! |  |
| $L=3 ; S=1 / 2$ |  |  | $\Delta_{5 / 2^{-}}(2233)$ | $\Delta_{7 / 2^{-}}(2200)$ |  |
|  | $N, \Delta$ | $\Lambda$ | $\Sigma, \Sigma^{*}$ | $\Xi, \Xi^{*}$ | $N=0$ |
| 56, 8; 1/2 | $N_{1 / 2^{+}}(1440)$ | $\Lambda_{1 / 2+}$ (1600) | $\Sigma_{1 / 2+}(1660)$ | $\Xi_{1 / 2+}(1690)$ |  |
| $\delta M^{2}$ | $1.19 \pm 0.11$ | $1.31 \pm 0.11$ | $1.34 \pm 0.11$ | $1.13 \pm 0.03$ | $N=1$ |
| 56, 10; 3/2 | $\Delta_{3 / 2+}(1600)$ |  | $\Sigma_{3 / 2+}(1840)$ | x |  |
| $\delta M^{2}$ | $1.04 \pm 0.15$ |  | $1.47 \pm 0.44$ |  |  |
| 70, 8; 1/2 | $N_{1 / 2^{+}}(1710)$ | $\Lambda_{1 / 2^{+}}(1810)$ | $\overline{\Sigma_{1 / 2}+(1770)}$ | x |  |
| $\delta M^{2}$ | $2.04 \pm 0.15$ | $2.03 \pm 0.15$ | $1.72 \pm 0.16$ |  | $N=2$ |
| 70, 10; 1/2 | $\Delta_{1 / 2^{+}}(1750)$ |  | $\Sigma_{1 / 2+}(1880)$ | x |  |
| $\delta M^{2}$ | $1.54 \pm 0.16$ |  | $2.12 \pm 0.11$ |  |  |

## 6 AdS/QCD

The AdS/CFT correspondence provides an analytically solvable approximation to QCD in the regime where the QCD coupling is large. It has led to important insights into the properties of quantum chromodynamics and can be used to calculate the hadronic spectrum of light-quark meson and baryon resonances [10]. The dynamics is controlled by a variable $\zeta$ which is suggested [10] to be related to the mean distance between the constituents. In the hard-wall approximation, $\zeta$ is constrained to $\zeta \leq$ $\zeta_{\max }=1 / \Lambda_{\mathrm{QCD}}$. In the soft wall approximation [11], a dilaton background field proportional to $\zeta^{2}$ is introduced which limits the mean distance between the
constituents softly. The results on the baryon excitation spectrum shown below refer to solutions with a soft wall.

## 6.1 $\Delta$ resonances

Applied to $\Delta$ resonances, a very simple formula can be derived [12] which reads

$$
\begin{equation*}
M^{2}=1.04 \cdot(L+N+3 / 2)\left[\mathrm{GeV}^{2}\right] \tag{1}
\end{equation*}
$$

Replacing $3 / 2$ by $1 / 2$ and with a small readjustment of numerical constant by less than $10 \%$ [12], the meson mass spectrum is reproduced qualitatively, except for scalar and pseudoscalar mesons (see Fig. 57 in Ref. [13]). For the $\Delta$ excitation spectrum, the agreement is excellent as visualized in Fig. 1. To $\Delta$


Fig. 1. Masses of positive and negative parity $\Delta$ resonances as a function of $L+N$. The masses are threeand four-star resonances are bold, the others are classified as one-star or two-star resonances. The so-called $\Delta_{5 / 2^{+}}(2000)$ has entries at 1750 MeV and at 2200 MeV . We retain the 2200 MeV entry only.
resonances with even angular momenta, we assigned the quantum numbers $(L, N=0, S=3 / 2$ ) or ( $L$, $N=1, S=3 / 2) . \Delta$ resonances with odd angular momenta, quantum numbers $(L, N=0, S=1 / 2$ ) or ( $L$, $N=1, S=3 / 2$ ) are assigned, with a strict correlation between $N$ and $S$.

### 6.2 Masses of nucleon resonances

Masses of nucleon resonances depend not only on $L$ and $N$ but also on $S$ : the mass of the $L=1, S=1 / 2$ doublet - $\mathrm{N}_{1 / 2^{-}}(1535)$ and $\mathrm{N}_{3 / 2^{-}}(1520)$ - is smaller than that of the $L=1, S=3 / 2$ triplet comprising $\mathrm{N}_{1 / 2^{-}}(1650), \mathrm{N}_{3 / 2^{-}}(1700)$, and $\mathrm{N}_{1 / 2^{-}}(1675)$. The triplet is mass-degenerate with the negative-parity $\Delta$ doublet. The mass of the spin doublet $\mathrm{N}_{3 / 2^{+}}(1720)$, $\mathrm{N}_{5 / 2^{-}}(1680)$ with $L=2, S=1 / 2$ is smaller than that of the quartet with $L=2, S=3 / 2$ which is formed by $\mathrm{N}_{1 / 2^{+}}(1880), \mathrm{N}_{3 / 2^{+}}(1900), \mathrm{N}_{5 / 2^{+}}(1870)$, $\mathrm{N}_{7 / 2^{+}}(1990)$. The latter quartet is mass-degenerate with the positive-parity quartet of $\Delta$ states having the same $L$ and $S$. More examples can be found. Nucleon resonances with intrinsic spin $1 / 2$ have a mass which is smaller than their $S=3 / 2$ partners. We assign a reduction in mass to those baryons which have a scalar isoscalar diquark, a good diquark, as part of their wave function. $\Delta$ resonances never have good diquarks, nor nucleons with $S=3 / 2$. The nucleon has a wave function for which the probability to find a good diquark $\alpha_{\mathrm{D}}$ is equal to $1 / 2$. For the two states $\mathrm{N}_{1 / 2^{-}}(1535), \mathrm{N}_{3 / 2^{-}}(1520), \alpha_{\mathrm{D}}=1 / 4$, and the squared mass difference to the spin or isospin $3 / 2$-states is half the $\Delta-\mathrm{N}$ mass square difference. These observations can be condensed into a surprisingly simple formula given by Forkel and Klempt [14]

$$
\begin{equation*}
M^{2}=a \cdot(L+N+3 / 2)-b \cdot \alpha_{\mathrm{D}}\left[\mathrm{GeV}^{2}\right] \tag{2}
\end{equation*}
$$

with $a=1.04 \mathrm{GeV}^{2}$ and $b=1.46 \mathrm{GeV}^{2}$. Eq. (2) reproduces very well the full light-quark baryon mass spectrum.

### 6.3 Other approaches

It is instructive to compare the precision with which the different models reproduce the baryon mass spectrum (Table 3). All resonances from PDG [5] are listed, 1 -star to 4 -star but for resonances which are observed neither by Arndt [6], nor by Höhler [7] nor by Cutkovsky [8], no mass is given here. Four new states, suggested by BnGa and GWU analyses, are included. Predictions based on AdS/QCD, on the quark model of Capstick-Isgur model [16] and on two variants of the Bonn model [17] - differing in the choice of the Lorentz structure of the confinement

Table 3. Masses of $N$ and $\Delta$ resonances, experiment versus calculated masses. Mass values and errors are taken from a recent review [15]. FK: AdS/QCD model, Eq. (2), CI: Capstick and Isgur [16], BnA and BnB Bonn model [17], MK: Skyrme model of Karliner and Mattis [18].

| Resonance | Mass | FK | $\overline{\mathrm{CI}}$ | $\overline{\mathrm{Bn}-\mathrm{A}}$ |  | MK |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| N (940) | 940 | 943 | 960 | 939 | 939 | 1190 |
| $\Delta$ (1232) | $1232 \pm 1$ | 1261 | 1230 | 1231 | 1261 | 1435 |
| $\mathrm{N}_{1 / 2+}(1440)$ | $1450 \pm 32$ | 1396 | 1540 | 1698 | 1540 |  |
| $\mathrm{N}_{1 / 2^{-}}(1535)$ | $1538 \pm 10$ | 1516 | 1460 | 1435 | 1470 | 1478 |
| $\mathrm{N}_{3 / 2^{-}}(1520)$ | $1522 \pm 4$ | 1516 | 1495 | 1476 | 1485 | 1715 |
| $\mathrm{N}_{1 / 2^{-}}(1650)$ | $1660 \pm 18$ | 1628 | 1535 | 1660 | 1767 |  |
| $\mathrm{N}_{3 / 2^{-}}(1700)$ | $1725 \pm 50$ | 1628 | 1625 | 1606 | 1631 |  |
| $\mathrm{N}_{5 / 2^{-}}(1675)$ | $1675 \pm 5$ | 1628 | 1630 | 1655 | 1622 | 1744 |
| $\Delta_{1 / 2^{-}}(1620)$ | $1626 \pm 23$ | 1628 | 1555 | 1654 | 1625 | 1478 |
| $\Delta_{3 / 2^{-}}(1700)$ | $1720 \pm 50$ | 1628 | 1620 | 1628 | 1633 | 1737 |
| $\Delta_{3 / 2^{+}}(1600)$ | $1615 \pm 80$ | 1628 | 1795 | 1810 | 1923 | 1435 |
| $\mathrm{N}_{3 / 2^{+}}(1720)$ | $1730 \pm 30$ | 1735 | 1795 | 1688 | 1762 | 1982 |
| $\mathrm{N}_{5 / 2+}+(1680)$ | $1683 \pm 3$ | 1735 | 1770 | 1723 | 1718 | 1823 |
| $\mathrm{N}_{1 / 2+}$ (1710) | $1713 \pm 12$ | 1735 | 1770 | 1729 | 1778 | 1427 |
| $\Delta_{1 / 2^{+}}(1750)$ |  |  | 1835 | 1866 | 1901 |  |
| $\mathrm{N}_{1 / 2^{-}}(1905)$ | $1905 \pm 50$ | 1833 | 1945 | 1910 | 1971 |  |
| $\mathrm{N}_{3 / 2^{-}}(1860)$ | $1850 \pm 40$ | 1833 | 1960 | 1940 | 1949 |  |
| $\mathrm{N}_{1 / 2+}(1880)^{\text {a }}$ | $1890 \pm 50$ | 1926 | 1880 | 1973 | 1974 |  |
| $\mathrm{N}_{3 / 2+}(1900)$ | $1940 \pm 50$ | 1926 | 1870 | 1899 | 1904 |  |
| $\mathrm{N}_{5 / 2+}(1870)^{\text {a }}$ | $1870 \pm 40$ | 1926 | 1770 | 1934 | 1943 |  |
| $\mathrm{N}_{7 / 2+}{ }^{\text {(1990) }}$ | $2020 \pm 60$ | 1926 | 2000 | 1989 | 1941 | 2011 |
| $\Delta_{1 / 2^{-}}(1900)$ | $1910 \pm 50$ | 1926 | 2035 | 2100 | 2169 | 2035 |
| $\Delta_{3 / 2^{-}}(1940)$ | $1995 \pm 60$ | 1926 | 2080 | 2122 | 2161 |  |
| $\Delta_{5 / 2^{-}}(1930)$ | $1930 \pm 30$ | 1926 | 2155 | 2170 | 2152 | 1730 |
| $\Delta_{1 / 2^{+}}(1910)$ | $1935 \pm 90$ | 1926 | 1835 | 1906 | 1928 | 1982 |
| $\Delta_{3 / 2^{+}}(1920)$ | $1950 \pm 70$ | 1926 | 1915 | 1910 | 1955 | 1946 |
| $\Delta_{5 / 2+}(1905)$ | $1885 \pm 25$ | 1926 | 1910 | 1940 | 1932 | 1831 |
| $\Delta_{7 / 2^{+}}(1950)$ | $1930 \pm 16$ | 1926 | 1940 | 1956 | 1912 | 1816 |
| $\mathrm{N}_{1 / 2+}(2100)$ | $2090 \pm 100$ | 2017 | 1975 | 2127 | 2177 |  |
| $\mathrm{N}_{1 / 2^{-}}(2090)$ |  | 2102 | 2135 | 2200 | 2180 |  |
| $\mathrm{N}_{3 / 2^{-}}(2080)$ | $2100 \pm 55$ | 2102 | 2125 | 2079 | 2095 |  |
| $\mathrm{N}_{5 / 2-}{ }^{-}(2060)^{\text {a }}$ | $2065 \pm 25$ | 2102 | 2155 | 1970 | 2026 |  |
| $\mathrm{N}_{7 / 2^{-}}(2190)$ | $2150 \pm 30$ | 2102 | 2090 | 2093 | 2100 | 2075 |
| $\mathrm{N}_{5 / 2^{-}}(2200)$ | $2160 \pm 85$ | 2102 | 2234 | 2185 | 2217 |  |
| $\mathrm{N}_{9 / 2^{-}}(2250)$ | $2255 \pm 55$ | 2184 | 2234 | 2212 | 2170 | 2234 |
| $\Delta_{1 / 2^{-}}(2150)$ |  | 2184 | 2140 | 2171 | 2217 |  |
| $\Delta_{5 / 2-}(2223)^{\text {b }}$ | $2223 \pm 53$ | 2184 | 2155 | 2170 | 2179 |  |
| $\Delta_{7 / 2^{-}}(2200)$ | $2230 \pm 50$ | 2184 | 2090 | 2210 | 2200 | 2162 |
| $\mathrm{N}_{9 / 2+}(2220)$ | $2360 \pm 125$ | 2265 | 2327 | 2221 | 2221 | 2327 |
| $\Delta_{7 / 2^{+}}(2390)$ | $2390 \pm 100$ | 2415 | 2032 | 2340 | 2343 |  |
| $\Delta_{9 / 2+}(2300)$ | $2360 \pm 125$ | 2415 | 2407 | 2453 | 2421 | 2407 |
| $\Delta_{11 / 2^{+}}(2420)$ | $2462 \pm 120$ | 2415 | 2450 | 2442 | 2388 | 2327 |
| $\Delta_{9 / 2^{-}}(2400)$ | $2400 \pm 190$ | 2415 | 2083 | 2280 | 2207 |  |
| $\Delta_{3 / 2-}$ (2350) | $2310 \pm 85$ | 2415 | 2145 | 2216 | 2234 |  |
| $\mathrm{N}_{11 / 2-}$ (2600) | $2630 \pm 120$ | 2557 | 2327 | 2628 | 2610 | 2558 |
| $\mathrm{N}_{13 / 2+}(2800)$ | $2800 \pm 160$ | 2693 | 2558 | 2616 | 2619 | 2882 |
| $\Delta_{13 / 2^{-}}(2750)$ | $2720 \pm 100$ | 2820 |  | 2685 | 2604 | 2579 |
| $\Delta_{15 / 2^{+}}(2950)$ | $2920 \pm 100$ | 2820 |  | 2824 | 2768 | 2810 |

[^1]potential - are listed. For quark models, the comparison is not fully straightforward, due to the multitude of predicted states. The Bonn model [17] predicts, e.g., for the $1 / 2^{-}$sector two low mass states which are readily identified, and then seven further states with masses, which are found to be (1901, 1918); (2153, 2185, 2194, 2232, 2242) in model $\mathcal{A}$, and (1971); (2082, 2180, 2203, 2261, 2270, 2345) in model $\mathcal{B}$. We compare the experimental masses with the center of gravity of a group of states. The groups were suggested by the authors. At the conference there was the claim that an equally good description of the data was obtained in a Skyrme model [18], also with just two parameters. This claim is tested as well. From Table 3 we determine the mean relative difference between calculated and measured mass for the five models:
\[

$$
\begin{aligned}
(\delta M / M)_{\mathrm{FK}} & =2.5 \%(2 p) ;(\delta M / M)_{\mathrm{CI}}=5.6 \%(9 p) \\
(\delta M / M)_{\mathrm{BnA}} & =5.1 \%(7 p) ;(\delta M / M)_{\mathrm{BnB}}=5.4 \%(7 p) \\
(\delta M / M)_{\mathrm{MK}} & =9.1 \%(2 p)
\end{aligned}
$$
\]

The number of parameters adjusted to achieve good agreement with data is given in parentheses. At 2 GeV mass, AdS/QCD agrees on average within 50 MeV , the quark models to about 110 MeV , and the Skyrme model to about 190 MeV . Compared to the quark models, $\mathrm{AdS} / \mathrm{QCD}$ requires substantially fewer parameters. The Skyrme models fails to predict a large number of resonances, including some well-established resonances, and gives the worst description of the experimental mass spectrum.

### 6.4 Interpretation

Why is the mass formula derived from AdS/QCD - and suggested on a phenomenological basis a few
years earlier [19] - so successful? Two aspects are remarkable. First, in AdS/QCD the coefficient a is related to the hadron size, and the reduction in mass of nucleons with good-diquark content is interpreted by a smaller size of good diquarks compared to diquarks have spin or isospin $3 / 2$. Second, baryon resonances form super-multiplets with defined $L$ and $S$. This is not the organization principle for the dynamics of a highly relativistic three-quark system. Most physicist prefer to stay with the highly relativistic three-quark system and to abandon phenomenology. However, as mentioned in the introduction, the nucleon mass is not understood as arising from the motion of relativistic quarks but rather as effect of the breaking of chiral symmetry of nearly massless quarks. Possibly, chiral symmetry breaking is also the primary source for the masses of excited baryons, but chiral symmetry is broken in an extended volume. A physical picture emerges which assigns the largest fraction of the masses of light-quark baryons to a volume in which field energy is stored. Centrifugal forces expand the size as suggested a long time ago by Nambu [20]. The string-like behavior is the reason why AdS/QFT works so nicely. Isoscalar scalar diquarks are more tightly bound, their volume is smaller. The fraction of the isoscalar scalar diquarks is smaller for odd angular momenta that in case of even $L$.

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