# Expected measurement precision of the branching ratio of the Higgs boson decaying to the di-photon at the CEPC\*

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**Abstract:** This paper presents the prospects of measuring  $\sigma(e^+e^- \to ZH) \times \text{Br}(H \to \gamma\gamma)$  in three Z decay channels  $Z \to q\bar{q}/\mu^+\mu^-/\nu\bar{\nu}$  using the baseline detector with  $\sqrt{s}=240\,\text{GeV}$  at the Circular Electron Positron Collider (CEPC). Simulated Monte Carlo events were generated and scaled to an integrated luminosity of 5.6 ab to mimic the data. Extrapolated results to 20 ab are also reported. The expected statistical precision of these measurements after combining three channels of Z boson decay was 7.7%. With some preliminary estimation on the systematical uncertainties, the total precision is 7.9%. The performance of the CEPC electro-magnetic calorimeter (ECAL) was studied by smearing the photon energy resolution in simulated events in the  $e^+e^- \to ZH \to q\bar{q}\gamma\gamma$  channel. In the present ECAL design, the stochastic term in resolution plays the dominant role in the precision of Higgs measurements in the  $H \to \gamma\gamma$  channel. The impact of the resolution on the measured precision of  $\sigma(ZH) \times \text{Br}(ZH \to q\bar{q}\gamma\gamma)$  as well as the optimization of the ECAL constant and stochastic terms were studied for further detector design.

**Keywords:** CEPC, Higgs, Di-photon **DOI:** 10.1088/1674-1137/acaa22

#### I. INTRODUCTION

In 2012, the ATLAS and CMS collaboration announced the discovery of the Higgs Boson at the Large Hadron Collider (LHC) [1, 2]. In the following years, precise measurements of Higgs properties became one of the main goals in particle physics, aiming to answer the remaining basic questions in nature and find new physics. For this purpose, hadron colliders such as the LHC may not be the best choice owing to the large amount of background processes and corresponding lower ratio between the signals and backgrounds. Instead, a lepton collider can provide a cleaner experiment environment and wellknown initial states, which is crucial for high precision studies to find hints of new physics. Thus, several future lepton collider experiments have been proposed, including the International Linear Collider (ILC) [3], Circular Electron Positron Collider (CEPC) [4], Future Circular Collider  $e^+e^-$  (FCC-ee) [5], and Compact Linear Collider (CLIC) [6].

The CEPC was designed to be a circular lepton collider hosted in a tunnel with a circumference of 100 km

and operate at a center of mass energy  $\sqrt{s} = 240$  GeV as a Higgs factory. After a 10 year running period, the CEPC will collect 5.6 ab<sup>-1</sup> data, corresponding to more than one million Higgs bosons. With this clean and large Higgs sample, the precision of the measurements of Higgs properties is expected to be enhanced by one order of magnitude with respect to the LHC precision [7].

The Higgs boson interacts with a photon through the top quark and massive boson loops. This mechanism implies a low  $H \rightarrow \gamma \gamma$  branching ratio in the Standard Model (SM) but also makes it a good channel to test new physics beyond the SM. Besides, high energy photons from the Higgs boson decay can be identified and measured well experimentally. Thus, this channel also serves as a good benchmark for the performance of the electromagnetic calorimeter (ECAL) study. Current measurements of the inclusive Higgs boson signal strength in the diphoton channel in the LHC are  $1.04^{+0.10}_{-0.09}$  in ATLAS [8] and  $1.03^{+0.11}_{-0.09}$  in CMS [9], according to the pp collision data collected by ATLAS and CMS from 2015 to 2018. These results are consistent with the SM prediction and present precision. In the HL-LHC period, the ATLAS is

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expected to collect 3 ab<sup>-1</sup> data. The projected precision of the  $H \rightarrow \gamma \gamma$  measurements ranges from 6% to 4% depending on different considerations concerning systematic uncertainties S1 or S2 reported in [10]. Combined with CMS, a precision of 2.5% can be reached in the optimistic systematic scenario S2.

A previous analysis studied the expected Higgs precision in various Higgs decay channels [7] including  $H \rightarrow \gamma \gamma$ . A precision of 6.8% is expected for the measurement of  $\sigma(ZH) \times Br(H \to \gamma \gamma)$  with the CEPC-v4 conceptual detector. However, this result is based on fast simulation of Monte Carlo samples and cut-based analysis method. In a recent study [11], the CEPC accelerator study group updated the radiation power, resulting in an increase of the instantaneous luminosity of 66%. Based on this update, a new nominal data-taking scenario was proposed. It aims at ten years of data collected at  $\sqrt{s}$  = 240 GeV with two interaction points (IPs), accumulating an integrated luminosity of 20 ab Higgs data [12]. Moreover, a new conceptual detector design is also ongoing. A homogeneous ECAL is considered to replace the previous silicon-tungsten sampling calorimeter [12–14]. Thus, it is worth revisiting the  $H \rightarrow \gamma \gamma$  process with the latest benchmark and investigating the impact from larger statistics and the new detector.

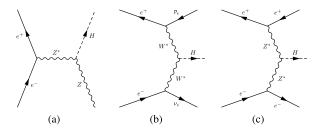
This paper is organized as follows. Section II briefly introduces the CEPC detector and simulated Monte-Carlo samples used in this analysis. Section III presents the object reconstructions and event selections. Section IV describes the MVA method developed in this study. Section V analyzes the signal and background models. The results are summarized in Sec. VI. In Sec. VII, we investigate how these results can be influenced by the CEPC ECAL resolution, which can provide guidelines for detector optimization. The conclusions are drawn in Sec. IX.

## II. CEPC DETECTOR AND MONTE-CARLO SIMULATION

The CEPC detector was designed to accomplish the physics goal that all final states can be identified and reconstructed with high resolution. The baseline detector concept is based on the particle flow approach (PFA) idea [15]. It comprises a precise vertex detector, a Time Projection Chamber (TPC), a silicon tracker, a high granularity Silicon-Tungsten sampling ECAL, and a GRPC-based high granularity hadronic calorimeter (HCAL). The whole system is embedded in a 3 Tesla magnetic field. The outermost part of the detector is a muon chamber. Further details can be found in Ref. [4].

The Higgs production mechanisms at the CEPC are Higgs-strahlung  $e^+e^- \rightarrow ZH$ , W/Z fusion  $e^+e^- \rightarrow v\bar{\nu}H$ , and  $e^+e^- \rightarrow e^+e^-H$ , as illustrated in Fig. 1. In this analysis, Higgs production via ZH process decaying to di-

photon final state  $e^+e^- \rightarrow ZH \rightarrow f\bar{f}\gamma\gamma$  at  $\sqrt{s} = 240$  GeV is considered the dominant signal. It is further divided into three sub-channels, depending on Z decaying to  $q\bar{q}$ ,  $\mu^+\mu^-$ , and  $\nu\bar{\nu}$ . The  $Z \to e^+e^-$  channel is dismissed owing to the well-known extremely large Bhabha background. Likewise, the  $Z \rightarrow \tau^+\tau^-$  channel is dismissed because of the complexity of  $\tau$  identification. The W/Z fusion process is considered in the ZH,  $Z \rightarrow \nu \bar{\nu}$  sub-channel. The only considered background process is the 2-fermion background  $e^+e^- \rightarrow f\bar{f}$  in CEPC with at least two photons from the initial and final state radiations. The Higgs resonant background, 4-fermion processes, and possible reducible background in the experiments are expected to be negligible. These SM physical processes are generated with Whizard [16] at leading order (LO) interfaced with Pythia 6 [17] for parton showering and hadronization, and parameters based on Large Electron Positron Collider (LEP) [18] data. Initial state radiation (ISR) and final state radiation (FSR) effects are taken into account. The total energy spread caused by beamstrahlung and synchrotron radiation was studied through Monte-Carlo simulation and determined to be 0.1629% at CEPC [19]. Table 1 lists the cross sections of physical processes and MC sample statistics used in the analysis.



**Fig. 1.** Feynman diagrams of the Higgs boson production processes at the CEPC: (a)  $e^+e^- \to ZH$ , (b)  $e^+e^- \to \nu\bar{\nu}H$ , and (c)  $e^+e^- \to e^+e^-H$ .

**Table 1.** Cross sections and simulated MC sample statistics. In the  $q\bar{q}\gamma\gamma$  and  $\mu^+\mu^-\gamma\gamma$  channels, ZH is the only process considered, and in the  $v\bar{v}\gamma\gamma$  channel, both ZH  $Z \rightarrow inv$ . and W/Z fusion processes are considered.

Process	σ	statistics		
$qar{q}$	iγγ sub-channel			
$e^+e^- \to ZH \to q\bar{q}\gamma\gamma$	0.31 fb	100 k		
$e^+e^- \to q\bar{q}$	54.1 pb	20 M		
$\mu^+\mu$	$u^-\gamma\gamma$ sub-channel			
$e^+e^- \to ZH \to \mu^+\mu^-\gamma\gamma$	0.15 fb	100 k		
$e^+e^-\to \mu^+\mu^-$	5.3 pb	20 M		
$v\bar{v}\gamma\gamma$ sub-channel				
$e^+e^- \to ZH \to \nu\bar{\nu}\gamma\gamma$ $e^+e^- \to \nu\bar{\nu}H \to \nu\bar{\nu}\gamma\gamma$	0.11 fb	100 k		
$e^+e^-  o \nu \bar{\nu}$	54.1 pb	20 M		

Event yields were normalized to 5.6 ab<sup>-1</sup>. Details on the configurations can be found in Ref. [20].

The simulations of the detector configuration and response were conducted with MokkaPlus [21], a GEANT4 [22] based framework. The full detector simulation was performed for signal processing only. The background processes were simulated by smearing the truth particles with the parameterized detector resolution and efficiency to save computing resources.

## III. OBJECT RECONSTRUCTION AND EVENT SELECTION

The CEPC follows the PFA scheme for event reconstruction, with a dedicated tookit ARBOR [23, 24]. The tracks are first reconstructed with the hits in the tracking detector by the Clupatra module [25]. Then, ARBOR collects the tracks from Clupatra and hits in the calorimeter. and composes the Particle Flow Objects (PFOs) using clustering and matching modules. These PFOs are identified as charged particles, photons, neutral hadrons, and unassociated fragments. With this approach, a photon is identified in ARBOR with the shower shape variables obtained from the high granularity calorimeter, without any matched tracks. Converted photons are not considered yet; they amount to 5%-10% in the central region and 25% in the forward region [4]. The lepton  $(e^{\pm}, \mu^{\pm})$  is defined by a track-matched particle. A likelihood-based algorithm, namely LICH [26], is implemented in AR-BOR to separate electrons, muons, and hadrons. Jets are formed from the particles reconstructed by ARBOR with the Durham clustering algorithm [27] after excluding the particles of interest. The jet energy is currently calibrated using MC simulation, but it is foreseen to be re-calibrated with physical events such as  $W \rightarrow q\bar{q}$  and/or  $Z \rightarrow q\bar{q}$ in CEPC. No flavor tagging approach was used in this analysis for simplicity.

The event selections are applied to improve the signal significance and background modeling. Individual strategies are considered in the three sub-channels depending on the topology of the physical process. In the  $ZH \rightarrow \nu \bar{\nu} \gamma \gamma$  channel, two photons are required inclusively in the final state. In the  $ZH \rightarrow \mu^+ \mu^- \gamma \gamma$  channel, the two leading photons and two muons are exclusively selected, requiring a veto of other particles, with the missing energy  $E_{\rm missing}$  and missing mass  $M_{\rm missing}$  less than 10 GeV and the invariant mass of the muon pair close to the Z boson mass.

In the  $ZH \rightarrow q\bar{q}\gamma\gamma$  channel, two leading photons are first selected, and other particles are reconstructed into two jets using the Durham algorithm. Some dedicated cuts are applied on the kinematic variables of these final state objects as listed in Tables 2, 3, 4, along with the final efficiency and expected event yields.

**Table 2.** Selection criteria and corresponding efficiencies in the  $q\bar{q}\gamma\gamma$  channel.  $\gamma 1(\gamma 2)$  is defined as the photon with lower (higher) energy,  $\cos\theta_{\gamma\gamma}(\cos\theta_{jj})$  is the polar angle of the diphoton (di-jet) system, and  $\min|\cos\theta_{\gamma j}|$  is the minimum  $\cos\theta$  of the photon-jet pairs.

Selections	Higgs signal	$qar{q}\gamma\gamma$ background
Exclusive 2 jets and 2 photons	85.56%	69.57%
$E_{\gamma 1} > 25 \text{ GeV}$	100.00%	2.35 %
$E_{\gamma 2} \in [35,95] \text{ GeV}$	98.37%	35.33%
$\cos \theta_{\gamma\gamma} > -0.95$	95.20%	68.01%
$\cos \theta_{jj} > -0.95$	90.86%	85.54%
$pT_{\gamma 1} > 20 \text{ GeV}$	93.42%	56.94%
$pT_{\gamma 2} > 30 \text{ GeV}$	93.25%	54.54%
$m_{\gamma\gamma} \in [110, 140] \text{ GeV}$	97.50%	21.14%
$E_{\gamma\gamma} > 120 \text{ GeV}$	99.47%	98.41%
$\min \cos\theta_{\gamma j}  < 0.9$	71.67%	48.05%
Total eff	44.08%	0.01%
Yields in 5.6 ab <sup>-1</sup>	766.64	26849.38

**Table 3.** Selection criteria and corresponding efficiencies in the  $\mu^+\mu^-\gamma\gamma$  channel.  $\gamma 1(\gamma 2)$  is defined as the photon with lower (higher) energy;  $M_{\gamma\gamma}^{\rm recoil}$  is the recoil mass of the di-photon system in CEPC  $\sqrt{s} = 240 \, {\rm GeV} : \left( M_{\gamma\gamma}^{\rm recoil} \right)^2 = \left( \sqrt{s} - E_{\gamma\gamma} \right)^2 - p_{\gamma\gamma}^2 = s - 2E_{\gamma\gamma} \sqrt{s} + m_{\gamma\gamma}^2$ .

Selections	Higgs signal	$\mu^+\mu^-\gamma\gamma$ background
Exclusive 2 muons and 2 photons	70.18%	5.18%
$E_{\gamma} > 35 \text{ GeV}$	99.21%	8.39%
$ \cos\theta_{\gamma}  < 0.9$	83.79%	38.14%
$pT_{\gamma 1} \in [10, 70] \text{ GeV}$	99.84%	86.30%
$pT_{\gamma 2} \in [30, 100] \text{ GeV}$	99.96%	95.59%
$m_{\gamma\gamma} \in [110, 140] \text{ GeV}$	98.08%	37.62%
$M_{\gamma\gamma}^{\text{recoil}} \in [85, 105] \text{ GeV}$	80.12%	21.29%
$E_{\gamma\gamma} \in [125, 145] \text{ GeV}$	99.88%	95.86%
Total eff	45.69%	0.01%
Yields in 5.6 ab <sup>-1</sup>	39.32	2662.77

#### IV. MVA-BASED ANALYSIS

The Multi-Variate Analysis (MVA) method is employed to further suppress the background. It exploits machine learning (ML) techniques to combine the separation power from several variables into a unique variable. In this study, we chose the Gradient Boosted Decision Tree (BDTG) method and TMVA toolkit [28]. For each sub-channel, the *ZH* and two fermion processes were considered as the signal and background for the BDTG. All events from MC were separated into two sets for 2-fold validation [29] to avoid the risk of overtraining. The fol-

**Table 4.** Selection criteria and corresponding efficiencies in the  $\nu\bar{\nu}\gamma\gamma$  channel.  $M_{\rm missing}$  is the missing mass calculated from the total visible objects.

Selections	Higgs signal	νν̄γγ background
Inclusive 2 photons	85.51%	0.34%
$E_{\gamma\gamma} > 30 \text{ GeV}$	99.81%	20.13%
$ \cos\theta_{\gamma}  < 0.8$	70.48%	11.56%
$pT_{\gamma} > \text{GeV}$	99.97%	99.26%
$M_{\rm missing} > 60~{\rm GeV}$	98.17%	99.71%
$m_{\gamma\gamma} \in [110, 140] \text{ GeV}$	97.51%	22.86%
$E_{\gamma\gamma} \in [120, 150] \text{ GeV}$	99.16%	99.58%
Total eff	57.08%	0.002%
Yields in 5.6 ab <sup>-1</sup>	335.89	3640.20

lowing principles were considered while constructing the input variables for BDTG:

- The basic information is the Lorentz vector of the final state particles. This includes the momentum (P), transverse momentum  $(p_T)$ , energy (E), polar angle  $(\cos\theta)$ , and recoil mass for photons, fermions, and systems;  $\Delta P$ ,  $\Delta E$ ,  $\Delta \Phi$ ,  $\Delta \cos\theta$ ,  $\Delta R$  for two objects or systems; and the missing mass  $M_{\text{missing}}$ .
- The separation  $\langle S^2 \rangle$  defined in Eq. (1) is used to quantify the discrimination power between signal and background of a given variable, where y represents the discriminating variable, and  $\hat{y}_s(y)$  and  $\hat{y}_b(y)$  are the corresponding probability distribution function of the variable for signal and background samples, respectively.

$$\left\langle S^{2}\right\rangle = \frac{1}{2} \int \frac{\left(\hat{y}_{s}(y) - \hat{y}_{b}(y)\right)^{2}}{\hat{y}_{s}(y) + \hat{y}_{b}(y)} dy. \tag{1}$$

- To ensure the application of the 2D model described in Sec. V, which requires an assumption of independence between the BDTG response and  $m_{\gamma\gamma}$ , the constructed variable should have a low linear correlation with  $m_{\gamma\gamma}$ :  $|\text{Corr}_{\nu-m_{\gamma\gamma}}| < 30\%$ .
- To reduce the training redundance, the linear correlation between any two variables should be small:  $|Corr_{\nu 1-\nu 2}| < 40\%$ . The one with lower separation power is removed.

Tables 5–7 lists the selected variables along with their definition and  $\langle S^2 \rangle$  for BDTG. Their distributions can be found in Appendix A (Figs. A1, A3, A5). The ROC curves and distributions of the trained BDTG are also shown in Appendix A (Figs. A2, A4, A6).

#### V. SIGNAL AND BACKGROUND MODELS

The Higgs signal is extracted by fitting  $m_{\gamma\gamma}$  and the shape of the BDTG responses. The resonant peak above a smooth  $m_{\gamma\gamma}$  distribution for the background at around the Higgs mass (125 GeV) can be reconstructed through the excellent calorimeter energy resolution in CEPC. The signal  $m_{\gamma\gamma}$  distribution is fitted with a Double Side Crystal Ball (DSCB) function:

$$f(t) = N \times \begin{cases} e^{-t^{2}/2}, & \text{if } -\alpha_{\text{low}} \le t \le \alpha_{\text{higl}} \\ \frac{e^{-\frac{1}{2}\alpha_{\text{low}}^{2}}}{\left[\frac{1}{R_{\text{low}}} \left(R_{\text{low}} - \alpha_{\text{low}} - t\right)\right]^{n_{\text{low}}}}, & \text{if } t < -\alpha_{\text{low}} \\ \frac{e^{-\frac{1}{2}\alpha_{\text{high}}^{2}}}{\left[\frac{1}{R_{\text{high}}} \left(R_{\text{high}} - \alpha_{\text{high}} + t\right)\right]^{n_{\text{high}}}}, & \text{if } t > \alpha_{\text{high}} \end{cases}$$

**Table 5.** Input variables for BDTG in the  $q\bar{q}\gamma\gamma$  channel.

	*	
Variable	Definition	Separation
$pT_{\gamma 1}$	Transverse momentum of the sub-leading photon	0.209
$\cos  heta_{\gamma 2}$	Polar angle of the leading photon	0.197
$\Delta\Phi_{\gamma\gamma}$	Azimuthal angle between two photons	0.147
$\min \Delta R_{\gamma,j}$	Minimum $\Delta R$ between one of the two photons and one of the jets	0.054
$E_{j1}$	Energy of the sub-leading jet	0.041
$\Delta\Phi_{\gamma\gamma,jj}$	Azimuthal angle between the diphoton and dijet system	0.033
$pT_{j2}$	Transverse momentum of the leading jet	0.032
$\cos \theta_{j1}$	Polar angle of the sub-leading jet	0.032
$\cos heta_{\gamma\gamma,jj}$	Polar angle difference between diphoton and dijet system, $\cos(\theta_{\gamma\gamma} - \theta_{jj})$	0.024
$\cos  heta_{\gamma 1, j1}$	Polar angle difference between sub-leading photon and sub-leading jet, $\cos\left(\theta_{\gamma 1} - \theta_{j 1}\right)$	0.023

0.014

Variable	Definition	Separation
$\min \Delta R_{\gamma,\mu}$	Minimum $\Delta R$ between one of the two photons and one of the muons	0.335
$E_{\mu\mu}$	Energy of the di-muon system	0.259
$\cos  heta_{\gamma 1,\mu 1}$	Polar angle difference between the sub-leading photon and sub-leading muon	0.189
$E_{\gamma 2}$	Leading photon energy	0.160
$\Delta\Phi_{\gamma\gamma}$	Azimuthal angle between two photons	0.090
$\cos \theta_{\gamma 2}$	Polar angle of the leading photon	0.072
ΛΦ,,,,,,,	Azimuthal angle between the diphoton and dimuon system	0.034

**Table 6.** Input variables for BDTG in the  $\mu^+\mu^-\gamma\gamma$  channel.

**Table 7.** Input variables for BDTG in the  $v\bar{v}\gamma\gamma$  channel.

Polar angle of the sub-leading muon

Variable	Definition	Separation
$pT_{\gamma 1}$	Transverse momentum of the sub-leading photon	0.089
$\cos \theta_{\gamma 2}$	Polar angle of the leading photon	0.079
$\Delta\Phi_{\gamma\gamma}$	Azimuthal angle between two photons	0.054
$pTt_{\gamma\gamma}$	Diphoton $p_T$ projected perpendicular to the diphoton thrust axis	0.042
$pT_{\gamma 2}$	Transverse momentum of the leading photon	0.037

where N is a normalization factor and  $t = (m_{\gamma\gamma} - \mu_{\rm CB})/\sigma_{\rm CB}$ . Figure 2 shows the fitted  $m_{\gamma\gamma}$  signal shape in three channels. They are well described by the DSCB function. The resolution is estimated to be 2.81 / 2.68 / 2.74 GeV in the  $q\bar{q}\gamma\gamma/\mu^+\mu^-\gamma\gamma/\nu\bar{\nu}\gamma\gamma$  channels, respectively.

 $\cos \theta_{\mu 1}$ 

Several smooth functions (Cheybyshev polynomials, and exponential and polynomial families) were tested for background modeling, and the one with the smallest  $\chi^2$ /Ndof value was finally selected. The results are listed in Table 8 and shown in Fig. 3. Details on the fitting conditions for all functions are provided in Appendix A (Table A1 and Fig. A7).

The histograms from the MC of signal and background were used to build the binned Probability Density Function (PDF), which was in turn used as the model of BDTG distributions.

The strategies employed for constructing BDTG ensured the reasonable independence between the BDTG response and  $m_{\gamma\gamma}$ . Therefore, a 2-dimensional model resulting from the multiplication of  $m_{\gamma\gamma}$  and BDT models was applied to describe the signal and background. A high correlation can introduce improper modeling of the signal and/or background process. The linear correlation coefficients between  $m_{\gamma\gamma}$  and BDT are -3.45%, -11.6%, 8.33% for the signals in the  $q\bar{q}\gamma\gamma$ ,  $\mu^+\mu^-\gamma\gamma$ , and  $\nu\bar{\nu}\gamma\gamma$  channels, respectively. The corresponding correlation coefficients for the background are 11.6%, 28.2%, and 28.4%, respectively.

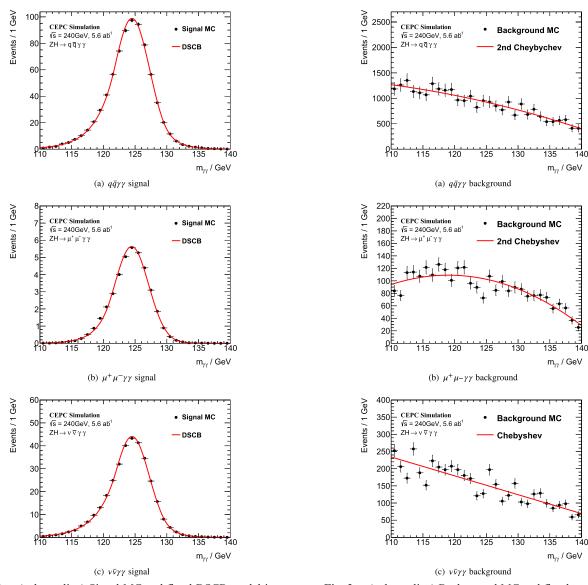
**Table 8.** Decided background model in the three channels. Tested functions include the exponential, 2nd order exponential polynomial, 1st and 2nd order polynomials, and 1st and 2nd order Chebyshev polynomials.

Channel	Selected function	$\chi_2/\text{Ndof}$
$qar{q}\gamma\gamma$	2nd order Chebyshev	0.60
$\mu^+\mu^-\gamma\gamma$	2nd order Chebyshev	1.79
$ uar{ u}\gamma\gamma$	1st order Chebyshev	3.32

#### VI. SYSTEMATIC UNCERTAINTIES

The systematic uncertainties relevant to the targeted measurement can be caused by several sources. However, at this stage, most of them have not been specifically studied yet for the CEPC. Therefore, in this paper, we only present a methodology for analyzing the systematic CEPC uncertainties and taking the leading terms into account. Further quantified analysis requires updates on theoretical calculations, a more comprehensive detector performance optimization, and real data.

Based on the strategy of event modeling presented in Sec. V, the systematic uncertainties can be categorized into two types: uncertainties in the expected signal yields in each channel and uncertainties in the modeling of the signal  $m_{\gamma\gamma}$  distribution. The background yields and  $m_{\gamma\gamma}$  model parameters are floated to consider the effect of improper background modeling and contributions from model-dependent background process cross section calculations. The uncertainty of BDT modeling for both signal



**Fig. 2.** (color online) Signal MC and fitted DSCB model in the three channels.

the three channels.

and background is contained by an envelope, which is included into the signal event yield uncertainty.

These systematic terms are incorporated into the likelihood model as nuisance parameters. For each of such nuisance parameters, a Gaussian or log-normal constraint PDF is included in the likelihood function, as well as for symmetric terms such as the  $m_{\gamma\gamma}$  shape peak position or non-negative terms such as the event yield. The construction of likelihood with these nuisance parameters is presented in Sec. VII.

#### A. Theoretical uncertainties

In contrast to hadron colliders, only few theoretical uncertainties can affect the measurements in lepton collision experiments such as CEPC. The theoretical calculations are less dependent on higher order QCD radiative

**Fig. 3.** (color online) Background MC and fitted  $m_{\gamma\gamma}$  models in the three channels.

correction. Moreover, there is no influence from the Parton Distribution Functions or  $\alpha_{\rm S}$ . In this  $\sigma \times {\rm Br}$  measurement, the observed event yields are directly obtained from fitting, so the uncertainties from signal cross section calculation and  ${\rm Br}(H\to\gamma\gamma)$  can be eliminated. The only remaining uncertainty is the parton shower uncertainty in the  $q\bar{q}\gamma\gamma$  channel. It can be described by the MC sample difference from a set of generators, which is assumed to be negligible. For completeness, a 0.5% theoretical uncertainty is assumed on the signal yield in the  $q\bar{q}\gamma\gamma$  channel.

#### B. Experimental uncertainties

The experimental systematic uncertainties affecting this measurement can include integrated luminosity, detector acceptance, trigger efficiency, object reconstruction and identification efficiency, and object energy scale and resolution. In CEPC, the luminosity can be monitored by the Lumi-Cal with the highly statistical BhaBha process. Thus, a relative accuracy of 0.1% is expected [4]. Pile-up effects and underlying events should be negligible. A well-described detector geometry in the simulation is able to provide a precise model of the detector acceptance and response. Possible modeling deviation can be fixed with some data-driven methods. As a result, the uncertainties should be very small. The photon reconstruction, identification, and energy calibration rely on dedicated algorithms and real data. In CEPC CDR, these uncertainties are studied to be controlled with sub-percent level. Furthermore, known physical processes can be used as standard candles for calibration, e.g.,  $Z \rightarrow$  $e^+e^- + \gamma$  and  $\pi^0 \to \gamma\gamma$ . Similarly, electrons, muons, and jets can be described well, in principle. In this di-photon channel study, the photon related uncertainties should be dominant. Thus, we assume a 1% uncertainty on the photon efficiency and 0.05% uncertainties on the photon energy scale (PES) and resolution (PER). Other terms remain to be added with better understanding about the experiments.

The signal yield is affected by the luminosity, photon efficiency, and impact of the photon energy scale and resolution uncertainties on the selection efficiency. A set of alternative simulation samples are generated, randomly rejecting 1% photons, scaling the energy up/down by 0.05%, or smearing the photon energy with 0.05%. The expected signal yields are counted after all the selections,

and a relative variation  $\delta n^i = \frac{|n^i_{\text{var}} - n^i_{\text{nom}}|}{n^i_{\text{nom}}}$  is used to represent the influence from each term. This photon efficiency is approximately 2%, and the photon energy scale and resolution are approximately 0.01%. They are considered as symmetric uncertainties on the signal yield.

The signal  $m_{\gamma\gamma}$  distribution is described with the double-side crystal ball function. The photon energy scale uncertainty is propagated to the peak position of the signal peak, whereas the photon energy resolution uncertainty is propagated to the signal width. They are estimated by refitting the signal shape in the variation samples and comparing with the nominal one:  $\delta\mu_{\text{CB}} = \frac{\mu_{\text{CB,var}} - \mu_{\text{CB,nom}}}{\mu_{\text{CB,nom}}}$ ,  $\delta\sigma_{\text{CB}} = \frac{\sigma_{\text{CB,var}} - \sigma_{\text{CB,nom}}}{\sigma_{\text{CB,nom}}}$ . The impact from PES to the signal peak ranges from 0.04% to 0.10% for the different channels, and the impact from PER to the signal width ranges from 0.004% to 0.02%. A 5.9 MeV Higgs mass measurement uncertainty is also considered based on CEPC estimation [7].

The influence from these aforementioned uncertainties on BDT modeling is studied by comparing the BDT distribution bin by bin between the nominal and variation MC samples. The maximum variation value  $\delta n = \frac{|n_{\text{var}} - n_{\text{nom}}|}{n_{\text{nom}}}$  in all BDT bins and systematic terms is ap-

plied on the signal yield as the uncertainty from BDT, except for the bin with low statistics (bin content less than 5% of total yield). The uncertainty from BDT itself is assumed to be included in this envelope value. This term ranges from 0.5% to 0.7% for the three channels.

#### VII. RESULTS

The number of expected signal events was extracted by combining the fitting in the three channels with the unbinned maximum likelihood fitting method. The likelihood function was built using the models presented in Sec. V and the constraints derived from the systematic uncertainties presented in Sec. VI:

$$\mathcal{L}(\mu, \theta; (m_{\gamma\gamma}, \text{BDT})) = \prod_{c} \text{Pois}(n_{c}|N_{c}(\mu, \theta)) \cdot \prod_{i} f_{c}((m_{\gamma\gamma}, \text{BDT})^{i}; \theta) \cdot \prod_{j} G(\theta_{j}), \quad (3)$$

where

- $\mu$  is the signal strength expressed as  $\mu = \frac{N (e^+e^- \rightarrow ZH \rightarrow f\bar{f}\gamma\gamma)}{N_{\rm SM} (e^+e^- \rightarrow ZH \rightarrow f\bar{f}\gamma\gamma)}$ , which is the parameter of interest (POI) in the fitting;
- $\bullet$  denotes nuisance parameters defined for systematic terms;
- $n_c$  is the observed event number in the channel c from the data;
- $N_c(\mu, \theta) = \mu S_{SM,c}(\theta_{yield}) + B_c$ .  $S_{SM,c}(\theta_{yield})$  is the expected signal yield in the channel, including the relevant nuisance parameters.  $B_c$  is the background yield;
- $f_c((m_{\gamma\gamma}, BDT)^i; \theta)$  is the probability density function built with the signal and background models presented in Sec. V:

$$f_{c}((m_{\gamma\gamma}, \text{BDT})^{i}; \boldsymbol{\theta}) = \frac{1}{N_{c}} \times \left[ \mu S_{\text{SM},c}(\boldsymbol{\theta}_{\text{yield}}) f_{c,\text{sig}}((m_{\gamma\gamma}, \text{BDT})^{i}; \boldsymbol{\theta}) + B_{c} f_{c,\text{bkg}}((m_{\gamma\gamma}, \text{BDT})^{i}; \boldsymbol{\theta}) \right].$$

$$(4)$$

• The signal yield  $S_{\text{SM},c}$ , shape peak  $\mu_{\text{CB}}$ , and width  $\sigma_{\text{CB}}$  are affected by systematic uncertainties with a response function:

$$S_{\text{SM},c}(\boldsymbol{\theta}_{\text{yield}}) = S_{\text{SM},c} \prod_{j} e^{\theta_{j}} \sqrt{\ln(1+\delta_{j}^{2})},$$

$$\mu_{\text{CB}}(\boldsymbol{\theta}_{\text{peak}}) = \mu_{\text{CB}}^{\text{nom}} \prod_{j} (1 + \delta_{j} \theta_{j}),$$

$$\sigma_{\text{CB}}(\boldsymbol{\theta}_{\text{width}}) = \sigma_{\text{CB}}^{\text{nom}} \prod_{j} e^{\theta_{j}} \sqrt{\ln(1 + \delta_{j}^{2})}.$$
(5)

•  $G(\theta_j)$  is the unitary Gaussian constraint PDF for nuisance parameter j with mean 0 and width 1.

For the fitting, the signal model parameters were fixed to the values resulting from fitting the signal MC. The background yields, model parameters, and all nuisance parameters were floated, as mentioned in Sec. VI.

In order to mimic real data and avoid statistical fluctuations of the MC samples, a set of Asimov data [30] were generated from the signal + background models and simultaneously fitted to obtain the expected precision and significance. Figure 4 shows the  $m_{\gamma\gamma}$  and BDTG distributions of the Asimov data and the models in the three channels. A final precision of 7.7% (stat.)± 2.1% (syst.) for the  $\sigma \times Br$  measurement can be reached in the  $H \to \gamma\gamma$  channel of the CEPC with 5.6 ab<sup>-1</sup> data. With the 20 ab<sup>-1</sup>

data of the updated CEPC operation period, the expected precision is 4.0% (stat.)± 2.1% (syst.). Table 9 lists the contributions from each systematic term. The contribution from background modeling was decoupled from fixing and floating the background parameters in the fitting, and it was included into the statistical precision. Combined results are summarized in Table 10. According to our preliminary assumption, this measurement is still statistically dominant in the CEPC.

### VIII. DEPENDENCE OF $Br(H \to \gamma \gamma)$ MEASURE-MENT PRECISION ON ECAL ENERGY RESOLUTION

Concerning the fitting of the  $m_{\gamma\gamma}$  shape, the width of the signal peak is a direct connection between the measurement precision in the  $H \to \gamma\gamma$  channel and the ECAL resolution. Currently, a new detector design for CEPC is under development [12–14] in which the present Si-W sampling ECAL will be replaced by a homogeneous crys-

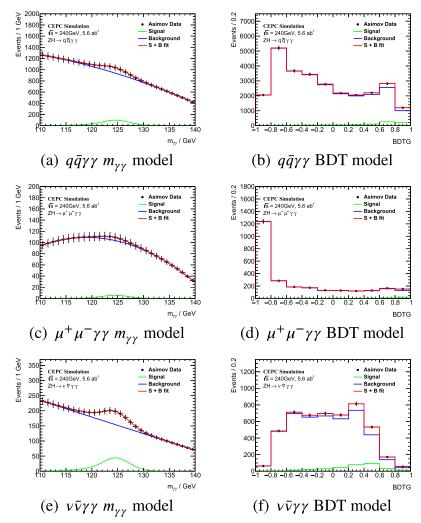


Fig. 4. (color online) Combined fitting to the Asimov data in the three channels.

**Table 9.** Decoupled contributions from considered systematic uncertainties of the  $(\sigma \times Br)/(\sigma \times Br)_{SM}$  measurement in the three channels. The 0.5% theoretical uncertainty was only considered in the  $q\bar{q}\gamma\gamma$  channel.

	$qar{q}\gamma\gamma$	$\mu^+\mu^-\gamma\gamma$	$ u \bar{\nu} \gamma \gamma$
Theo 0.5%	0.005	-	=
Lumi 0.1%	0.001	0.001	0.001
photon eff 1%	0.019	0.020	0.020
PES 0.05%	0.001	< 0.001	0.001
PER 0.05%	< 0.001	< 0.001	< 0.001
mH 5.9 MeV	< 0.001	< 0.001	< 0.001
BDT	0.006	0.006	0.007
Bkg. modeling	0.029	0.062	0.006

**Table 10.** Expected precisions on  $\sigma(ZH) \times Br(H \to \gamma \gamma)$  from Asimov data fitting in the three channels and their combination. Results in 20 ab<sup>-1</sup> were obtained by re-fitting the workspace with the scaled signal and background yields. The statistical precision includes the contribution from background modeling.

	5.6 ab <sup>-1</sup>		20	$ab^{-1}$
	$\frac{\Delta_{\text{tot}}}{(\sigma \times \text{Br})_{\text{SM}}}$	$\frac{\Delta_{\text{stat}}}{(\sigma \times \text{Br})_{\text{SM}}}$	$\frac{\Delta_{\text{tot}}}{(\sigma \times \text{Br})_{\text{SM}}}$	$\frac{\Delta_{\text{stat}}}{(\sigma \times \text{Br})_{\text{SM}}}$
$q\bar{q}\gamma\gamma$	0.101	0.098	0.056	0.052
$\mu^+\mu^-\gamma\gamma$	0.373	0.371	0.202	0.200
$ u \bar{\nu} \gamma \gamma$	0.130	0.127	0.071	0.067
Combined	0.079	0.077	0.046	0.040

tal ECAL. This new ECAL is expected to have an energy resolution of  $\sigma_E/E=3\%/\sqrt{E}$ , which is almost five times higher than the sampling Si-W ECAL  $\sigma_E/E=16\%/\sqrt{E}\oplus 1\%$  [4]. This can facilitate photon detection and neutral meson  $(\pi^0)$  reconstruction, and further contribute to the Higgs study in the  $H\to\gamma\gamma$  channel and flavor physics in the  $\pi^0\to\gamma\gamma$  final state, e.g.,  $B^0_{(s)}\to\pi^0\pi^0$  [31]. The jet energy resolution may not be significantly improved from this ECAL, given that the detector granularity is the dominant factor in PFA-based jet reconstruction.

We performed a rough estimation in the  $q\bar{q}\gamma\gamma$  channel according to the strategy followed in this work: to study the ECAL resolution impact on the  $H\to\gamma\gamma$  measurement. In the estimation, the selected photon was replaced by the truth photon with a smearing in its energy. Normally, the ECAL energy is approximated as:

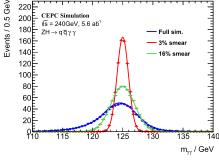
$$\frac{\sigma_E}{E} = A \oplus \frac{B}{\sqrt{E}} \oplus \frac{C}{E},\tag{6}$$

where A is the constant term, e.g., the energy leakage and readout threshold; B represents the stochastic term from

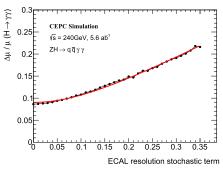
photoelectron statistics and depends on the sensitive material; and C comes from the electronic noise. Presently, the noise term C is expected to be 0, and the constant term A is expected to be at the level of 1%. The photon energy is smeared with the stochastic term B varying from 1% to 35%. Figure 5 shows a comparison between the  $m_{\gamma\gamma}$  shape from the full simulation and two smearing points, i.e., 3% and 16%. The jet performance is maintained consistent with the baseline Si-W sampling ECAL, assuming there is no impact from the new detector. The same selection criteria as in Sec. III were applied; the BDT was not employed in this simplified study to focus on the photon detection only, which is expected to present a 30% decrease, approximately, compared with the results in Sec. VII. A Gaussian function was used to describe the signal model from energy smearing. The 2dimensional model was replaced with a 1-dimension  $m_{\gamma\gamma}$ model, and a similar unbinned maximum likelihood fitting was performed to extract the signal strength precision  $\delta \mu / \mu$  without systematic uncertainties. Considering that  $m_{\gamma\gamma}$  and BDT are independent, this simplification was expected to have little impact on the relative improvement. Figure 6 shows the relationship between energy resolution B and fitted precision  $\delta \mu / \mu$ . These points can be fitted with the following function:

$$\frac{\delta\mu}{\mu} = p_0 \oplus (p_1 \times B),\tag{7}$$

where  $p_0$  and  $p_1 \times B$  represent the contributions from the constant and stochastic terms, respectively. According to this relation, the homogeneous ECAL achieves a 28% improvement in the statistical precision of signal strength measurement. Moreover, a "critical point" can be defined: the two components in resolution equally contribute to  $\delta\mu/\mu$ , i.e.,  $p_0 = p_1 \times B$ . When the constant term A was fixed to 1%, the critical point for B, within this definition, was 14%. This indicates that the constant term in resolution would become the dominant contribution at the new ECAL design point with B = 3%. The scanning of a series



**Fig. 5.** (color online) Signal shape for the full simulated  $H \rightarrow \gamma \gamma$  sample (blue) and for two samples with smeared photon energy (3% in red and 16% in green). The fitted signal widths were 2.81 GeV, 0.94 GeV, and 1.96 GeV respectively.

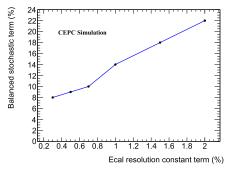


**Fig. 6.** (color online) Signal strength measurement precision in the  $ZH \rightarrow q\bar{q}\gamma\gamma$  channel as a function of the stochastic term in ECAL resolution from a fast analysis. The points were fitted using Eq. (7).

of constant terms and the corresponding balanced stochastic terms are shown in Fig. 7.

#### IX. CONCLUSIONS

This paper reports on the expected precision for the measurement of the cross section times branching ratio in the CEPC via  $ZH \rightarrow q\bar{q}\gamma\gamma$ ,  $ZH \rightarrow \mu^+\mu^-\gamma\gamma$ , and  $ZH \rightarrow \nu\bar{\nu}\gamma\gamma$  channels. The physical events are reconstructed through CEPC-v4 detector simulation and selected according to a set of criteria. A BDTG was developed for further signal/background separation and used along with  $m_{\gamma\gamma}$  as discriminating variables in the maximum likelihood fitting when extracting the signal strength. We built a preliminary framework for systematic uncertainty analysis in the CEPC using nuisance parameters, and took several leading terms into account. With the scheduled in-



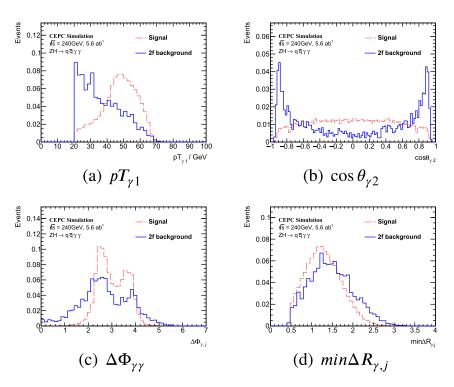
**Fig. 7.** (color online) Balanced ECAL stochastic resolution points with different configurations of the constant term.

tegrated luminosity of 5.6 ab<sup>-1</sup>, a precision of 7.9% (7.7% stat.) is expected to be achieved at the CEPC. With 20 ab<sup>-1</sup> data, this precision can be 4.6% (4.0% stat.). More mature results require further development of this framework and better knowledge of systematic terms in the CEPC. Meanwhile, the ECAL performance was studied by smearing photon energy resolution in the  $q\bar{q}\gamma\gamma$  channel. A direct relationship between the ECAL resolution and  $\sigma \times Br$  precision is foreseen.

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#### Appendix A



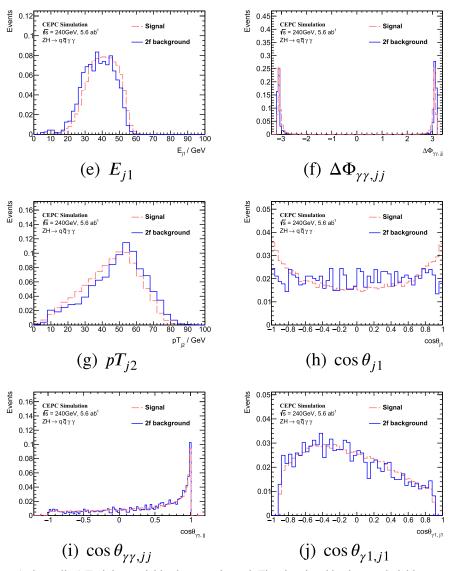
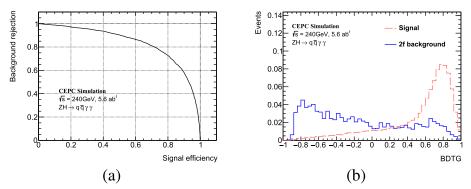


Fig. A1-1. (color online) Training variables in  $q\bar{q}\gamma\gamma$  channel. The signal and background yields are normalized.



**Fig. A2.** (color online) The ROC curve (left) and output BDTG distribution (right) in  $q\bar{q}\gamma\gamma$  channel.

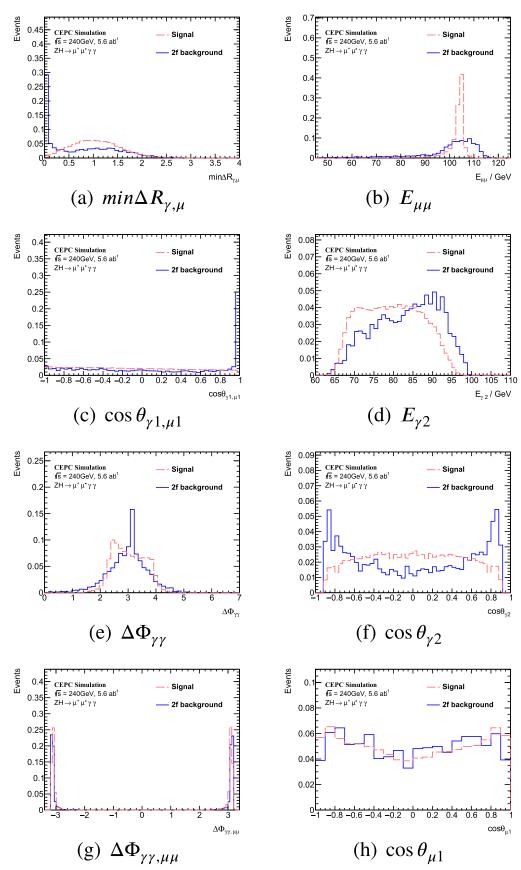
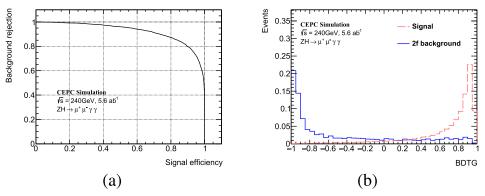


Fig. A3. (color online) Training variables in  $\mu^+\mu^-\gamma\gamma$  channel. The signal and background yields are normalized.



**Fig. A4.** (color online) The ROC curve (left) and output BDTG distribution (right) in  $\mu^+\mu^-\gamma\gamma$  channel.

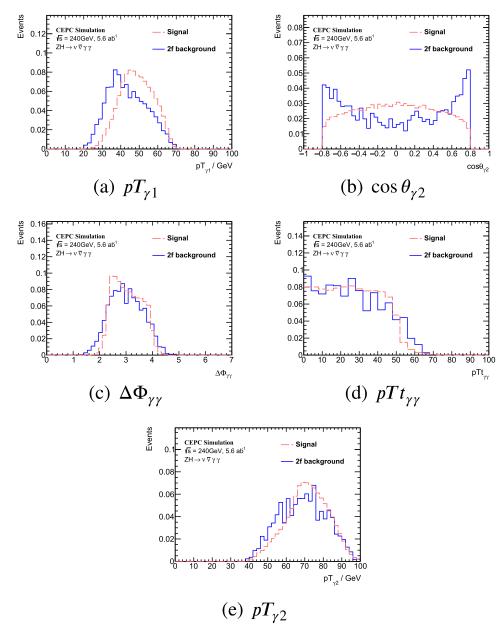
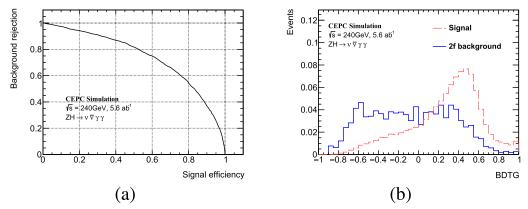


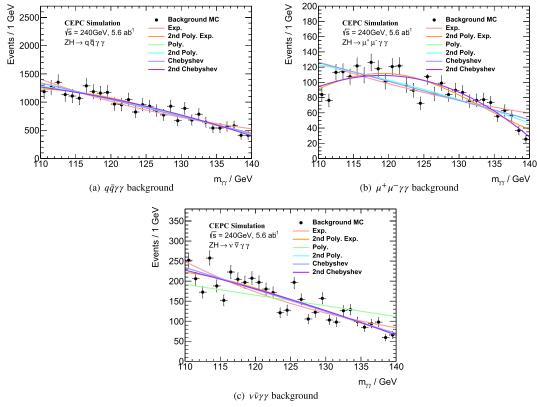
Fig. A5. (color online) Training variables in  $v\bar{v}\gamma\gamma$  channel. The signal and background yields are normalized.

**Table A1.** The  $\chi^2$  /Ndof values for 6 considered models in the background modeling in 3 channels, including the first and second order exponential, polynomial and Chebyshev functions.

	$qar{q}\gamma\gamma$	$\mu^+\mu^-\gamma\gamma$	ννγγ
1st order Exp.	0.941	5.423	3.786
2nd order Exp.	0.610	2.035	3.435
1st order Poly.	0.644	4.321	7.399
2nd order Poly.	0.600	3.758	3.439
1st order Chebyshev	0.644	4.321	3.320
2nd order Chebyshev	0.596	1.789	3.411



**Fig. A6.** (color online) The ROC curve (left) and output BDTG distribution (right) in  $\nu\bar{\nu}\gamma\gamma$  channel.



**Fig. A7.** (color online) Tested functions for the background modeling. In All 3 channels the second order Chebyshev function gives the smallest  $\chi^2/Ndof$  value. Detailed numbers are listed in Table A1.

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